

SF-424B Requirements

- 1) If an organization has an active registration in SAM.gov that was either created or updated on or after 2/2/2019, then the applicant does **NOT** need to submit the SF-424B as they will be prompted to complete the representations and certifications in SAM.gov:

Representations and Certifications

Financial Assistance Response

Page Description

This page provides a common set of certifications and representations required by Federal statutes or regulations in accordance with the grants guidance under Title 2 of the Code of Federal Regulations (2 CFR 200.208 Certifications and Representations). If you intend to apply for, or are already a recipient of a Federal grant or agreement, you must agree to the following grants certifications and representations.

You are required to keep these grants certifications and representations current, accurate, and complete as part of your entity registration in SAM. Note, these may not include all federal requirements that apply to your project or program. Federal assistance awarding agencies will notify you if they require additional certifications. If you have questions, please contact the awarding agency as applicable.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

Does **[Legal Business Name]** wish to apply for a Federal financial assistance project or program, or is **[Legal Business Name]** currently the recipient of funding under any Federal financial assistance project or program?

Yes

No

CANCEL

PREVIOUS

SAVE AND CONTINUE

Financial Assistance General Certifications and Representations

As the duly authorized representative of the **[Legal Business Name]**, I certify that **[Legal Business Name]**

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost when applicable) to ensure proper planning, management and completion of any financial assistance project covered by this Certifications and Representations document (See 2 CFR §200.113 Mandatory disclosures, 2 CFR §200.213 Suspension and debarment, OMB Guidance A-129, "Policies for Federal Credit Programs and Non-Tax Receivables").

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives (See 2 CFR §200.302 Financial Management and 2 CFR §200.303 Internal controls).

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain (see 2 CFR §200.112 Conflict of interest).

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency and provide financial and performance information consistent with program requirements (See 2 CFR §200.301 Performance measurement). Note: This does not apply to awards that support research that use the Research Performance Progress Report.

5. Will comply with Post-Federal Award Requirements related to payments (See 2 CFR §200.305 Payment).

6. Will comply with required financial and compliance audit requirements as applicable (See 2 CFR 200 Subpart F-Audit Requirements).

7. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing financial assistance awards and any financial assistance project covered by this certification document.

8. Will comply with U.S. statutory and public policy requirements, as applicable, including but not limited to:

a. National Defense Authorization Act for Fiscal Year 2014, PL 113-66, Division A, Title VIII, subtitle D, section 831;

b. Trafficking Victims Protection Act (TVPA) of 2000, as amended, 22 U.S.C. 7104(g).

c. Drug Free Workplace, 41 U.S.C. §103.

d. Protection from Retaliation or Disclosure of Certain Information, 41 U.S.C. 4712.

e. National Environmental Policy Act of 1969, 42 U.S.C. 4321 et seq

f. 2 CFR Part 23 - Universal Identifier and System for Award Management

g. 2 CFR Part 170 Reporting Subaward and Executive Compensation

h. 2 CFR Part 180 OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)

i. Civil False Claims Act, 31 U.S.C. 3730

j. Criminal False Claims Act, 31 U.S.C. 3729, 18 U.S.C. 287 and 1001.

GOs/GORs must check to ensure that the applicant has agreed. The applicants answer is displayed on the “Entity Registration” page from within the Entity Dashboard. Scroll down to the Grants Certifications section to determine how the applicant answered:

Grants Certifications

The Grants Certifications are a common set of certifications and representations required by Federal statutes or regulations in accordance with the grants guidance under Title 2 of the Code of Federal Regulations (2 CFR 200.208 Certifications and Representations). Those non-Federal entities who intend to apply for, or are already recipients of Federal grants or agreements, must read and agree to the corresponding certifications and representations. Registrants who reply yes to the following question are required to keep these certifications and representations current, accurate, and complete as part of their entity registration.

Does Seti Institute wish to apply for a Federal financial assistance project or program, or is Seti Institute currently the recipient of funding under any Federal financial assistance project or program? **Yes**

Or

Grants Certifications

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Does AMS CONSULTANT wish to apply for a Federal financial assistance project or program, or is AMS CONSULTANT currently the recipient of funding under any Federal financial assistance project or program? No

In order to print the applicant’s document, click on “Reps and Certs” from the Entity Dashboard menu:

Entity Dashboard

Entity Overview

Entity Registration

Core Data

Assertions

Reps & Certs

POCs

Exclusions

Active Exclusions

Inactive Exclusions

Excluded Family Members

RETURN TO SEARCH

Seti Institute

DUNS: 137315552 CAGE Code: 1NVY9

Status: Active

Expiration Date: 02/12/2020

Purpose of Registration: All Awards

Entity Overview

Entity Registration Summary

Name: Seti Institute

Doing Business As: SETI INSTITUTE, THE

Business Type: Business or Organization

Last Updated By: Debbie Kolyer

Registration Status: Active

Activation Date: 02/12/2019

Expiration Date: 02/12/2020

Exclusion Summary

Active Exclusion Records? No

189 Bernardo Ave # 100
Mountain View, CA, 94043
UNITED STATES

Then click on the “Federal Assistance Certifications Report”:

Entity Dashboard

Entity Overview

Entity Registration

Core Data

Assertions

Reps & Certs

POCs

Exclusions

Active Exclusions

Inactive Exclusions

Excluded Family Members

RETURN TO SEARCH

Seti Institute

DUNS: 137315552 CAGE Code: 1NVY9

Status: Active

Expiration Date: 02/12/2020

Purpose of Registration: All Awards

Review Representations & Certifications

Review FAR/DFARS Reps and Certs

Current Record

VIEW HISTORICAL RECORD

FAR Report

FAR & DFARS Report

Financial Assistance Certifications Report

I have read each of the FAR and DFARS provisions presented on this page. By submitting this certification, I, Debbie Kolyer, am attesting to the accuracy of the representations and certifications contained herein, including the entire NAICS table. I understand that I may be subject to criminal prosecution under Section 1001, Title 18 of the United States Code or civil liability under the False Claims Act if I misrepresent Seti Institute in any of these representations or certifications to the Government.

189 Bernardo Ave # 100
Mountain View, CA, 94043-5203,
UNITED STATES

2) If an organization has an active registration in SAM.gov that was either created or updated before 2/2/2019, then the applicant must submit the SF-424B, as they have not been prompted to complete the representations and certifications in SAM.gov. They will have to do so the next time they complete their annual update prior to 2/2/2020. An applicant in this category will not have an answer next to the Grants Certifications question in SAM.gov:

Grants Certifications

The Grants Certifications are a common set of certifications and representations required by Federal statutes or regulations in accordance with the grants guidance under Title 2 of the Code of Federal Regulations (2 CFR 200.208 Certifications and Representations). Those non-Federal entities who intend to apply for, or are already recipients of Federal grants or agreements, must read and agree to the corresponding certifications and representations. Registrants who reply yes to the following question are required to keep these certifications and representations current, accurate, and complete as part of their entity registration.

Does Institute Of International Education, Inc. wish to apply for a Federal financial assistance project or program, or is Institute Of International Education, Inc. currently the recipient of funding under any Federal financial assistance project or program?

- 3) If an applicant is not registered in SAM.gov and has been granted a [UEI/SAM.gov Exemption](#), the SF-424B will not be required.