



Funding Opportunity Announcement #N0017324STS01 NRL's Postdoctoral Fellowship Program (PFP)

Deadlines

Inquiries and Questions

29 August 2024

Application Deadline

~~9 October 2024~~ 16 October 2024 (6:00 pm ET)

Point of Contact

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I. OVERVIEW OF THE RESEARCH OPPORTUNITY

This publication constitutes a Funding Opportunity Announcement (FOA) for awards by the Naval Research Laboratory (NRL) Contracting Division, Code 1320 as contemplated in the Department of Defense Grants and Agreements regulations (DoDGARS) 32 CFR 22.315(a). A formal Request for Proposals (RFP), solicitation, and/or additional information regarding this announcement will not be issued.

The NRL will not issue paper copies of this announcement. The NRL reserves the right to fund all, some, or none of the proposals received under this FOA. NRL provides no funding for direct reimbursement of proposal development costs. Technical and cost proposals (or any other material) submitted in response to this FOA will not be returned. It is the policy of NRL to treat all proposals submitted under this FOA as sensitive competitive information and to disclose their contents only for the purposes of evaluation.

Award(s) will take the form of cooperative agreement(s). Any assistance instrument awarded under this announcement will be governed by the award terms and conditions that conform to DoD's implementation of the Office of Management and Budget (OMB) circulars applicable to financial assistance. Terms and conditions of new awards will include revisions to reflect DoD implementation of new OMB guidance in 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards."

A requirement that the project summary/abstract required in the submission of the proposal must be publically releasable is noted in Appendix 1, Section II. D. e. (2) R&R Form: Project Abstract Form.

Potential applicants may obtain information by checking the following websites:

Information regarding this FOA and amendments:

www.grants.gov

Information regarding submission of full proposal packages can be found at:

<https://www.grants.gov/applicants/grant-applications/how-to-apply-for-grants>

Information regarding previous Programs and information:

<https://www.nrl.navy.mil/careers/post-doc>

***Hyperlinks have been embedded within this document and appear as underlined blue-colored words.**

A. Overview

1. Federal Awarding Agency Name

Naval Research Laboratory
4555 Overlook Avenue, SW
Washington, DC 20375-5320

2. Funding Opportunity Title

NRL Funding Opportunity Announcement (FOA) for NRL's Postdoctoral Fellowship Program (PFP)

3. Announcement Type

Initial Announcement

4. Funding Opportunity Number

N0017324STS01

5. Catalog of Federal Domestic Assistance (CFDA) Number – 12.300

Department of Defense (DOD), Department of the Navy, Office of Chief of Naval Research, Basic and Applied Scientific Research

6. Key Dates

This announcement will remain open for approximately thirty (30) days after the date of publication.

Inquiries and Questions

29 August 2024

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~~9 October 2024~~ 16 October 2024 (6:00 pm ET)

Late Submission - The Government reserves the right to not review late proposals submitted after the close date of this announcement.

II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

A. Program Description

NRL is the Department of the Navy's corporate laboratory, and conducts basic and applied research for the Navy in a variety of scientific and technical disciplines. The research conducted at NRL is a broadly based multidisciplinary program of scientific research and advanced technological development directed toward maritime applications of new and improved materials, techniques, equipment, systems and ocean, atmospheric, and space sciences and related technologies. NRL Program Code descriptions and NRL science and technology pursuits are provided at <https://www.nrl.navy.mil/research/directorates-divisions/>.

The objective of NRL's PFP is to provide postdoctoral scientists and engineers of unusual promise and ability opportunities to engage in research on problems, largely of their own choice, that are compatible with the interests of the Government and will potentially contribute to the general effort of NRL. NRL's PFP will further science and technology through fundamental research, with this research having potentially both civilian and military applications.

