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**OFFICE OF THE DIRECTOR OF NATIONAL
INTELLIGENCE**

**FUNDING OPPORTUNITY ANNOUNCEMENT
FOR
INTELLIGENCE COMMUNITY
CENTERS FOR ACADEMIC EXCELLENCE**



Intelligence Community
**Centers for
Academic
Excellence**

Diversity. Knowledge. Excellence.

ODNI-FOA-24-01

AMENDMENT #1

AMENDMENT #2

03 May 2024

ISSUED BY:

Office of the Director of National Intelligence

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I. PROGRAM DESCRIPTION

A. BACKGROUND

The Office of the Director of National Intelligence (ODNI) serves as the head of the U.S. Intelligence Community (IC), overseeing and directing the implementation of the National Intelligence Program and acting as the principal advisor to the President, the National Security Council, and the Homeland Security Council for intelligence matters related to national security.

ODNI's Office of the Assistant Director of National Intelligence for Human Capital (ADNI/HC) houses the IC Centers for Academic Excellence (CAE) Program Office (IC CAE PO), that is responsible for developing and distributing this Funding Opportunity Announcement (FOA); ensuring equitable access to information and technical assistance for applicants; managing the application evaluation process and administering the awarded grants for as long as they are active. The ADNI/HC is responsible for collecting and reporting metrics related to IC Human Capital activities across the community. The IC CAE PO is responsible for metric collection of IC CAE program performance and student participation. Awardees of these grants shall be required to provide metrics, as noted throughout this announcement. Failure to report may negatively affect option year funding decisions.

B. PROGRAM GOALS

The IC CAE Program began as a three-year pilot project directed by congressional authorization and appropriation for FY 2004 and was initiated by the Director of Central Intelligence to meet the nation's demand for "a diverse cadre of professionals to carry out national security priorities and obligations". In 2005, following the establishment of ODNI, the program moved under ODNI management with the intent to increase the pool of applicants by expanding awareness of the IC mission and culture throughout ethnically and geographically diverse communities. From October 2011 through December 2019, the IC CAE Program was managed by the Defense Intelligence Agency (DIA), with oversight from ODNI, and expanded in scope and number of grant recipients. In December 2019, congress returned management of the IC CAE Program to the ODNI.

Section 1024 of the National Security Act, as last amended in 2019, provides for this Program as follows:

(a) PROGRAMS –

(1) The Director of National Intelligence may carry out grant programs in accordance with subsections (b) and (c) to enhance the recruitment and retention of an ethnically and culturally diverse intelligence community workforce with capabilities critical to the national security interests of the United States.

(2) In carrying out paragraph (1), the Director shall identify the skills necessary to meet current or emergent needs of the intelligence community and the educational disciplines that will provide individuals with such skills.

(b) INSTITUTIONAL GRANT PROGRAM –

(1) The Director may provide grants to institutions of higher education [IHEs] to support the establishment or continued development of programs of study in educational disciplines identified under subsection (a)(2).

(2) A grant provided under paragraph (1) may, with respect to the educational disciplines identified under subsection (a)(2), be used for the following purposes:

- (A) Curriculum or program development.
- (B) Faculty development.
- (C) Laboratory equipment or improvements.
- (D) Faculty research.

(c) GRANT PROGRAM FOR CERTAIN MINORITY-SERVING COLLEGES AND UNIVERSITIES.

(1) The Director may provide grants to Historically Black Colleges and Universities [HBCUs] and Predominantly Black Institutions [PBIs], Hispanic Serving Institutions [HSIs], and Asian American and Native American Pacific Islander-Serving Institutions [AANAPISIs] to provide programs of study in educational disciplines identified under subsection (a)(2) or described in paragraph (2).

(2) A grant provided under paragraph (1) may be used to provide programs of study in the following educational disciplines:

- (A) Intermediate and advanced foreign languages deemed in the immediate interest of the intelligence community, including Farsi, Pashto, Middle Eastern, African, and South Asian dialects.
- (B) Study abroad programs and cultural immersion programs.

(d) APPLICATION— An institution of higher education seeking a grant under this section shall submit an application describing the proposed use of the grant at such time and in such manner as the Director may require.

(e) REPORTS— An institution of higher education that receives a grant under this section shall submit to the Director regular reports regarding the use of such grant, including—

- (1) a description of the benefits to students who participate in the course of study funded by such grant;
- (2) a description of the results and accomplishments related to such course of study; and
- (3) any other information that the Director may require

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For the 2024 IC CAE grant cycle, any acceptably accredited four-year Institutions of Higher Education (IHE) in the United States (its Territories and the District of Columbia) shall be eligible to apply as a Lead Institution. Acceptably accredited two-year IHE in the United States (its Territories and the District of Columbia), e.g., “Community Colleges” shall be eligible to apply as consortium partners, not as lead institutions.

If the lead IHE is one of the four Minority Serving Institutions (MSIs) categories listed in (c)(1) above, a consortium partner(s) is optional, but not required. However, if the lead IHE does not belong to one of the four MSI categories, then the application must include at least one additional IHE that qualifies as one of the four MSI categories listed above. Schools designated as any of these four MSI categories may be either the lead applicant or a consortium partner, or these MSI categories may make up the entire consortium. IHEs with Minority-serving designations other than the four listed may be either the lead applicant or a partner so long as at least one member school from the four named MSI categories is also a partner.

The 2022 IC CAE grant receiving institutions and their consortia partners are not eligible to apply as they may not receive funds for the same initiative from two separate grants at the same time. More detailed information on eligibility can be found in Section III – Eligibility.

IHEs that receive grants under this program (lead and IHE consortia members) shall submit to the DNI, regular reports regarding the use of the grant funds including:

- A description of the benefits to students who participate in the course(s) of study funded by the grant.
- A description of the results and accomplishments related to the program objectives for the selected instructional pathway.
- The number of IC CAE Scholars and aspiring Scholars
- Demographic information related to participating students (anonymized).
- Any additional programmatic information that the IC CAE Program may require.

Applicants (lead and consortia member IHEs) for this funding opportunity announcement acknowledge and accept these reporting requirements and must reflect this understanding and their compliance plans in their applications. Recipients of this grant shall be required to report metrics related to student ethnic and cultural diversity, as well as participation in all funded aspects of their program.

Schools selected as grant recipients become known as IC CAE Program Schools. Students that participate in IC CAE Program School-funded curricula and programs are encouraged to work towards becoming IC CAE Scholars, a status obtained by achieving certain milestones and defined in the Key References, Appendix B. This association propels the students toward becoming part of an IC talent pool of choice. Becoming a scholar also recognizes students’ exceptional intellect, academic achievements, and dedication to knowledge pursuit.

The IC CAE Program Office at ODNI (IC CAE PO) encourages applications that showcase innovative ideas for establishing enduring curriculum, partnerships, and programs in areas of interest to the IC and national security. The IC CAE PO has found the most successful recipients are those guided by personnel with knowledge of and experience in the IC; however, this is not a requirement. Further findings show that school programs are enhanced by partnerships with and outreach to IC installations (offices, bases, or other concentrations of personnel) and private industry in their regions supporting intelligence/national security work. This allows additional resources to provide Subject Matter Expert speakers, internship opportunities, and other interactions with intelligence professionals.

Applicants for this grant are encouraged to obtain and become familiar with the information on the IC CAE Program by accessing the ODNI website at: <https://www.odni.gov/iccae>.

****NOTE: Applicants are advised to read this entire announcement carefully, as it explains the program needs, eligibility, and submission requirements as well as the terms, conditions, and the process for this competition. Carefully read all instructions below before beginning the application. ****

C. INSTRUCTIONAL PATHWAYS FOR APPLICANTS

The applications shall reflect an area of focus for their collective program development, referred to as a Pathway. The Pathway options include:

- Pathway I: Intelligence/National Security
- Pathway II Less Commonly Taught Languages (LCTL)
- Pathway III: Science, Technology, Engineering, and Mathematics (STEM)
- Pathway IV: Integrated Approach: Intelligence/National Security Plus LCTL and/or STEM

The Lead and partner institutions may apply for the same or different pathways. If different pathways are selected the application will be considered Pathway IV – Integrated Approach. Ethnic, cultural, and geographic diversity are core tenets of this grant. Throughout the application, the lead and partner institutions shall demonstrate their planned contributions to diversity within their selected pathway, and the methods to be employed to monitor progress toward this goal.

PATHWAY I: INTELLIGENCE/NATIONAL SECURITY

To build the future IC workforce, a fundamental understanding of the IC and the intelligence cycle serves as the foundation of this pathway. In addition to foundational courses in intelligence and national security this pathway emphasizes the full intelligence lifecycle, inclusive of research ability, critical and creative thinking, and effective written and oral communication.

Core intelligence/national security topics may include but are not limited to:

- History of U.S. Intelligence and National Security
- The U.S. Intelligence Community
- Intelligence Cycle
- Intelligence Collections' Management
- Critical Thinking Skills for Intelligence Analysis
- Analytic Writing and Briefing
- Introduction to Structured Analytic Techniques
- Intelligence Reasoning and Analysis
- Intelligence and National Security Policy
- Global Strategic Environment
- Collection and Analysis
- Information and Influence Intelligence

PATHWAY II: LESS COMMONLY TAUGHT LANGUAGES (LCTLs)

The IC's foreign language analysts play a critical role in providing vital intelligence assessments to the President, National Security Council, cabinet-level officers, diplomats, and military commanders worldwide. The IC has a vested interest in building and retaining a highly skilled, agile, and diverse IC foreign language workforce that uses its language skills and regional expertise to deliver mission success. The IC recognizes and appreciates the diverse language programs that exist within IHEs and seeks institutions with degree and advanced study programs in less commonly taught languages (LCTLs). Less commonly taught languages include all languages other than English and the commonly taught European languages of French, German, and Spanish.

Institutions shall demonstrate that degree programs and/or advanced study programs are offered in LCTLs, or planned for offer prior to the sustainment years, and that at least one course in translation or interpretation skills are part of the existing or proposed curriculum for the degree or advanced study programs. Applications reflecting the capability to develop graduates with Interagency Language Roundtable (ILR) Level 3 skills (listening, speaking, reading, and writing), either through the lead institution and/or through consortium agreements, shall be of greatest interest to the IC. (See Reference Section for more information on LCTLs and ILR Level 3 proficiency.) To identify recognized language degree programs, the ODNI recommends applicants use the National Center for Education Statistics in the U.S. Department of Education's Classification of Instructional Programs (CIP) taxonomy for the language areas (CIP code 16 Foreign Languages, Literatures, and Linguistics).

PATHWAY III: SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS (STEM)

The IC is vitally interested in a talent pool with STEM knowledge and experience. To identify recognized STEM degree programs, the ODNI recommends applicants use the National Center for Education Statistics in the U.S. Department of Education's CIP taxonomy for the STEM areas of engineering (CIP code 14), biological sciences (CIP code 26), mathematics (CIP code 27), and physical sciences (CIP code 40). Additional programs of study and degrees related to the IC, such as Geodesy (CIP code 15), Environmental Science (CIP code 03) are also considered relevant and important.