It is the intent of this FOA to solicit the most creative, innovative, and effective approaches to the execution of the NRL PFP. In response to the announcement, awardees should be prepared to: (1) Formulate an advertising plan to attract qualified applicants based on the NRL mission and capabilities. This plan should consider issues such as potential sources of applicants, e.g., major domestic colleges, universities, Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs); various types of methods to disseminate information (e.g., professional trade journals, and professional society meetings and seminars; different ways to advertise (e.g., electronic and print media) and implementation methods; (2) Prepare marketing materials, in collaboration with the Government, to outline the NRL PFP; (3) Establish an ongoing application process to solicit the interest of qualified individuals (i.e. postdoctoral scientists and engineers) with interests in Research proposals largely of their own choice, that are compatible with the interests in Research that is consistent with the NRL Mission; (4) Establish a competitive review process for the review of applications and the selection of the various types of applicants; (5) Identify the process by which the applications will be reviewed, vetted, approved and recommended to NRL (including any support provided by experts in the various scientific disciplines; and (6) Identify a management structure and plan to address the management required for successful execution of the PFP, to include items such as progress reports and evaluations for each Fellow, support provided to fellows/researchers, performance standards and measures to support disciplinary actions, award programs, recordkeeping requirements, payment and financial reports. Historically, awards programs were held in conjunction with NRL's Annual Alan Berman Research Publications Awards and Dinner ceremony.

Subject to the availability of funds, this announcement is expected to result in the award of Cooperative Agreement(s) (CA) as defined at 31 U.S.C. 6305 for execution of NRL's PFP. The CA is subject to the Department of Defense Grant and Agreement Regulations (DoDGARs). The principal purpose of NRL's partnership with the recipient(s) is to carry out the public purpose for the support or stimulation of basic and applied research in areas of science with potential interest

to NRL rather than the acquisition of supplies or services for the direct benefit of the Government. This partnership will result in bringing new talent, ideas and techniques to NRL and will also introduce emerging researchers to the roles and needs of the Warfighter. This partnership provide researchers access to NRL's world class research facilities, while supporting collaborative fundamental research of mutual interest to the Navy and the researcher. Substantial Government involvement is expected through the collaborative planning, management and execution of the research to be performed by the participants in the PFP and NRL.

In collaboration with NRL, awardees provide administrative support that involves acting as the liaison between the participating postdocs and the government facility at which they are assigned to perform their proposed research. Administrative support functions include payment of stipends, travel, etc. and facilitating the assignments of the selected candidates. The resident research associateships-fellowships include the following:

- Fellowships/Associateships in this program are awarded to persons who have held a doctorate less than five years at the time of application and are made initially for one year. At the discretion of NRL, second (2nd) and third (3rd) year appointments may be arranged, if warranted.
- Travel and relocation expenses are paid.
 - Stipend payments - NRL Postdocs are paid a stipend equal to GS12 Step 1 with Locality and they receive \$1,000 each year on their anniversary (One year appointments which may be renewed for a second and possibly a third year). Each year NRL provides the Vendors with the authorized/approved GS12 Step 1 pay.
 - Travel and Relocation expenses are paid, but there is a cap on what is paid. Professional Travel is currently \$3,000 a year. Travel amount may be increased, if appropriate and approved by NRL. There is a maximum on the amount of funding NRL is able to pay for Relocation expenses.
 - Health Insurance must be provided – NRL has historically paid 75% of the cost.
- Open to U.S. Citizens or U.S. Legal Permanent Residents.
- Personal Protective Equipment or Physical Exams are at the expense of the Postdoc; however, the awardee may add a place holder within the CA if PPE/Exams are required to perform research in accordance with an approved research proposal, and NRL will evaluated whether such costs can be reimbursed.