A key IC mission is the analysis of all available data in uncertain conditions. This includes connecting disparate data sets in the appropriate context to infer meaning using rigorous methodologies. The speed of data analysis and fusion is critical for future mission success and efficiency. To assist in this endeavor, the future IC workforce needs skills in areas such as artificial intelligence (AI), machine learning (ML), and other methods of automation or data analytics to augment and improve traditional methods. To further accomplish its mission, the future IC workforce also needs skillsets in a variety of cutting-edge technology areas to launch or sustain operations. This pathway can also address any specific STEM areas provided on the Critical and Emerging Technologies List, as reported by the Office of the President, National Science and Technology Council (dated February 2022, or as updated in future such reports: [Critical and Emerging Technologies List Update \(whitehouse.gov\)](https://www.whitehouse.gov/critical-emerging-technologies/))

PATHWAY IV: INTEGRATED APPROACH, INTELLIGENCE/NATIONAL SECURITY AND LCTL OR INTELLIGENCE/NATIONAL SECURITY AND STEM

ODNI recognizes the value of the first three discrete pathways and encourages their innovative integration to develop Intelligence/National Security-savvy STEM students. Incorporating coursework that fosters strong data acumen or otherwise addresses critical and emerging technologies in the context of Intelligence and National Security can better prepare STEM students for careers in the IC.

The IC also values students with strong foreign language/linguistic skills. The lead institution shall demonstrate the ability or plan to develop, modify, and/or integrate courses into an undergraduate or graduate certificate, major, or minor degree program in an integrated approach of Intelligence/National Security and LCTLs. Applicants can visit govtilr.org for more information on the ILR proficiency levels.

D. IC CENTERS FOR ACADEMIC EXCELLENCE (IC CAE) OBJECTIVES

The IC CAE has nine objectives that serve as the foundation of the program. All the objectives shall be addressed in the application with understanding of the roles and responsibilities and collaboration of the lead and partner IHEs.

OBJECTIVE 1: CONSORTIUM AND SINGLE IHE

The application shall reflect 1) a consortium of two or more IHEs, of which at least one must be an HBCU, PBI, HSI, and/or AANAPISI-designated MSI or, 2) a single IHE lead from one of the four MSI categories. The lead institution shall be responsible for

reporting, facilitating, and managing program activities, grant administration, and consolidated reporting across the consortium. The application must provide, for each member of the consortium the following data:

- A Named Principal Investigator (PI) who will act as the primary point of contact for the IC CAE PO.
- School category (Predominantly White or MSI category(s) as listed by the Department of Education)
- Size of student body
- The location(s) of the campus(es) that will be participating in program activities.

The grant application description shall include why the consortium was created, what outcomes are planned, and how the consortium will coordinate to accomplish the outcomes for the life of the grant and beyond.

Within six months of award, the lead institution shall provide copies of all ratified contracts with the applicant subrecipients under the consortia model. The lead institution shall also include all information for each subrecipient required by 2 CFR. 200.332.

Under the consortium model, the lead institution shall demonstrate its commitment by allocating at least 15% annually of the total award to each subrecipient and demonstrate how the consortium shall cooperate and engage in curricular and enrichment efforts at each school, monitor student participation, and provide faculty development.

OBJECTIVE 2: CURRICULUM DEVELOPMENT

The IC CAE Program aims to strengthen academic programs, especially in MSIs and underrepresented schools. The lead institution, either on its own, or through Partner agreements, shall demonstrate the ability or intent and plan to develop, modify, and/or integrate courses into an enduring undergraduate or graduate certificate, major, or minor degree program to be called the IC Centers for Academic Excellence (IC CAE). The application shall explain how the consortium individually and collectively plans to expand, upgrade, enrich, or integrate undergraduate or graduate course offerings to better prepare students for careers in the IC. Additional consideration may be given to consortia who are able to demonstrate the ability to share aspects of their curriculum across the consortium (e.g., a certificate program that is accessible for all students to obtain across the consortium's IHEs).

OBJECTIVE 3: ESTABLISH AND MAINTAIN IC CAE PROGRAM MANAGEMENT, MARKETING, AND SUSTAINMENT ACTION PLANS

The single MSI applicant or the lead institution and subrecipients shall collectively have program management, marketing, and sustainment action plans. Each plan shall detail the efforts determined necessary to execute a successful program and ultimately sustain the program post-grant. The application shall demonstrate how the consortium will develop and execute these plans to include the following:

The Program Management Plan shall detail the responsibilities of the lead and consortium institutions to attain the IC CAE program objectives. The Management Plan shall explain the roles and responsibilities of the Consortia staff including the PI(s) and other Key Personnel and how the program will manage:

- milestones and high-level timelines
- comparison of planned results to actual results
- accomplishments
- status of progress
- upcoming activities
- best practices
- consortia communication and collaboration
- required metrics and their employed collection method(s).

The lead institution and all partner institutions shall collect and report, IC CAE PO-defined, bi-annual metrics as part of their deliverable progress report. The lead institution shall be responsible for including each consortia members' metrics in the bi-annual progress report concurrently with the delivery of the SF-298 Progress Report. The IC CAE PO will provide a tool or mechanism to collect the requested information. Metrics to be collected by all institutions, shall include, but are not limited to, the following:

- Number of Scholar Aspirants participating in the overall IC CAE Program, including aggregate metrics for gender identity, ethnicity, race, etc. (according to the IC CAE Scholar Aspirant definition in Appendix B – Key References)
- Number of IC CAE Scholars participating in the program, including metrics for gender identity, ethnicity, race, etc. (according to the IC CAE Scholar definition in Appendix B – Key References)
- Number of IC CAE Scholars and aspirants graduating during the reporting period.
- Number, duration, and type of student and faculty related events and activities
- Total number of attendees at IC CAE events, including Scholar, Aspirant, and other student numbers to the extent practicable

The Marketing Plan shall provide a comprehensive strategy to publicize and recruit qualified students from a broad range of backgrounds across the consortium. This includes, and is not limited to, ethnicity, race, gender, disability, religion, socio-economic status, and geographic location. Special attention should be given to the recruitment of eligible students from MSIs, community colleges, and high schools (as noted in Section III- Eligibility Information).

The grant application shall describe how the IC CAE Program plans to publicize its presence on campuses using diverse media outlets, including print, online, and broadcast, to reach the widest possible audience of qualified students. The proposed marketing plan should include campus visits and presentations; conference participation (presentations and exhibits); and other in-person or online recruitment activities. The Government may request that the lead institution collaborate with other IC CAE programs on some outreach activities, including but not limited to, conference participation, campus visits, and advisor workshops.

The marketing plan shall explain how the consortium intends to generate students, faculty, and administration awareness of the IC CAE program and how students can become IC CAE Scholars. The plan shall also enumerate steps the lead institution will take to ensure appropriate IC CAE branding, to include proper use of the IC CAE logo as provided by the IC CAE PO for all program promotional materials. Each institution's IC CAE Program must be publicized on the institution's website. The site should be kept current with relevant information that will attract interest and promote planned events.

The Sustainment Action Plan shall explain efforts taken during the grant period to build program sustainability during the sustainment option years and after the Government funding ceases. Once the grant period of performance ends, the lead institution or the subrecipient(s) intending to enter legacy status—either individually or together as a consortium—is referred to as a Legacy Institution if they continue to meet the specified criteria by executing their Sustainment Action Plan. A Legacy Institution is defined as any IC CAE Program that continues to meet the following criteria after it has completed its grant period of performance, with the strategic intent to create diverse, skilled, and knowledgeable scholars ready for careers in the IC.

IC CAE Sustainment Option Years and Post-Grant Designation Requirements: To receive funds during the optional sustainment years, and to maintain IC CAE School designation beyond the grant all IC CAE Schools, lead institutions as well as subrecipients, shall meet requirements pertaining to program sustainment, including:

- Hosting an Annual Colloquium or Speaker Series,
- Faculty Development Activities,
- Student Development Activities,
- Curriculum Maintenance, and
- Metrics reporting (as described under Program Management Plan above).

The IC CAE PO will provide these requirements in the last year of the base period of the grant. The institution will then use the information to update their sustainment Program Management, Marketing, and Sustainment Plans.

The following benefits may be extended to institutions who create and maintain a Sustainment Action Plan:

- Maintain designation as an IC CAE Program School post-grant.

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- Opportunities for student participation in the IC CAE federally sponsored events to include the National Security and Intelligence Summer Seminar.
- University faculty and staff participation in the annual PI Development Summit sponsored by the IC CAE PO.
- Access to the IC CAE PO internal website and ability to receive communications sent by the IC CAE PO.

The essentials of creating a sustainability action plan includes strategic planning, partnership, and communication. To that end:

- Strategic planning is the glue that holds sustainability efforts together. Without a strategic direction and long-term goals, programs find themselves only reacting to day-to-day demands. Strategic planning leads to an outcome-oriented plan.
- Partnership is cultivating connections between your program and its stakeholders. Partners, to include continued partnership within the consortium, if feasible, play an important role in sustainability in several ways:
 - **Partners can be connectors to greater resources or expertise.**
 - **Partners can take over providing services if your program must cut back; and**
 - **Partners can advocate on behalf of your cause.**

Building awareness and capacity for sustainability requires a strategic approach and partnerships across sectors, including alliances between private and public organizations.

- **Communication counts.** Stakeholders need to know the intent of the program, why it is important, and related Return on Investment. Communicating externally about your program's effectiveness helps the program gain greater visibility and builds support from stakeholders. Internally, evidence that a program works builds support from faculty, staff, and institutional leaders. The more people know and care about your program and mission, the more likely they are to support your efforts in the long term. Establishing and maintaining a robust, informative, and easy-to-locate website advertising your IC CAE Program is an important communication method in marketing your program.

OBJECTIVE 4: FOREIGN LANGUAGE PROGRAMS

IHE's can promote cultural understanding and global engagement by ensuring language programs support linguistic diversity. By embracing and supporting linguistic diversity, institutions also create an enriching educational experience for students that enhances critical thinking abilities and linguistic aptitude. The IC recognizes and appreciates the

diverse language programs that exist within IHEs and seeks institutions that offer degree or advanced study programs in LCTLs or that provide viable plans to add LCTLs to their curriculum. Additional consideration may be given to those IHEs offering advanced programs in Chinese, Russian, Arabic, Persian and Korean.

The applications expressing the capability or plans to provide degree or advanced study programs in LCTLs and that develop graduates with ILR Level 3 or better proficiency in multiple modalities (listening, speaking, reading, and writing) through its own institution and through consortium agreements, may be given additional consideration.

OBJECTIVE 5: FACULTY RESEARCH AND PROFESSIONAL DEVELOPMENT IN SUPPORT OF TEACHING TO CHOSEN CURRICULUM PATHWAY

The lead institution shall include a plan for the entire consortium for faculty research and professional development related to the selected curriculum pathway(s) to include, but not limited to, participation in classes, conferences, seminars, and similar activities directly related to the chosen pathway. The activities in the plan shall support or enhance the faculty members' ability to develop and present relevant curriculum and provide relevant enrichment opportunities. Any papers or deliverables resulting from faculty research or conference and professional development attendance shall be submitted to the IC CAE Program Office within two weeks of publication via a Government-hosted platform. Representation at the annual IC CAE Principal Investigators Development Summit (PIDS) shall be required for PIs across the consortium as part of this objective.

OBJECTIVE 6: STUDENT PARTICIPATION IN ACADEMIC PROGRAMS AND PROFESSIONAL DEVELOPMENT ACTIVITIES

The PI, Key Personnel, and program staff shall work with faculty and staff from across the consortium's colleges and degree programs to promote the benefits of involvement in the IC CAE Program for both faculty and students. All IC CAE students shall be involved in the program and aware of the numerous benefits it provides, to include the ability to work toward becoming an IC CAE Scholar, as defined in Appendix B, Key References. There shall be workshops, seminars, and other activities related to the selected pathway that encourage/increase student engagement, as well as support for off-campus activities related to the selected pathway such as conferences, seminars, workshops, or simulations.

The lead institution shall demonstrate the ability to provide a virtual option for these programs and professional development opportunities to increase participation across the consortium and across the IC CAE Program Schools collectively.

The lead institution or through the consortium shall demonstrate an ability to provide broadband access, where possible, for those students with limited access across the consortium.

OBJECTIVE 7: HOST AN ANNUAL COLLOQUIUM OR SPEAKER SERIES, IN COLLABORATION WITH SUB-RECIPIENTS

The lead institution shall, in collaboration with the subrecipients, include a plan to hold an annual colloquium or speaker series on intelligence, critical technology studies,

STEM, LCTLs, and/or issues critical to the national security interests of the United States. The consortium shall invite rural and underrepresented regional colleges and universities, Government speakers, and industry partners with the primary goals of maximizing relationships and outreach and IC CAE student enrichment. A colloquium should be at least one (1) day in length. A speaker series may include shorter presentations scheduled over weeks or months with the intent to bring together a wider audience (that could include high schools, and/or community colleges beyond the consortium) and speakers on intelligence or national security and meets for at least a similar number of hours as that of a daylong colloquium. Opportunities to display student papers and presentations must be included as part of the event(s).

OBJECTIVE 8: STUDY ABROAD AND CULTURAL IMMERSION

The consortium's HBCU, PBI, HSI, and/or AANAPISI IHE(s)– pursuing pathway II or IV shall offer opportunities for cultural immersion, study abroad, and/or immersive language training. Grant funds cannot be used for this purpose by consortium IHE(s) that are not designated in one or more of those four named MSI categories. Study abroad opportunities ranging from four to eight weeks in duration qualify as an IC CAE-funded study abroad program. Study abroad programs in Africa, Asia, Central and Eastern Europe, EURASIA, Latin America, and the Middle East are preferred. All foreign travel shall be in accordance with U.S. laws and regulations. The cultural immersion and/or immersive language instruction requirement may be met in the form of student research over the course of a four-to-eight-week timespan.

OBJECTIVE 9: USE OF GRANT FUNDS TO CREATE SCHOLARSHIPS FOR IC CAE SCHOLARS

The consortium may use up to \$50,000 U.S. dollars of the grant annually to create scholarship opportunities to award to high performing IC CAE Scholars who are active sophomores, juniors, seniors, or graduate students. Scholarships should be awarded based on need and achievement within the IC CAE program. An individual scholarship using grant funds should not exceed \$5,000.00 dollars per student, per semester. This funding must be specifically used for IC CAE Scholars who are actively enrolled and participating in IC CAE programs. Scholarships can help cover the cost of tuition, books, and fees.

II. FEDERAL AWARD INFORMATION

- **Federal Awarding Agency Name** - Office of the Director of National Intelligence (ODNI)
- **Issuing Office** – Intelligence Community Human Capital - Intelligence Community Centers for Academic Excellence (IC CAE) Program
- **Funding Opportunity Title** - FY24 Intelligence Community Centers for Academic Excellence (IC CAE) Program
- **Announcement Type** - Initial Announcement
- **Funding Opportunity Number** - ODNI-FOA-24-01
- **Assistance Listing Number** - 54.001
- **Key Dates**
 - **Posting date:** 14 March 2024
 - **Applications Due:** 13 May 2024
 - **Estimated Award Date:** 31 July 2024
- **Anticipated individual awards** - Multiple awards anticipated
- **Type of Award:** Discretionary Grant
- **Fiscal Year Funds** - 2024
- **Approximate Total Funding** - \$15,000,000.00; subject to the availability of funds and approval of option year activation
- **Approximate Number of Awards** - Not to exceed six (6), subject to the availability of funds
- **Anticipated Length of Awards** - One (1) year base, plus up to eight (8) option years
- **Total Amount of Funding per Federal Award** - \$2,500,000.00
- **Anticipated Base Year Period of Performance** - 31 July 2024 thru 30 July 2033
- **Additional Information for Award(s)** - This announcement anticipates awarding six (6) discretionary grants with a one-year base, consisting of a twelve (12) month period of performance, with an amount not to exceed \$400,000.00; four (4) option periods,

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each consisting of a twelve (12) month period of performance, with an amount not to exceed \$400,000.00. Each grant may have four (4) additional option sustainment periods, consisting of up to four (4) twelve-month optional periods (referred to as “sustainment periods”), at a not to exceed amount of \$125,000.00 per option period, subject to the availability of funds and annual grant performance review.

The Government reserves the right to make zero, one, or multiple awards based on available funding and the outcome of application review.

- **Agency Points of Contact**

ATTN: ODNI-FOA-24-01

Office of the Director of National Intelligence

Intelligence Community Human Capital (IC HC)

Intelligence Community Centers for Academic Excellence (IC CAE)

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Washington DC 20511

Electronic mail: dni-foa-24-01@iarpa.gov

- **Type of Assistance Instrument:** Grants. A grant is a legal instrument that is consistent with 31 U.S.C. § 6304 and is used to enter into a relationship in which:
 - The principal purpose is to transfer a thing of value to the recipient to carry out a public purpose of support or stimulation authorized by law of the United States instead of acquiring property or services for the direct benefit or use of the United States Government.
 - Substantial involvement is not expected between ODNI and the recipient when carrying out the activity contemplated by the grant.
 - No fee or profit is allowed.
 - Grants for IHEs are governed by the Uniform Guidance, Title 2 Code of Federal Regulation (CFR), Part 200.
- **Questions** - Submit questions on administrative or technical issues by email to dni-foa-24-01@iarpa.gov. All questions must include the full name and affiliation of a point of contact. Question and answers will be posted on Grants.gov website. No answers will go directly to the submitter. **The IC CAE PO will accept questions until 07 May 2024, 1:00 PM EST.**

III. ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS

Applications are sought from acceptably accredited IHEs for workforce development in Intelligence/National Security, Science, Technology, Engineering or Math (STEM), or Less-Commonly Taught Languages (LCTL) curricula areas as described in section I.C.

Active FY22 IC CAE grant-awardee institutions (Lead and consortia members) are not eligible to apply.

The application must reflect either 1) a consortium of at least two (2) acceptably accredited colleges, universities, or other two-or four-year degree-granting institutions in the U.S., its territories, or possessions, that provide post-secondary courses of study; the lead institution must be an acceptably accredited four-year degree-granting IHE and at least one of the other consortia institutions must be either a HBCU, PBI, HSI, and/or AANAPISI as designated by the US Department of Education, or 2) a single IHE lead from one of these four MSI categories .

The Council for Higher Education Accreditation (CHEA) is the body responsible for oversight of regional accreditation; its website is www.chea.org and only accreditation entities listed at www.chea.org shall be accepted. The MSI(s) may be either the lead applicant or a partner, or MSIs can make up the entire consortium. Each subrecipient shall receive at least 15% of the total annual award. The consortium partnership should promote the overall mission of the grant.

1. Minority Serving Institutions (MSIs), as defined by the Department of Education: A minority institution that is consistent with Part E of the Higher Education Act, 20 U.S.C. § 1067k, is an IHE whose enrollment of a single minority or a combination of minorities exceeds 50% of the total enrollment. Under part F of the Higher Education Act, 20 U.S.C. § 1067(q). For this grant cycle, each applicant consortium must include at least one IHE designated falling within one or more of the following MSI categories:

- a) Asian American and Native American Pacific Islander-Serving Institutions (AANAPISIs)
- b) Historically Black Colleges or Universities (HBCUs)
- c) Hispanic-Serving Institutions (HSIs)
- d) Predominately Black Institutions (PBIs)

Any acceptably accredited (by a CHEA member) two-year IHE (e.g., **community college**), can participate as a consortium member (subrecipient) subject to the minimum annual sub award percentage.

B. COST SHARING OR MATCHING

There is no required cost sharing, matching, or cost participation to be eligible under this FOA. This is consistent with 2 CFR 200.306, 'Under Federal research proposals, voluntary committed cost sharing is not required.'

C. OTHER ELIGIBILITY CRITERIA

- 1. Principal Investigator (PI):** Name a PI for the lead institution. Under 2 CFR 200, the PI is required for the successful implementation of an award. To be eligible, the PI shall meet the following requirements:
 - a) Be a U.S. citizen;
 - b) Be an employee of the lead institution;
 - c) Be on the faculty of the lead institution.
- 2. Other Key Personnel:** The lead institution program administrator or program assistant assigned to conduct program management duties and the subrecipient PIs, must also meet the following requirements to be eligible:
 - a) Be a U.S. citizen;
 - b) Be an employee of the lead or consortium institution.
- 3. An Authorized Organizational Representative (AOR)** in the lead institution's administrative, grants, or contracting office authorized to apply for Federal grants on the institution's behalf.
- 4. A signed letter** in the application, on official letterhead, from a senior official of the lead institution (e.g., President, Chancellor, Provost, or other appropriately designated official) acknowledging the institution's commitment to supporting the IC CAE program defined in this FOA.
- 5. Submission of a timely application** by the date specified which complies with the FOA instructions.

IV. APPLICATION & SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION PACKAGE

The FOA will be made available on [grants.gov](https://www.grants.gov).