The announcement provides the estimated number of Postdoctoral Fellows, estimated Stipend levels and performance locations, based on historical data. The Postdoctoral Fellows are expected to perform research primarily at the NRL facilities in Washington, DC, Stennis, MS, and Monterey, CA. There is no limitation on the place of performance for all other activities performed by the Recipient to execute the PFP. The CA award(s), if any, made as a result of this announcement will provide for a period of performance of five (5) years (four (4) years for new fellowship awards, and a final year for a close out with no new fellowship awards). A Postdoctoral Fellow may or may not be an employee of the Recipient (i.e. the Fellow could also be a self-employed guest researcher), but is not an employee of NRL. The Fellow does not perform any service directly for the benefit or use by NRL. He/she performs scholarly fundamental research at NRL and the research should embody original ideas of the Fellow/Researcher. To facilitate this, a competitive application process will be completed that

involves writing and submitting a research proposal and submitting it to the recipient, that will coordinate with NRL regarding selection. NRL will also participate in this application process by providing a written evaluation of the proposal, with the review cycle expected to be conducted at least quarterly. It is important that the proposal be consistent with NRL facilities and interests, and support or stimulate basic and applied research programs.

B. Federal Award Information

1. Funded Amount and Duration

This FOA is issued to the availability of funds. The funded amount for previous programs have ranged from \$20 million to \$70 million across five (5) years, supporting 35 to 125 Postdoctorate fellows. Any cooperative agreement(s) is expected to have a period of 1 January 2025 through 31 December 2029. All Fellowship offers must have both an offer and a tenure date of no later than 31 December 2028. The last year (1 January 2029 through 31 December 2029) will be necessary to carry out the administrative tasks still required by the fellows who are retained through the agreement completion date.

2. Instrument Types

Under the authority of 10 USC 4001 and 31 USC 6305, awards will take the form of a cooperative agreement(s), which is considered an assistance instrument:

- a. Assistance Instruments: Any assistance instrument awarded under this announcement will be governed by the award terms and conditions that conform to DoD's implementation of OMB circulars applicable to financial assistance. Terms and conditions of new awards will reflect DoD implementation of OMB guidance in 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards." The DoD Terms and Conditions are located at <https://www.nre.navy.mil/work-with-us/manage-your-award/manage-grant-award/grants-terms-conditions>.
 - Cooperative Agreement: A legal instrument which, consistent with 31 U.S.C 6305, is used to enter into the same kind of relationship as a grant, except: Substantial involvement is expected between the Federal Government and the recipient when carrying out the activity contemplated by the cooperative agreement. No fee or profit is allowed.

Substantial Government involvement is expected through the collaborative planning, management and execution of the research to be performed by the participants in the PFP and NRL.

Model grants are provided as examples on the ONR website at the following link: <https://www.onr.navy.mil/work-with-us/how-to-apply/submit-grant-application>

C. Eligibility Information

1. Eligible Applicants

All responsible sources from academia, industry, and the research community may submit proposals under this FOA.

2. Cost Sharing or Matching

Cost sharing is not required.

D. Application and Submission Information

1. Address to Request Application Package

This FOA may be accessed from the sites below. Amendments, if any, to this FOA will be posted to these websites when they occur. Interested parties are encouraged to periodically check these websites for updates and amendments.

- a. Grants.gov (www.grants.gov)
- b. NRL website: <https://www.nrl.navy.mil/doing-business/contracting-division/baa>

2. Content and Form of Application Submission

a. General Information

All submissions will be protected from unauthorized disclosure in accordance with, applicable law and DoD/DoN regulations. Offerors are expected to appropriately mark each page of their submission that contains proprietary information.

1. Full Proposal - Offerors must submit a full proposal via www.grants.gov in accordance with Appendix 1.

Selection of proposals for award will be based on the potential benefits to the Government using the evaluation criteria weighed against the cost of the proposals, in view of the availability of funds.

b. Submission of Non-Proprietary Unclassified and Classified Proposals

Full Proposals submitted under this FOA are expected to be unclassified.

c. Full Proposals:

Instructions for Cooperative Agreement(s) to submit a full proposal (See Appendix 1)

3. Unique Entity Identifier and System for Award Management (SAM)

Unique Entity Identifier and System for Award Management (SAM) - All Offerors submitting proposals or applications must:

- a. Be registered in the SAM prior to submission;
- b. Maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration by any agency; and
- c. Provide its SAM Unique Entity Identifier in each application or proposal it submits to the agency.