B. CONTENT AND FORM OF APPLICATION SUBMISSION

1. Completeness of Information:

Applications must include the information specified in this FOA. Be sure to

specify the Commercial and Government Entity (CAGE) Code, the Unique Entity Identifier (UEI) from SAM.gov and the Taxpayer Identification Number (TIN) with your submission. Completion of the Representations and Certifications as well as registering with the System for Award Management (SAM) are prerequisites for applying. Applicant is solely responsible for clearly marking any data that it considers to be proprietary information. Note: Non-Government personnel under contract to the ODNI may be assisting Government personnel with administrative and logistical tasks related to the grant application review. All Non-Government personnel will be required to sign a Non-Disclosure Agreement as part of their involvement with the subsequent FOA.

2. Submission Dates and Times:

To be considered and evaluated, the completed application must be submitted and received by the Government, **via e-mail, by 5:00 PM EST, 13 May 2024**. It is the lead institution's responsibility to confirm applications are accepted prior to suspense date and time. ODNI will not accept applications after the time and date specified in this announcement. The IC CAE PO will use the process and criteria described in the Merit Evaluation (Section V – Application Review Information), to evaluate each application submitted under this FOA. Lead institutions are encouraged to plan application submissions well before the FOA deadline to avoid missing an opportunity to apply. July 31, 2024, should be used for budget and performance period start date purposes.

3. Application Preparation Instructions:

The application shall be clear, concise, and include sufficient detail for meaningful evaluation. Elaborate brochures or documentation, binding, detailed artwork, or other embellishments are unnecessary and **will not be reviewed**.

4. Three (3) Distinct Volumes:

A complete application shall be separated into three distinct volumes:

- **Volume I:** Application Intent (Not to exceed 40 pages)
 - Program Overview
 - Program Narrative
- **Volume II:** Curriculum Vitae of the Principal Investigator and all other Key Personnel (shall be no more than two (2) pages per CV/resume)
- **Volume III:** Budget (No page limit)

Each volume will be a separate file with lead institution's name and volume number as the file name. At the lead institution's discretion, all three volumes can be compressed using a zip compression tool resulting in a single transport file. The single zipped file should be named [ODNI-24-FOA-01_YourSchoolname.zip]. It is not the Government's responsibility to ensure the files can be expanded and/or data content readability of the expanded files. In the event any file is unable to be

expanded and/or read, the applicant's submission may be deemed unreadable, unacceptable, and disqualified for evaluation. No requests for alternate application submission will be allowed unless directed in advance of the due date by the Grants Officer (GO). **SAMPLE FILE NAMES:**

- **FILE ONE name is:**
 - “ODNI-24-FOA-01_Vol_I_Yourschoolname.docx”;
- **FILE TWO name is:**
 - “ODNI-24-FOA-01_Vol_II_Yourschoolname.docx”;
- **FILE THREE name is:**
 - “ODNI-24-FOA-01_Vol_III_Yourschoolname.docx”;
- **ZIP FILE name is:**
 - “ODNI-24-FOA-01_YourschoolnameMASTER.zip.

5. Additional Forms/Requirements:

In addition to the three required volumes above, the application must include the eligibility criteria checklist, application submission checklist, budget/cost tables (provided separate fillable forms), and all applicable SF424 forms, such as the Application for Federal Assistance (SF-424), Assurances for Non-construction Programs (SF-424B), Budget Information for Non-Construction Programs (SF-424A), Budget Narrative Attachment Form, and Disclosure of Lobbying Activities (SF-LLL). These forms can be found at grants.gov/forms/forms-repository/sf-424-mandatory-family.

- 6.** A signed letter in the application, on official letterhead, from a senior official from the consortium member's (e.g., President, Chancellor, Provost, or other appropriately designated official) acknowledging the institution's commitment to supporting the IC CAE program defined in this FOA.

7. Format for Attachments:

Documents should be typed, single-spaced on standard-sized paper (8.5" x 11") with 1" margins on all sides. Use a clear font that is highly readable. Recommend using 12-point Times New Roman font.

- Allowable file formats are MS Word (.doc or .docx Microsoft Office 365 or earlier version), Adobe Acrobat (.pdf) searchable file with copy permission granted, and MS Excel (.xls or .xlsx Microsoft Office 365 or earlier version). The single or zipped (.zip) file shall be less than 3.0 MB in size.
- Separate attachments, such as institutional brochures or reprints, will not be evaluated.
- Embedded links to external websites within any volume will not be activated or reviewed.
- Application information submitted shall be complete, concise, and self-contained within each respective volume.

- No submitted documents shall be locked, nor password protected.

The Government will retain copies of all electronic applications (which will be retained and disposed of under the records retention policy).

If a submitted application fails to meet requirements B.1, B.2, and B.4 above, it may not be further evaluated.

VOLUME I: PROGRAM INTENT

This volume is for non-budget focused application information detailing the approach and intent in addressing the Program Overview, Program Narrative, and Objectives 1 through 9 herein.

A complete application shall include a Program Overview section addressing the Eligibility Criteria and required components and a Program Narrative section addressing Objectives 1- 9 and other elements listed below. The total page limitation for Volume I is not to exceed forty (40) pages. The total page count limit does not include a cover page (not required), Table of Contents (not required), Appendix D, the Eligibility Checklist & Acknowledgement, ~~Appendix E~~, the Application Submission Checklist, and the signed letter from the Institution's President (See Section III, Eligibility Information).

1. Program Overview.

Provide a Program Overview with the following required components:

Eligibility Criteria: Complete and include the Eligibility Checklists & Acknowledgement provided in Appendix D, including the signed letter from a senior official of the lead institution (e.g., President, Chancellor, Provost, or other appropriately designated official).

Required Components:

- a) The FOA #: ODNI-FOA-24-01;
- b) An application title that includes "IC Centers for Academic Excellence";
- c) The legal name of the lead institution;
- d) The names of the PI, the lead institution program administrator or program assistant, and an appropriate AOR in the lead institution's administrative, grants, or contracting office, along with their contact information;
- e) CAGE Number;
- f) Unique Entity Identifier (UEI) from SAM.gov and expiration date;
- g) Academic/functional title;
- h) Telephone number;
- i) Fax number;

- j) Postal mailing address;
- k) E-mail address; and
- l) Alternate contact information (name, title, phone, email) should also be included in the overview. If application clarifications are required during the receipt or evaluation, ODNI will contact the listed PI and/or AOR based on the information provided by the lead institution within the application.

2. Program Narrative

Provide a program narrative that details the lead institution's understanding of the IC mission, goals, and objectives, while complementing the IC CAE Program Focus Areas as described in Section I, Part A, when constructing its application. The Program Narrative should include:

- The Consortia's IC CAE Program mission statement.
- Synopsis of the lead institution's vision of its operation as an IC CAE Program school, using all nine objectives as detailed below.
- Introduction of subrecipient institutions, that form the consortium, including their roles and unique contributions specific to diversity and/or subject matter expertise.

VOLUME II: CURRICULUM VITAE/RESUME OF PI AND ALL KEY PERSONNEL

The complete application shall include CVs for the lead institution PI, the subrecipient(s) PI(s) and all other proposed Key Personnel. If the lead or subrecipient institutions do not yet have employees in the Key Personnel positions, a detailed plan of the Key Person's duties, and a timeline for hiring and, if applicable, a letter of intent shall be included. Key Personnel are defined in 2 CFR 701.1 as those individuals identified for approval as part of substantial involvement in a grant agreement whose positions are essential to the successful implementation of an award. Key Personnel, for the lead institution and all subrecipients, must meet eligibility standards, as described in Section III, Other Eligibility Criteria.

Each CV/resume shall be no more than two (2) pages and shall demonstrate why the individual is qualified for the position.

The PI is designated as Key Personnel. Other Key Personnel include subrecipient PIs and may include an administrative or program assistant responsible for conducting lead institution program management duties in support of the lead institution PI (if included in PM plan).

VOLUME III: BUDGET

1. Program Budget and Cost

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This volume shall be entitled VOLUME III – Budget and Costs and represents the financial portion of the application. It shall contain budget as well as cost estimates in sufficient detail, including budget/cost details for proposed sub-awards for consortium members in a narrative form. A sample budget format is provided to develop identifiable budget/cost elements and show proposed allocations to budget/cost specific group(s) that can be analyzed to determine reasonableness and allow for specific discussions and clarification as required. There is no page limit for Volume III. An overall summary page shall have the proposed base year budget amount and option year amounts with a total proposed budget amount using the following format:

SUMMARY BUDGET/COST TABLE

Budget/Cost Table for Base Year and each Option Year table shall be incorporated in Volume III on individual page and after the Summary Budget/Cost Table page.

BASE YEAR FY24	\$400,000.00
OPTION YEAR 1 FY25	\$400,000.00
OPTION YEAR 2 FY26.....	\$400,000.00
OPTION YEAR 3 FY27	\$400,000.00
OPTION YEAR 4 FY28.....	\$400,000.00
OPTION SUSTAINMENT PERIOD YEAR 1 FY29	\$125,000.00
OPTION SUSTAINMENT PERIOD YEAR 2 FY30	\$125,000.00
OPTION SUSTAINMENT PERIOD YEAR 3 FY31	\$125,000.00
OPTION SUSTAINMENT PERIOD YEAR 4 FY32	\$125,000.00
TOTAL PROPOSED BUDGET.....	\$2,500,000.00 (Summary of Base and all Option Years)

In Volume III, the lead institution shall provide a written, detailed budget/cost narrative that supports budget/cost allocations represented in budget/cost tables. The narrative shall identify and describe budget/cost elements in relationship to specific paragraph/section application reference(s) in Volume I. It is the responsibility of the lead institution to describe in detail and with clarity the relationship between proposed Volume III budget/costs and proposed Volume I approach/intent. The amounts shall clearly demonstrate costs for any sub-awards and allocations of their budget cost elements.

Descriptions shall reflect a phased approach to implementing the program components detailed in Volume I, with each year’s efforts building on the previous year. Cost elements and descriptions shall reflect program growth over the five-year base period and four-year optional sustainment period, moving from development to sustainment. Planned activities and their associated costs shall demonstrate the program’s evolution, example such as:

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- **PHASE ONE – BASE YEAR:** Development of IC CAE program components and trial implementation with student participation.
- **PHASE TWO – OPTION YEAR 1:** Start of each program component operations and student participation.
- **PHASE THREE – OPTION YEAR 2:** Continuation of each program component operations and improvements as well as student participation.
- **PHASE FOUR – OPTION YEAR 3:** Continuation of each program component operations and improvements, student participation, and development of a plan for the grant transition to sustainment.
- **FINAL PHASE – OPTION YEAR 4:** Program component operations and improvements, student participation, and implementation of the plan for grant transition to sustainment.
- **OPTION SUSTAINMENT PERIOD YEAR 1:** Program Sustainment
- **OPTION SUSTAINMENT PERIOD YEAR 2:** Program Sustainment
- **OPTION SUSTAINMENT PERIOD YEAR 3:** Program Sustainment
- **OPTION SUSTAINMENT PERIOD YEAR 4:** Program Sustainment

2. Budget and Cost Tables Templates

The lead institution is required to complete and incorporate in Volume III a summary representation of budget and costs in the format shown herein. The lead institution shall also provide in Volume III, on a separate page, detailed Budget/Cost Tables using the format as shown in the FOA Budget Template excel file. The lead institution will create the necessary additional worksheet tabs to represent each year of grant (10 tabs total to include a summary, base year, four option years and four option sustainment years).

The proposed annual budget for the base year and four option years shall not exceed the maximum award per year amount (no greater than \$400,000.00). The proposed annual budget for the four option sustainment periods shall not exceed the maximum award per year amount (no greater than \$125,000.00)

The budget application narrative shall include the total estimated cost of the program. This section shall include complete statements regarding the basis of estimates for all proposed costs. It is expected that costs, and therefore, narratives for each year will be unique and separate. Descriptions shall reflect movement from initial program development, through program operations, to program sustainment.

Cost elements shall include, but are not limited to, the following:

- a) **Personnel and Fringe Benefits.** The person(s) (i.e., the PI, other faculty and institutional staff, and graduate and undergraduate students) whose time will be charged to the program and commensurate salaries and fringe benefits.

- b) **Travel.** Costs and personnel time for travel and the relevance of the travel to stated program objectives. Individuals receiving IC CAE funding for travel must be U.S. citizens. Travel estimates shall include a breakdown of the number of travelers, location(s), duration(s), and estimated costs for transportation, rental cars, and per-diem. This shall include travel for the required attendance of a senior program representative and faculty of each lead institution and subrecipient at the annual IC CAE PI Summit. The location for annual event will be determined the year prior, to give IC CAE Schools advance notice for planning purposes.
- c) **Equipment.** Equipment costs shall be minimal and appropriate for the proposed effort and shall be properly justified. These costs shall be broken out by unit prices.
- d) **Other Direct Costs.** Materials and supplies, publication, documentation, and dissemination expenses; consultant services; computer services; communication costs not included in overhead; and other (identify). In addition, **provide backup data for the source of costs, i.e., receipts, quotes, historical data, etc.**
- e) **Sub-Awards.** Costs and types of sub-awards (the portion of work to be sub-awarded to subrecipients) and associated rationale for the sub-awards. Note that the sub-award of funds among all university and non-university performers responding as one consortium shall be described completely in both the text and cost sections.
- f) **Indirect Costs (IDC) / Facilities and Administrative (F&A) Costs.** Those costs incurred for a common or joint purpose benefitting more than one cost objective, and not readily assignable to the cost objectives specifically benefitted, without effort disproportionate to the results achieved. To facilitate equitable distribution of indirect expenses to the cost objectives served, it may be necessary to establish a number of pools of indirect (F&A) costs. Indirect (F&A) cost pools must be distributed to benefitted cost objectives on bases that will produce an equitable result in consideration of relative benefits derived. F&A costs are synonymous with “Indirect Costs” and shall be proposed in accordance with the university’s **most recent Negotiated Indirect Cost Rate Agreement.**

Copies of the most recent Government approved F&A Rate Certification (i.e., Health and Human Services) for the lead institutions and subrecipients shall be included within Volume III as an attachment.

C. UNIQUE ENTITY IDENTIFIER AND SYSTEM FOR AWARD MANAGEMENT (SAM)

Each lead institution (unless the applicant is excepted from these requirements under 2 CFR 25.110 (b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to include:

1. **System for Award (SAM) Registration:** Before submitting an application, all lead institutions must complete and maintain an active SAM registration, including a Unique Entity Identifier (UEI) which requires renewal at least annually. A UEI may be obtained and renewed by going to the SAM.gov/entity registrations and following the instructions. The renewal process may require as much time as the initial registration. SAM registration includes the assignment of a CAGE Code for domestic organizations that have not already been assigned a CAGE Code.
 - Additional information about registration procedures may be found at the SAM website (<https://www.sam.gov>).
 - On April 4, 2022, the **unique entity identifier** used across the federal government changed from the DUNS Number to the **Unique Entity ID** (generated by SAM.gov). Each applicant (unless the applicant is excepted from these requirements under 2 CFR 25.110 (b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to provide a valid unique entity identifier (UEI) in its application (UEI is a 12-character alphanumeric ID assigned to an entity by SAM.gov).
 - Each applicant is required to have a UEI. The UEI number will be used to verify status within the System for Award Management (SAM) registrations; and
 - **Continue to maintain an active SAM registration** with current information at all times during which the lead institution has an active Federal award or an application or plan under consideration by a federal awarding agency.

D. SUBMISSION DEADLINES

1. **Application Due Date:** All submissions shall be received on or before 5:00 PM Eastern Standard Time, 13 May 2024. The Government will not accept applications after the deadline. Applications shall be submitted to: dni-foa-24-01@iarpa.gov.
2. **Effect of Missing the Deadline:** Late applications will neither be reviewed nor considered unless a determination has been made by the GO.
3. **Acknowledgement and Proof of Timely Submission:** The lead institution should request a delivery receipt when submitting its application and save it as proof of timely submission.

E. INTERGOVERNMENTAL REVIEW

N/A

F. FUNDING RESTRICTIONS

1. The proposed budget shall be no greater than \$400,000 per year, per grant (for the base and each of the first four option years). Each option sustainment period

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shall have a proposed budget no greater than \$125,000 per year. The Government reserves the right to reduce, revise, or increase application budgets in accordance with the needs of the program and the availability of funds.

2. The grant will not provide reimbursement for pre-award costs.
3. The Government anticipates current funding to be provided for the base year. All option years and sustainment option period funding will be subject to the availability of funds.
4. **Costs of entertainment, amusement, diversion, and social activities and any costs directly associated with such activities are not allowed.** It is expected that the costs of travel, materials/equipment, and other direct costs (ODC) will be necessary and reasonable for the benefit of the program and comply with the Cost Principles contained in the Uniform Guidance as directed under 2 CFR 200.404 – 408.
5. **Meals. Charging meals to a federal grant is only allowable under certain circumstances. Meals for people in travel status associated with grant business are allowable. The cost of meals and refreshments during a conference, seminar or meeting with a formal agenda and participants from different locations may also be allowable.** All other meals must receive prior approval by the Federal awarding agency to ensure necessity and reasonableness for the benefit of the program related goal/aim. It is the PI's responsibility to ensure that all meals charged to a federal award comply with federal policies. The institution's Office of Sponsored Programs should review meals submitted via check request, purchase order, interdepartmental invoice, travel report, etc. for compliance and should disallow any meals that do not appear to be reasonable and allowable. The daily rate for meals per person shall not exceed the published per diem rates for the Continental United States and Overseas Continental United States unless prior approval is given by the ODNI GO, GOTR, and IC CAE PO. The published per diem rates are found at www.gsa.gov/perdiem.
6. **For further information on allowable, allocable, and reasonable costs, see 2 CFR 200, and Subpart E Cost Principles.**

G. OTHER SUBMISSION REQUIREMENTS

Applications shall be submitted to: dni-foa-24-01@iarpa.gov.

In the event of concerns or problems with submission, please contact: The program email inbox: dni-foa-24-01@iarpa.gov.

V. APPLICATION REVIEW INFORMATION

A. EVALUATION CRITERIA

The criteria for selection include Eligibility, Technical Merit, and Program Balance. Eligibility is a pass/fail criterion. Technical Merit and Program Balance are of equal importance. Within the Technical Merit criterion are sub-criteria. The importance of the sub-criteria is set forth through a points system (i.e., merit weight). The proposed budget of selected applicants will be reviewed for completeness and reasonableness. Cost sharing will not be considered in the evaluation. Risk will be assessed for the selected applicants to determine a satisfactory record of executing programs or activities under federal grants, cooperative agreements or procurement awards and integrity and business ethics.

1. **Eligibility:** Applications shall be reviewed in accordance with the standards set forth in Section III, Eligibility Information. Based on this assessment, the applicant will be determined Eligible (pass) or Not Eligible (fail). Applications found to be Not Eligible will not be further evaluated.

Technical Merit: Applications shall be evaluated on a merit basis against the sub-criteria listed below. These sub-criteria correlate to the objectives for the Program as listed in Section **I.D. IC CENTERS FOR ACADEMIC EXCELLENCE (IC CAE) OBJECTIVES**. The relative importance of the sub-criteria are shown via designated weights as set forth in the Merit Evaluation Table below.

The evaluation of the sub-criteria below will be converted to numeric scores, per the table below.

- The numeric score is arrived at by multiplying the sub-criteria merit weight point in the **Sub-criteria & Merit Weight** column by the 'Evaluation Rating' choice of either Excellent (5), Good (4), Fair (3) or Poor (2) for each objective and adding the total for all nine (9) objectives. The top application merit score possible is 500 points. Submissions receiving under 300 merit points will not be considered for funding.

Merit Evaluation Table

Sub-criteria & Merit Weight (Constant)	Evaluation Rating (Variable)			
	Excellent (5)	Good (4)	Fair (3)	Poor (2)
<p>Objective 1: Consortium and single IHE (Merit weight: 15)</p> <p>The applicant has met the eligibility requirements and exceptionally demonstrates an understanding of the IC CAE Program goals regarding the consortium or single IHE model, as applicable, role of the lead institution and allocation to subrecipients as required.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>The applicant has met the eligibility requirements and strongly demonstrates an understanding of the IC CAE Program goals regarding the consortium or single IHE model, as applicable, role of the lead institution, and allocation to subrecipients as required.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>The applicant has met the eligibility requirements and has sufficiently demonstrated an understanding of the IC CAE Program goals regarding the consortium or single IHE model as applicable, role of the lead institution and allocation to subrecipients as required.</p> <p>Application has few strengths and has weaknesses that may be significant to performance</p>	<p>The applicant has met the eligibility requirements but has not sufficiently demonstrated an understanding of the IC CAE Program goals regarding the consortium or single IHE model, as applicable, role of the lead institution and allocation to subrecipients as required.</p> <p>Application has few or no strengths and has weaknesses that are significant to performance</p>	
SCORE:	75	60	45	30