SAM may be accessed at <https://sam.gov/content/home>

4. Submission Dates and Times

See above in Section I.A.6 Key Dates

5. Other Submission Requirements

- a. Cooperative Agreement Full Proposals shall be submitted through Grants.gov (See Appendix 1).

E. Application Review Information

1. Criteria

Awards under this FOA will be made in accordance with the criteria listed below. The primary basis for selecting proposals for acceptance will be technical merit and funds availability. Cost realism and reasonableness will also be considered when selecting proposals. NRL reserves the right to request and require any additional information and documentation after submission of proposals as necessary to support its evaluation.

Offeror proposals will be evaluated against the following criteria to determine merit:

- a. Recruitment Approach / Plan - The completeness and creativity of the Offeror's proposed plan for recruitment of the Postdoctoral Fellows
- b. Management / Execution Plan - The adequacy of the management plan and organizational structure to ensure a successful program; demonstrated understanding/ability to manage the day-to-day needs of the Fellows/Researchers participating in the PFP; and the plan for submission of reports to NRL, evaluations for each Fellow/Researcher and recording keeping, payments, financial reporting, performance standards and measures to support disciplinary actions, award programs, and overall day-to-day support provided to fellows

c. Past Performance - Offeror's probability/likelihood of successful performance based on the Offeror's demonstrated experience in working / managing programs of a similar nature. The Offerors are requested to provide three examples of similar efforts being performed. Offerors are requested to describe the dollar amount of the agreements, periods of performance, number of Fellows/Researchers supported, Customer(s), and Customer contact information (which may be used to verify the information provided in the proposal). An Offeror with no past performance information will be treated as neutral, which is neither a positive or negative assessment, but an Offeror with a positive past performance assessment will be viewed more favorably than an Offeror with a neutral or negative past performance rating.

d. Cost - evaluation of this area will consider cost realism, and cost reasonableness.

*All Criteria are equally important.

2. Evaluation

a. Evaluation Overview - Proposals submitted under this FOA will use merit-based competitive procedures according to Department of Defense Grant and Agreement Regulations (DoDGARs) citation of 32 C.F.R Sec 22.315. Proposals will be evaluated by Government personnel, and will be protected from unauthorized disclosure.

b. Options – If proposed, the Government will evaluate options for award purposes by adding the total cost for all options to the total cost for the basic requirement. Evaluation of options will not obligate the Government to exercise the options during contract or grant performance. The Government reserves the right to exercise options at time of award.

4. Recipient Qualifications

See Appendix 1, Section E.4

F. Federal Award Administration Information

1. Federal Award Notices

a. Communication/Notification - Applicants whose proposals are recommended for award may be contacted by a Contract or Grant specialist to discuss additional information required for award. This may include representations and certifications, revised budgets or budget explanations, and/or other information as applicable to the proposed award.

The notification e-mail must not be regarded as an authorization to commit or expend funds. The Government is not obligated to provide any funding until a Government Contracting Officer or Grants Officer, as applicable, signs the award document.

The award document signed by the Contracting Officer or Grants Officer is the official and authorizing award instrument.

- i. NRL award and modification documents will be available via the Department of Defense (DoD) Electronic Document Access System (EDA) within the Procurement Integrated Enterprise Environment (PIEE) (<https://piee.eb.mil/piee-landing>).
- ii. EDA is a Web-based system that provides secure online access, storage and retrieval of awards and modifications to DoD employees and vendors.

b. Notification Profile - NRL creates an award notification profile for every award within EDA.

For grants, the notification profile will use the email addresses from the Application for Federal Assistance, SF424, to notify the recipient of an award. ***NRL recommends that organizations provide a global business address for their entity in Field 5 (Application Information) of the SF424--it is the responsibility of the entity to ensure NRL has updated contact information.***

Note: NRL is using the following three (3) email addresses entered by the grantee on the SF424 application to create the EDA notification profile:

- i. Applicant Information (Field 5 - Email)
- ii. Project Director / Principal Investigator (Field 14 - Email)
- iii. Authorized Representative (Field 19 - Email)

For all other awards, the notification profile will use the email address from the Business Point of Contact to notify the recipient of an award.