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<p>Objective 2: Curriculum development (Merit weight: 15)</p>	<p>The applicant has clearly selected a curriculum pathway I-IV and demonstrated an exceptional ability or intent to develop, modify, and/or integrate courses into an undergraduate or graduate certificate, major, or minor degree program to be called the IC Centers for Academic Excellence (IC CAE) that prepares students for careers in the IC.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>The applicant has clearly selected a curriculum pathway I-IV and demonstrated a strong ability or intent to develop, modify, and/or integrate courses into an undergraduate or graduate certificate, major, or minor degree program to be called the IC Centers for Academic Excellence (IC CAE) that prepares students for careers in the IC.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>The applicant has selected a curriculum pathway I-IV and sufficiently demonstrated the ability or intent to develop, modify, and/or integrate courses into an undergraduate or graduate certificate, major, or minor degree program to be called the IC Centers for Academic Excellence (IC CAE) that prepares students for careers in the IC.</p> <p>Application has few strengths and weaknesses that may be significant to performance</p>	<p>The applicant has not sufficiently selected a curriculum pathway I-IV and/or failed to demonstrate the ability or intent to develop, modify, and/or integrate courses into an undergraduate or graduate certificate, major, or minor degree program to be called the IC Centers for Academic Excellence (IC CAE) that prepares students for careers in the IC.</p> <p>Application has few or no strengths and has weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>75</p>	<p>60</p>	<p>45</p>	<p>30</p>
<p>Objective 3: Establish and maintain IC CAE program management, marketing, and sustainment action plans (Merit weight: 15)</p>	<p>Application exceptionally addresses the program management, marketing, and sustainment action plans including the program goals, roles and responsibilities of the consortia and requested metrics.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application strongly addresses the program management, marketing and sustainment action plans including the program goals, roles and responsibilities of the consortia, if applicable and requested metrics.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application sufficiently addresses the program management, marketing and sustainment action plans including the program goals, roles and responsibilities of the consortia, if applicable, and requested metrics.</p> <p>Application has few strengths and weaknesses that may be significant to performance.</p>	<p>Application does not sufficiently address the program management, marketing and sustainment action plans including the program goals, roles and responsibilities of the consortia, if applicable, and requested metrics.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>75</p>	<p>60</p>	<p>45</p>	<p>30</p>

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<p>Objective 4: Foreign Language Programs (Merit weight: 10)</p>	<p>Application exceptionally demonstrates the availability of (or a viable plan to add) courses of study in LCTLs at the lead institution and subrecipients campus.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application demonstrates strong availability of (or a viable plan to add) courses of study in LCTLs at the lead institution and subrecipients campus</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application demonstrates availability of (or a viable plan to add) courses of study in LCTLs at the lead institution and subrecipients campus</p> <p>Application has few strengths and weaknesses that may be significant to performance.</p>	<p>Application does not demonstrate availability of (or a viable plan to add) courses of study in LCTLs at the lead institution and subrecipients campus.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>50</p>	<p>40</p>	<p>30</p>	<p>20</p>
<p>Objective 5: Faculty research and professional development in support of chosen curriculum pathway (Merit weight: 10)</p>	<p>Application has an exceptional plan to support and fund faculty research and professional development in the chosen curriculum pathway, across the consortium, including the annual PI Development Summit.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application describes a strong plan to support and fund faculty research and professional development in the chosen curriculum pathway, across the consortium, including the annual PI Development Summit.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application includes a sufficient plan for faculty research and professional development in the chosen curriculum pathway, across the consortium, including the annual PI Development Summit.</p> <p>Application has few strengths and weaknesses that may be significant to performance.</p>	<p>Application does not sufficiently plan for faculty research and professional development in the chosen curriculum pathway, across the consortium, including the annual PI Development Summit.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>50</p>	<p>40</p>	<p>30</p>	<p>20</p>

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<p>Objective 6: Student participation in academic programs and professional development activities</p> <p>(Merit weight: 10)</p>	<p>Application describes an exceptional plan to promote student engagement across the consortium, if applicable, and with other IC CAE schools.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application describes a strong plan to promote student engagement across the consortium, if applicable, and with other IC CAE schools.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application describes a sufficient plan to promote student engagement across the consortium, if applicable, and with other IC CAE schools.</p> <p>Application has a few strengths and has weaknesses that may be significant to performance</p>	<p>Application does not sufficiently describe a plan to promote student engagement across the consortium, if applicable, and with other IC CAE schools.</p> <p>Application has few or no strengths and has weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>50</p>	<p>40</p>	<p>30</p>	<p>20</p>
<p>Objective 7: Host an annual colloquium or speaker series in collaboration with all subrecipients</p> <p>(Merit weight: 10)</p>	<p>Application includes an exceptional plan to host an annual colloquium or a speaker series in collaboration with subrecipients, if applicable, on topics covered by this grant.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application describes a strong plan to host an annual colloquium or a speaker series in collaboration with subrecipients, if applicable, on topics covered by this grant.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application includes a sufficient plan to host an annual colloquium or speaker series in collaboration with subrecipients, if applicable, on topics covered by this grant.</p> <p>Application has a few strengths and has weaknesses that may be significant to performance</p>	<p>Application does not include a sufficient plan to host an annual colloquium or speaker series in collaboration with subrecipients, if applicable, on topics covered by this grant.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>
<p>SCORE:</p>	<p>50</p>	<p>40</p>	<p>30</p>	<p>20</p>
<p>Objective 8: Study abroad and cultural immersion at an eligible IHE.</p> <p>(Merit weight: 10) **Grants funds can only be awarded to the certain MSI categorized-schools for this objective area</p>	<p>Application describes exceptional Study Abroad/Cultural Immersion opportunities.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application describes strong Study Abroad/Cultural Immersion opportunities.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application sufficiently describes Study Abroad/Cultural Immersion opportunities</p> <p>Application has a few strengths and has weaknesses that may be significant to performance</p>	<p>Application does not sufficiently describe Study Abroad/Cultural Immersion opportunities.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>

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SCORE:	50	40	30	20
Objective 9: Scholarships for IC CAE Scholars who are sophomores, juniors, seniors, and graduate students. (Merit weight: 5)	<p>Application has an exceptional plan to use funds to create scholarship opportunities.</p> <p>Application has numerous or significant strengths and no or only minor weaknesses. Weaknesses are not considered significant to successful performance</p>	<p>Application has a strong plan to address the use of funds to create scholarships.</p> <p>Application has strengths and few weaknesses. Weaknesses that do exist are not considered significant to successful performance</p>	<p>Application sufficiently addresses the use of funds for the creation of scholarships.</p> <p>Application has a few strengths and weaknesses that may be significant to performance</p>	<p>Application does not sufficiently address the use of funds for the creation of scholarships.</p> <p>Application has few or no strengths and weaknesses that are significant to performance</p>
SCORE:	25	20	15	10
TOTAL POINTS:	500	400	300	200

2. **Program Balance:** The Government will consider the applicant’s overall approach to the IC CAE mission and program objectives via evaluations and the resultant numeric scoring as noted and may also include the following when making award decisions: broadening the geographical locations of the IC CAE Program Schools and underrepresented institutions within the IC CAE Program.
3. **Budget:** The Government will review the proposed budget of selected applicants to determine if anticipated costs of the lead institution and subrecipients are reasonable and reflect an accurate understanding of the FOA requirements.
4. **Applicant Risk Assessment:** The Government will assess risk of the selected applicants through evaluation of information about the applicant that is in the designated integrity and performance system accessible through SAM.gov, or through any OMB designated government-wide repositories for eligibility qualification or financial integrity information system as appropriate. In evaluating risks, the Government may consider the following: financial stability, quality of management systems, history of performance, security, reports and findings from audits, ability to implement statutory, regulatory, or other requirements imposed on non-federal entities.

B. REVIEW AND SELECTION PROCESS

1. Applicant Inquiries and Submission Process

- a. **Grants.gov Registration:** The ODNI will use Grants.gov to post the FOA along with updates and amendments. Grants.gov requires all users to create an account in the Grants.gov system. The user must verify they are able to obtain a username and password. This process confirms the identity of the lead institution. Before you can create your Grants.gov organization lead institution username and password, your SAM registration must be complete and active.
- b. **Inquiries from the Applicant:** Submit questions on administrative or technical issues by email to dni-foa-24-01@iarpa.gov. All questions must include the full name and affiliation of a point of contact. Question and answers will be posted on Grants.gov website. No answers will go directly to the submitter. Questions will be accepted until 29 March 2024.
- c. **Submission Process:** *Lead institutions shall provide their submission via email to ODNI at the following email address: dni-foa-24-01@iarpa.gov.* Applicant is responsible for clearly marking any data that it considers proprietary information. An automated response will be generated and sent to confirm receipt. Applications can be submitted as one or multiple files, but if multiple files are submitted, they shall be combined into one compressed folder (.zip) and submitted as one .zip file. If an applicant fails to follow this requirement and submits multiple files individually (i.e., not zipped) for an application, the Government may elect to not review all the individual files in the interest of efficiency while downloading and reviewing responses.
- d. Failure to follow the submission instructions may result in the applicant's application being determined as non-responsive and disqualified for evaluation. Applicants are strongly encouraged to submit applications well before the submission deadline as late applications may not be accepted due to technical problems.
- e. **Program Updates:** It is the applicant's responsibility to check Grants.gov for updates, revisions, and responses to questions and comments from other applicants which may include additional guidance regarding this program. All amendments and announcements referencing this grant (to include Questions and Answers) will be posted only on www.grants.gov.

2. Evaluation and Selection

Applications shall be reviewed by the GO with assistance from the IC CAE PO as necessary to determine whether the applicant is eligible in accordance with the standards set forth in Section III, Eligibility Information. Based on this

assessment, the applicant will be determined Eligible (pass) or Not Eligible (fail). Applications found to be Not Eligible will not be further evaluated. Those determined Eligible, will move on to the merit evaluation.

A Merit Review Panel (MRP) will be formed to conduct the merit review of applications. The MRP will be comprised of academic experts to include the National Intelligence University (NIU), student program managers, talent acquisition leads, and diversity equity and inclusion representatives, from across the IC, as determined by the ODNI.

The MRP will review and evaluate Volume I, Program Intent and Volume II, Curriculum Vitae/Resume of PI and Other Key Personnel using the merit evaluation sub-criteria. The MRP Chairperson will not conduct their own individual technical review of applications but will oversee the process and consolidate the findings of the MRP reviewers including the final merit numerical scores for each application. The MRP Chairperson will submit a memorandum to the Selection Official (SO) and GO, that conveys the MRP's rank order list of numerical scores.

The SO will review and consider the findings of the MRP as presented in the memorandum including the final merit review numerical scores for each application and program balance analysis and select the applications for award consideration.

After selection and before award, the GO, with assistance from other Government and non-Government personnel as needed, will review the proposed budget to determine that proposed anticipated costs of the lead institution and subrecipients are reasonable and reflect an accurate understanding of the FOA requirements. If budget adjustments are necessary, changes will be requested prior to grant award.

3. Applicant Risk Assessment:

Before award, the GO, with assistance from other Government and non-Government personnel as needed, will review and consider any information about the applicant that is in the designated integrity and performance system accessible through www.SAM.gov. In evaluating risks, the Government may consider the following: financial stability, quality of management systems, history of performance, security, reports and findings from audits, ability to implement statutory, regulatory or other requirements imposed on non-federal entities.

The applicant, at its option, may review information in the designated integrity and performance system accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM;

The Government will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 200.205.

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At a minimum, the information in the system for a prior federal award recipient must demonstrate a satisfactory record of executing programs or activities under federal grants, cooperative agreements or procurement awards and integrity and business ethics. Award may be made to an entity who does not fully meet these standards, if it is determined that the information is not relevant to this FOA.

Award will be made to the applicant(s) selected by the SO that have reasonable budgets reflecting an accurate understanding of the FOA requirements and which meet the minimum risk standard and pending the availability of funds.

C. ANTICIPATED ANNOUNCEMENT AND FEDERAL AWARD DATES

The following table provides the key dates that apply to this announcement. The Estimated Award Date given here shall be used for planning and budgeting purposes. All applications shall be valid through 30 September 2024 to allow time for the evaluation, selection, and award process.

Action	Due Date
Applications Due	13 May 2024
Estimated Award Date	31 July 2024

VI. FEDERAL AWARD ADMINISTRATION INFORMATION

A. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS

- Disclosure of Lobbying Activities (SF-LLL) (OMB 4040-0013).
- Assurances for Non-Construction Programs (SF-424B) (OMB 4040-0007).

These certifications are found on www.grants.gov under the “FORMS” tab and then under “R&R Family” listing.

B. REPORTING

Mandatory performance progress and financial reports shall be required under this program in accordance with the award document. All reporting requirements, templates, and instructions for report preparation will be included in the award document. The reports shall be prepared and submitted in accordance with the procedures contained in the bilaterally signed award document.

All reporting requirements in this section shall also apply to subrecipients per 2 CFR 200.331(a) and 200.332. The lead institution shall ensure subrecipients transmit the information detailed in this section to the lead institution, and the lead institution shall include that information in its report submitted to the IC CAE PO and ODNI GO

Copies of reports and all referenced documents shall be submitted in soft copy to the IC CAE PO and the ODNI GO.

All submitted reports will be used by the IC CAE PO as a part of an annual grant monitoring process in reviewing the lead institution and subrecipient performance.

1. Interim Performance Progress Reporting

The lead institution shall submit bi-annual reports comparing actual program accomplishments compared with goals and objectives; both successes and reasons why goals and objectives were unmet; cumulative metrics on the number of program participants as defined by the IC CAE PO, lessons learned and best practices that might be shared with other Schools; upcoming activities and milestones; challenges, and necessary adjustments (if any), in accordance with the application. Recipients shall report deviations from budget or program scope or objectives as they arise and request prior approvals from the ODNI GO and the IC CAE PO for budget and program plan revisions. (ref. 2 CFR 200.328 - 200.329)

A completed *Standard Form 298 (SF-298) Report Documentation Page* shall be used as a cover sheet for interim performance progress reporting along with the performance progress report template provided by the IC CAE PO. The IC CAE PO requires SF-298 reporting twice a year: one report covering the summer and fall academic terms, referred to as Block 1, submitted to the IC CAE PO no later than 30 days after the close of the fall semester; and one report covering the winter and spring terms, referred to as Block 2, combined with the annual performance report, submitted to the ODNI GO, GOTR and IC CAE PO no later than 30 days following the end of the period of performance reported as noted below.

- **Block 1: Summer and Fall Academic Terms (June 1st - December 31st)** Due no later than 31 January of the following year
- **Block 2: Winter and Spring Academic Terms (January 1st – May 31st)** Due 31 August of that same year

If the academic terms are not in full alignment with Block 1 and Block 2, performance reporting should still align to the dates provided. Required metrics shall also be reported on this cycle using forms and/or methods provided by the IC CAE PO. Metrics must be reported to Congress and failure to report may have negative affect on option year decisions.

2. Interim Financial Reporting and Invoicing

Financial reports shall be submitted to the ODNI GO, GOTR, and IC CAE PO quarterly, no later than 30 days after the end of the fiscal quarter, per the OMB requirements. The *Standard Form 425 Federal Financial Report (SF-425)* shall

be used for general financial reporting and shall report cumulative values from inception of the grant, not just the budget year.

In addition to general financial reporting, invoicing for reimbursement of allowable expended funds shall occur monthly or quarterly. Invoices should:

- Not exceed approved budget lines from applications (or subsequent modifications) by more than 10% without approval from the ODNI GO;
- Be certified by the lead institution's fiscal personnel as true and accurate.
- Include the *SF-270 Request for Advance or Reimbursement* form; and,
- Include sufficient detail to allow the Government to validate and approve grant invoice.

The invoices shall be submitted in accordance with IA52.232-700 Billing Cycle (JUL 2013 and IA52.232-701 Electronic Submission of Payment Requests using Invoicing Processing Platform (IPP) (JUN 2017). Each clause will be referenced in the Grants award. Attachments are not allowed on IPP. The awardee shall provide the Invoice document, SF270, and backup information to the GOTR upon IPP submission.

Required Certifications: To assure expenditures are proper and in accordance with the terms and conditions of the Federal award and approved program budgets, **the annual and final fiscal reports or vouchers requesting payment under the agreements shall include a certification**, signed by a lead institution official authorized to legally bind the non-Federal entity, and reading as follows: *"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."* (18 U.S.C. § 1001; 31 U.S.C. §§ 3729-3730, 3801-3812).

3. Annual Grant Review

Grant monitoring applies to the post-award administrative phase of the grant lifecycle and provides guidance for maintaining compliance with 2 CFR 200 pertaining to monitoring site visits during the administration of federal grants. (2 CFR Part 200, Cost Principles and Audit Requirements for Federal Awards)

The IC CAE PO uses the submitted SF-298s, Performance Progress Reports and SF-425s to evaluate the lead institution and subrecipient performance. Once a year, or as needed and as resources allow, formal grant monitoring site visits will be scheduled. Formal site visits are conducted to allow for a comprehensive review of the recipient and how the program is being managed, and to determine whether

subsequent option years will be executed. It includes, but is not limited to, financial review, personnel review, program management review, and property review. This type of site visit requires planning, time, and resources.

A grant monitoring site visit checklist, provided by the IC CAE PO, will be used as a tool in documenting findings during a formal site visit on an annual basis to assist in determining whether future option years should be executed.

4. Final Reports

- a. **Performance Report:** The lead institution shall submit to the IC CAE PO, GOTR and ODNI GO a comprehensive final program performance report, with a cumulative SF-298 Report Documentation Page as a cover sheet, no later than 90 days after the conclusion of the period of performance (the end of the active grant period). The report shall address the accomplishments for the entire grant period; provide a listing of all key activities, accomplishments, publications, presentations, workshops, and other program related activities; and work products arising from the program. The report shall also include cumulative reports for all subrecipients. The report shall also address updated program, marketing, and sustainment plans intended to carry the program into the legacy sustainment period.
- b. **Final SF-425, Federal Financial Report (FFR):** The SF-425 shall be completed in its entirety. The SF-425 requires cumulative reporting of obligations and expenditures. Cumulative reporting shall provide a sum of obligations and expenditures for the life of the grant.
- c. **Required Certifications:** To assure expenditures are proper and in accordance with the terms and conditions of the Federal award and approved program budgets, **the annual and final fiscal reports or vouchers requesting payment under the agreements shall include a certification**, signed by a lead institution official authorized to legally bind the non-Federal entity, and reading as follows: *"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."* (18 U.S.C. § 1001; 31 U.S.C. §§ 3729-3730, 3801-3812).
- d. **Final Invoice:** A final invoice for the grant period shall be submitted no later than 90 days after the conclusion of the period of performance unless extenuating circumstances occur. If extenuating circumstances occur, the

recipient shall immediately notify the IC CAE PO, GOTR, and the ODNI GO of the circumstance and the anticipated final invoice submission date.

C. REPORTING SUB-AWARD AND EXECUTIVE COMPENSATION

Reporting of sub-awards: The *Federal Funding Accountability and Transparency Act of 2006 (Transparency Act)*, includes a requirement for awardees of U.S. grants to report information about first-tier sub-awards and executive compensation under Federal assistance awards issued in FY2011 or later. All awardees of applicable ODNI IC CAE grants are required to report to the Federal Sub-Award Reporting System (FSRS) on all sub-awards over \$30,000. (2 CFR 200.331(a), 2 CFR 200.332)

ODNI does not participate in federal electronic initiatives based upon its acquisition authorities under Section 102A(n) of the National Security Act of 1947 (as amended). ODNI thereby deviates from 2 CFR Part 200.211 requirements to submit information to reporting repositories such as System for Award Management (SAM.gov) and the Contractor Performance Assessment Reporting System (CPARS).

The Federal Procurement Data System (FPDS) is a comprehensive database that collects, processes, and disseminates statistical data on Federal contracting activity. ODNI does not participate in the FPDS. However, ODNI records many of the same elements in the Contract Acquisition System. This system is the ODNI's primary source for contract data for statistical reporting purposes.

Where and when to report:

ODNI will require recipients to submit any 2 CFR Part 200 information that is required to be posted in government-wide repositories to the IC CAE PO and ODNI GO instead. The recipient shall send a manual report for each obligating action to the IC CAE PO and ODNI GO instead of the Federal Funding Accountability and Transparency Act Sub-award System (FSRS) <http://www.fsrs.gov>. Sub-award information shall be reported no later than the end of the month following the month in which the obligation was made.

What to report:

The recipient shall report the information about each obligating action to the IC CAE PO and ODNI GO in accordance with the submission content instructions posted at <http://www.fsrs.gov>.

- Sub-awards, and
- The total compensation of the five most highly compensated executives of any subrecipient.

D. FEDERAL AWARD NOTICE

It is the responsibility of lead institutions and all interested parties to stay apprised of amendments pertaining to this FOA, including revision information, posting of questions and answers, and notifications via www.Grants.gov.

After completion of the technical merit evaluation and application selection, a notification letter for award will be sent to the PI and AOR, via e-mail. Selected lead institutions will receive a notification letter from the Government with further instructions. Non-selected lead institutions will receive a notification letter from the Government via email. Requests for an informal debriefing will be considered.

Upon successful completion of Volume III discussions, the Government will issue the Grant agreement to the lead institution.

Performance shall not begin until the bilaterally signed grant agreement is provided by the ODNI GO to the lead institution. The grant award execution date and effective start date may be different.

The Government is not obligated to provide any funding until the grant award document is bilaterally signed by the ODNI GO and the AOR.

E. INTELLECTUAL PROPERTY, PATENTS AND ROYALTIES

Standard Patent Rights Clause

The following Patent Rights clause will be used in funding agreements awarded by ODNI that relates to scientific or engineering research unless a special patent clause has been negotiated. When the clause is used in a funding agreement other than a grant, "grant" and "grantee" may be replaced by "cooperative agreement" and "awardee" or other appropriate terms.

a. Definitions.

1. INVENTION means any invention or discovery which is or may be patentable or otherwise protectable under Title 35 of the U.S. Code (U.S.C.), to any novel variety of plant which is or may be protected under the Plant Variety Protection Act (7 U.S.C. §§ 2321 et seq.).
2. SUBJECT INVENTION means any invention of the grantee conceived or first actually reduced to practice in the performance of work under this grant, provided that in the case of a variety of plant, the date of determination (as

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defined in section 41(d)) must also occur during the period of grant performance.