IMPORTANT: Occasionally, the EDA notifications may be filtered by email systems. If you are not receiving timely EDA notifications, please check your junk/spam email folders and mark those notifications as valid.

If you do not currently have access to EDA, you may complete a self-registration request as a "Vendor" via PIEE following the steps described at:

<https://pieetraining.eb.mil/wbt/xhtml/wbt/portal/overview/vendorRegister.xhtml>

Allow five (5) business days for your registration to be processed. EDA will notify you by email when your account is approved.

To access awards after your registration has been approved, log into <https://piee.eb.mil/>, select "EDA", select either EDA location, Select "Contracts", select your search preference, enter the Contract Number (or, if applicable, enter the Grant Number in the Contract Number field), and select "View".

Registration questions may be directed to the EDA help desk toll free at 866-618-5988, or via email at disa.global.servicedesk.mbx.eb-ticket-requests@mail.mil (Subject: EDA Assistance).

2. Administrative and National Policy Requirements

a. Applicable to All Awards

i. Export Control:

Offerors should be aware of recent changes in export control laws. Offerors are responsible for ensuring compliance with all U.S. export control laws and regulations, including the International Traffic in Arms Regulation (ITAR)(22 CFR Parts 120 - 130) and Export Administration Regulation (EAR) (15 CFR Parts 730 – 774), as applicable. In some cases, developmental items funded by the Department of Defense are now included on the United States Munition List (USML) (22 CFR Part 121) and are therefore subject to ITAR jurisdiction. Offerors should address in their proposals whether ITAR or EAR restrictions apply to the work they are proposing to perform for NRL. The ITAR and EAR are available online at <https://www.ecfr.gov/>.

Offerors must comply with all U.S. export control laws and regulations, including the ITAR and EAR, in the performance of any award or agreement resulting from this announcement. Offerors shall be responsible for obtaining any required licenses or other approvals, or license exemptions or exceptions if applicable, for exports of hardware, technical data, and software (including deemed exports), or for the provision of technical assistance.

ii. Security Classification:

In order to facilitate intra-program collaboration and technology transfer, the Government will attempt to enable technology developers to work at the unclassified level to the maximum extent possible.

Any unclassified contracts that will have foreign national participation, must be approved in advance in accordance with NRL's facility access control procedures and access will be restricted to public release information and systems only.

NRL does not provide access to classified material under this Postdoctoral Fellowship cooperative agreement.

iii. Requirements Concerning Live Organisms:

(1) Use of Human Subjects in Research:

- (a) You must protect the rights and welfare of individuals who participate as human subjects in research under this award and comply with the

requirements of the Common Rule at 32 CFR part 219 and applicable provisions of DoD Instruction 3216.02, Protection of Human Subjects and Adherence to Ethical Standards in DoD-Supported Research (2011), the DON implementation of the human research protection program contained in SECNAVINST 3900.39E (or its replacement), 10 USC 980 “Limitation on Use of Humans as Experimental Subjects,” and when applicable, Food and Drug Administration (FDA) and other federal and state law and regulations.

- (b) For proposals containing activities that include or may include “research involving human subjects” as defined in DoDI 3216.02, prior to award, the Offeror must submit documentation of:
- (i) Approval from an Institutional Review Board (IRB) (IRB-approved research protocol, IRB- approved informed consent document, and other material they considered); proof of completed human research training (e.g., training certificate or institutional verification of training for the principal investigator, co-investigators); and the Offeror’s Department of Health and Human Services (DHHS)- issued Federal wide Assurance (FWA#).
 - (ii) Any claimed exemption under 32 CFR 219, including the category of exemption, supporting documentation considered by your institution in making the determination (e.g., protocol, data collection tools, advertisements, etc.). The documentation shall include a short rationale supporting the exemption determination. This documentation should be signed by the IRB Chair or IRB Vice Chair, designated IRB administrator or official of the human research protection program.
 - (iii) Any determinations that the proposal does not contain activities that constitute research involving human subjects, including supporting documentation considered by your institution in making the determination. This documentation should be issued by the IRB Chair or IRB Vice Chair, designated IRB administrator or official of the human research protection program.
- (c) Documentation must be submitted to the NRL Human Research Protection Official (HRPO), by way of the NRL Program Officer. If the research is determined by the IRB to be greater than minimal risk, you also must provide the name and contact information for the independent research monitor and a written summary of the monitors’ duties, authorities, and responsibilities as approved by the IRB. For

assistance with submission of human subject research related documentation, contact the NRL Human Research Protection Official (HRPO) at (202) 767-3864.

- (d) Contracts, orders, or grant awards and any subawards or modifications will include a statement indicating successful completion of the HRPO review. Research involving human subjects must not be commenced under any contract award or modification or any subcontract or grant subaward or modification until awardee receives notification from the Contracting or Grants Officer that the HRPO has approved the assurance as appropriate for the research under the award or modification and that the HRPO has reviewed the protocol and accepted the IRB approval or determination for compliance with Federal, DoD and DON research protection requirements. See, DFARS 252.235-7004. Guidance: <https://www.nre.navy.mil/work-with-us/how-to-apply/compliance-and-protections/research-protections>

iv. *Biosafety and Biosecurity Requirements:*

Offerors must comply with applicable provisions of DOD 6055.18-M, Safety Standards for Microbiological and Biomedical Laboratories, including ensuring compliance with standards meeting at least the minimum applicable requirements of the current edition of Centers for Disease Control and Prevention, “Biosafety in Microbiological and Biomedical Laboratories (BMBL),” and National Institutes of Health, “The NIH Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules (NIH Guidelines).”

v. *Research Involving Recombinant or Synthetic Nucleic Acid Molecules.*

Offerors must not begin performance of research within the scope of “The NIH Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules (NIH Guidelines)” until receiving notice from the Contracting or Grants Officer that NRL has reviewed and accepted the Offeror’s documentation. In order for NRL to accomplish that review, an Offeror must provide the Contracting or Grants Officer, generally as part of an original proposal prior to award, sufficient documentation to enable the review, including:

- (1) A written statement that the Offeror is in compliance with NIH Guidelines. This statement should be made by an official of the institution other than the Principal Investigator and should be on university or company letterhead.
- (2) Evidence demonstrating that the proposed research protocol has been approved by an Institutional Biosafety Committee (IBC); and a copy of the Department of Health and Human Services (DHHS) Letter of Approval of the IBC, or the most recent letter from DHHS stating the IBC is in

compliance with the NIH Guidelines.

vi. *Institutional Dual Use Research of Concern:*

All institutions and United States Government (USG) funding agencies subject to the United States Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern must comply with its requirements.

(<https://www.phe.gov/s3/dualuse/Pages/InstitutionalOversight.aspx>)

If your research proposal directly involves certain biological agents or toxins, contact the cognizant Technical Point of Contact. U.S. Government Science, Safety, Security (S3) guidance may be found at:

<https://www.phe.gov/s3/dualuse/Pages/default.aspx>.

vii. *Department of Defense High Performance Computing Program:*

The DoD High Performance Computing Program (HPCMP) furnishes the DoD S&T and RDT&E communities with use-access to very powerful high performance computing systems. Awardees of NRL contracts, grants, and other assistance instruments may be eligible to use HPCMP assets in support of their funded activities if NRL Technical Point of Contact approval is obtained and if security/screening requirements are favorably completed. Additional information and an application may be found at <https://www.hpc.mil/>.

viii. *Project Meetings and Reviews (if applicable):*

Individual project reviews between the NRL sponsor and the performer may be held as necessary. Project status reviews may also be held to provide a forum for reviews of the latest results from experiments and any other incremental progress towards the major demonstrations. These meetings will be held at various sites throughout the country. For costing purposes, Offerors should assume that 40% of these meetings will be at or near NRL, Washington, DC and 60% at other locations such as the contractor/grantee's facility, other contractor's facility or government facilities. Interim meetings are likely, but these will be accomplished via video telephone conferences, telephone conferences, or via web-based collaboration tools.

3. Reporting

If the Federal share of any Federal award may include more than \$500,000 over the period of performance, the post award reporting requirements, Award Term and Condition for Recipient Integrity and Performance Matters (2 CFR Part 200 Appendix XII), is applicable as follows:

A. Reporting of Matters Related to Recipient Integrity and Performance

1. **General Reporting Requirement.** If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this

Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system about civil, criminal, or administrative proceedings described in paragraph 2 of this award term and condition. This is a statutory requirement under section 41 U.S.C. 2313. All information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available.

2. Proceedings About Which You Must Report. Submit the information required about each proceeding that:

- a) Is in connection with the award or performance of a grant, cooperative agreement, or procurement contract from the Federal Government;
- b) Reached its final disposition during the most recent five year period; and
- c) Is one of the following:
 - (i) A criminal proceeding that resulted in a conviction, as defined in paragraph 5 (below) of this award term and condition;
 - (ii) A civil proceeding that resulted in a finding of fault and liability and payment of a monetary fine, penalty, reimbursement, restitution, or damages of \$5,000 or more;
 - (iii) An administrative proceeding, as defined in paragraph 5 of this award term and condition (below), that resulted in a finding of fault and liability and your payment of either a monetary fine or penalty of \$5,000 or more or reimbursement, restitution, or damages in excess of \$100,000; or
 - (iv) Any other criminal, civil, or administrative proceeding if:
 - a. It could have led to an outcome described in paragraph 2.c. (i), (ii), or (iii) of this award term and condition;
 - b. It had a different disposition arrived at by consent or compromise with an acknowledgment of fault on your part; and
 - c. The requirement in this award term and condition to disclose information about the proceeding does not conflict with applicable laws and regulations.

3. Reporting Procedures. Enter in the SAM Entity Management area the information that SAM requires about each proceeding described in paragraph 2 (above) of this award term and condition. You do not need to submit the information a second time under assistance awards that you received if you already provided the information through SAM because you were required to do so under Federal procurement contracts that you were awarded.

4. Reporting Frequency. During any period of time when you are subject to the requirement in paragraph 1 of this award term and condition, you must report proceedings information through SAM for the most recent five year period, either to report new information about any proceeding(s) that you have not reported previously or affirm that there is no new information to report. Recipients that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than

\$10,000,000 must disclose semiannually any information about the criminal, civil, and administrative proceedings.

5. Definitions. For purposes of this award term and condition:

- a. Administrative proceeding means a non-judicial process that is adjudicatory in nature in order to make a determination of fault or liability (e.g., Securities and Exchange Commission Administrative proceedings, Civilian Board of Contract Appeals proceedings, and Armed Services Board of Contract Appeals proceedings). This includes proceedings at the Federal and State level but only in connection with performance of a Federal contract or grant. It does not include audits, site visits, corrective plans, or inspection of deliverables.
- b. Conviction, for purposes of this award term and condition, means a judgment or conviction of a criminal offense by any court of competent jurisdiction, whether entered upon a verdict or a plea, and includes a conviction entered upon a plea of nolo contendere.
- c. Total value of currently active grants, cooperative agreements, and procurement contracts includes:
 - i. Only the Federal share of the funding under any Federal award with a recipient cost share or match; and
 - ii. The value of all expected funding increments under a Federal award and options, even if not yet exercised.

**The post award reporting requirements can be found under the relevant ONR Addendum to the DoD R&D General Terms and Conditions and ONR Programmatic Requirements located at the following link: <https://www.onr.navy.mil/work-with-us/manage-your-award/manage-grant-award/grants-terms-conditions>

G. Communications

All communications shall be submitted via e-mail to the Point of Contact at the e-mail address specified on the cover page of this announcement, unless otherwise specified.

H. Other Information

The Government anticipates that any award resulting from this FOA will be funded on an incremental basis for costs associated with the execution of the PFP, as well as for costs directly related to the Postdoctoral Fellow (stipend, insurance, relocation, etc.).