3. PRACTICAL APPLICATION means to manufacture in the case of a composition or product, to practice in the case of a process or method, or to operate in the case of a machine or system; and, in each case, under such conditions as to establish that the invention is being utilized and that its benefits are to the extent permitted by law or Government regulations available to the public on reasonable terms.
 4. MADE when used in relation to any invention means the conception or first actual reduction to practice of such invention.
 5. NON-PROFIT ORGANIZATION means a domestic university or other institution of higher education, or an organization of the type described in Section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. § 501(c)) and exempt from taxation under Section 501(a) of the Internal Revenue Code (26 U.S.C. § 501(a)) or any domestic non-profit scientific or educational organization qualified under a State non-profit organization statute.
- b. Allocation of Principal Rights. The grantee may retain the entire right, title, and interest throughout the world to each subject invention subject to the provisions of this Patent Rights clause and 35 U.S.C. § 203. With respect to any subject invention in which the grantee retains title, the Federal Government shall have a non-exclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the U.S. the subject invention through-out the world.
- c. Invention Disclosure, Election of Title and Filing of Patent Applications by Grantee.
1. The grantee will disclose each subject invention to ODNI within two months after the inventor discloses it in writing to grantee personnel responsible for the administration of patent matters. The disclosure to ODNI shall be in the form of a written report and shall identify the grant under which the invention was made and the inventor(s). It shall be sufficiently complete in technical detail to convey a clear understanding of the nature, purpose, operation, and, to the extent known, the physical, chemical, biological or electrical characteristics of the invention. The disclosure shall also identify any publication, on sale or public use of the invention and whether a manuscript describing the invention has been submitted for publication and, if so, whether it has been accepted for publication at the time of disclosure. In addition, after disclosure to ODNI, the grantee will promptly notify ODNI of the acceptance of any manuscript describing the invention for publication or of any on sale or public use planned by the grantee.

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2. The grantee will elect in writing whether to retain title to any such invention by notifying ODNI within two years of disclosure to ODNI. However, in any case where publication, on sale, or public use has initiated the one-year statutory period wherein valid patent protection can still be obtained in the U.S., the period for election of title may be shortened by ODNI to a date that is no more than 60 days prior to the end of the statutory period.
 3. The grantee will file its initial patent application on an invention to which it elects to retain title within one year after election of title or, if earlier, prior to the end of any statutory period wherein valid patent protection can be obtained in the U.S. after a publication, on sale, or public use. The grantee will file patent applications in additional countries or international patent offices within either ten months of the corresponding initial patent application, or six months from the date when permission is granted by the Commissioner of Patents and Trademarks to file foreign patent applications when such filing has been prohibited by a Secrecy Order.
 4. Requests for extension of the time for disclosure to ODNI, election, and filing under subparagraphs 1., 2., and 3. may, at the discretion of ODNI, be granted.
- d. Conditions When the Government May Obtain Title. The grantee will convey to ODNI, upon written request, title to any subject invention:
1. if the grantee fails to disclose or elect the subject invention within the times specified in paragraph c. above, or elects not to retain title; provided that ODNI may only request title within 60 days after learning of the failure of the grantee to disclose or elect within the specified times;
 2. in those countries in which the grantee fails to file patent applications within the times specified in paragraph c. above, but prior to its receipt of the written request of ODNI, the grantee shall continue to retain title in that country; or
 3. in any country in which the grantee decides not to continue the prosecution of any application for, to pay the maintenance fees on, or defend in a reexamination or opposition proceeding on, a patent on a subject invention.
- e. Minimum Rights to Grantee.
1. The grantee will retain a non-exclusive royalty-free license throughout the world in each subject invention to which the Government obtains title, except if the grantee fails to disclose the subject invention within the times specified in paragraph c. above. The grantee's license extends to its domestic subsidiaries and affiliates, if any, within the corporate structure of which the grantee is a party and includes the right to grant sublicenses of the same scope to the extent the grantee was legally obligated to do so at the time the grant was awarded. The license is transferable only with the approval of ODNI

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except when transferred to the successor of that part of the grantee's business to which the invention pertains.

2. The grantee's domestic license may be revoked or modified by ODNI to the extent necessary to achieve expeditious practical application of the subject invention pursuant to an application for an exclusive license submitted in accordance with applicable provisions at 37 CFR §404. This license will not be revoked in that field of use or the geographical areas in which the grantee has achieved practical application and continues to make the benefits of the invention reasonably accessible to the public. The license in any foreign country may be revoked or modified at discretion of ODNI to the extent the grantee, its licensees, or its domestic subsidiaries or affiliates have failed to achieve practical application in that foreign country.
 3. Before revocation or modification of the license, ODNI will furnish the grantee a written notice of its intention to revoke or modify the license, and the grantee will be allowed thirty days (or such other time as may be authorized by ODNI for good cause shown by the grantee) after the notice to show cause why the license should not be revoked or modified. The grantee has the right to appeal, in accordance with applicable regulations in 37 CFR §404 concerning the licensing of Government-owned inventions, any decision concerning the revocation or modification of its license.
- f. Grantee Action to Protect Government's Interest.
1. The grantee agrees to execute or to have executed and promptly deliver to ODNI all instruments necessary to: (i) establish or confirm the rights the Government has throughout the world in those subject inventions for which the grantee retains title; and (ii) convey title to ODNI when requested under paragraph d. above, and to enable the Government to obtain patent protection throughout the world in that subject invention.
 2. The grantee agrees to require, by written agreement, its employees, other than clerical and non-technical employees, to disclose promptly in writing to personnel identified as responsible for the administration of patent matters and in a format suggested by the grantee each subject invention made under this grant in order that the grantee can comply with the disclosure provisions of paragraph c. above, and to execute all papers necessary to file patent applications on subject inventions and to establish the Government's rights in the subject inventions. The disclosure format should require, as a minimum, the information requested by paragraph c.1. above. The grantee shall instruct such employees through the employee agreements or other suitable educational

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programs on the importance of reporting inventions in sufficient time to permit the filing of patent applications prior to U.S. or foreign statutory bars.

3. The grantee will notify ODNI of any decision not to continue prosecution of a patent application, pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than 30 days before the expiration of the response period required by the relevant patent office.
 4. The grantee agrees to include, within the specification of any U.S. patent application and any patent issuing thereon covering a subject invention, the following statement: "This invention was made with Government support under (identify the grant) awarded by the Office of the Director of National Intelligence. The Government has certain rights in this invention."
 5. The grantee or its representative will complete, execute and forward to ODNI a confirmation of a License to the U.S. Government and the page of a United States patent application that contains the Federal support clause within two months of filing any domestic or foreign patent application.
- g. Subcontracts.
1. The grantee will include this Patent Rights clause, suitably modified to identify the parties, in all subcontracts, regardless of tier, for experimental, developmental or research work. The subcontractor will retain all rights provided for the grantee in this Patent Rights clause, and the grantee will not, as part of the consideration for awarding the subcontract, obtain rights in the subcontractors' subject inventions.
- h. Reporting on Utilization of Subject Inventions. The grantee agrees to submit on request periodic reports no more frequently than annually on the utilization of a subject invention or on efforts at obtaining such utilization that are being made by the grantee or its licensees or assignees. Such reports shall include information regarding the status of development, date of first commercial sale or use, gross royalties received by the grantee and such other data and information as ODNI may reasonably specify. The grantee also agrees to provide additional reports in connection with any march-in proceeding undertaken by ODNI in accordance with paragraph j. of this Patent Rights clause. As required by 35 U.S.C. § 202(c)(5), ODNI agrees it will not disclose such information to persons outside the Government without the permission of the grantee.
- i. Preference for United States Industry. Notwithstanding any other provision of this Patent Rights clause, the grantee agrees that neither it nor any assignee will grant to any person the exclusive right to use or sell any subject invention in the U.S. unless such person agrees that any products embodying the subject invention or produced through the use of the subject invention will be manufactured substantially in the U.S. However, in individual cases, the requirement for such an agreement may be waived

by ODNI upon a showing by the grantee or its assignee that reasonable but unsuccessful efforts have been made to grant licenses on similar terms to potential licensees that would be likely to manufacture substantially in the U.S. or that under the circumstances domestic manufacture is not commercially feasible.

- j. **March-in Rights.** The grantee agrees that with respect to any subject invention in which it has acquired title, ODNI has the right in accordance with procedures at 37 CFR §401.6 to require the grantee, an assignee or exclusive licensee of a subject invention to grant a non-exclusive, partially exclusive, or exclusive license in any field of use to a responsible applicant or applicants, upon terms that are reasonable under the circumstances and if the grantee, assignee, or exclusive licensee refuses such a request, ODNI has the right to grant such a license itself if ODNI determines that:
1. such action is necessary because the grantee or assignee has not taken or is not expected to take within a reasonable time, effective steps to achieve practical application of the subject invention in such field of use;
 2. such action is necessary to alleviate health or safety needs which are not reasonably satisfied by the grantee, assignee, or their licensees;
 3. such action is necessary to meet requirements for public use specified by Federal regulations and such requirements are not reasonably satisfied by the grantee, assignee, or licensee; or
 4. such action is necessary because the agreement required by paragraph i. of this Patent Rights clause has not been obtained or waived or because a licensee of the exclusive right to use or sell any subject invention in the U.S. is in breach of such agreement.
- k. **Special Provisions for Grants with Non-profit Organizations.** If the grantee is a non-profit organization, it agrees that:
1. rights to a subject invention in the U.S. may not be assigned without the approval of ODNI, except where such assignment is made to an organization which has as one of its primary functions the management of inventions, provided that such assignee will be subject to the same provisions as the grantee;
 2. the grantee will share royalties collected on a subject invention with the inventor, including Federal employee co-inventors (when ODNI deems it appropriate) when the subject invention is assigned in accordance with 35 U.S.C. § 202(e) and 37 CFR § 401.10;
 3. the balance of any royalties or income earned by the grantee with respect to subject inventions, after payment of expenses (including payments to inventors) incidental to the administration of subject inventions, will be utilized for the support of scientific or engineering research or education; and

4. it will make efforts that are reasonable under the circumstances to attract licensees of subject inventions that are small business firms and that it will give preference to a small business firm if the grantee determines that the small business firm has a plan or proposal for marketing the invention which, if executed, is equally likely to bring the invention to practical application as any plans or proposals from applicants that are not small business firms; provided that the grantee is also satisfied that the small business firm has the capability and resources to carry out its plan or proposal. The decision whether to give a preference in any specific case will be at the discretion of the grantee. However, the grantee agrees that the Secretary of Commerce may review the grantee's licensing program and decisions regarding small business applicants, and the grantee will negotiate changes to its licensing policies, procedures or practices with the Secretary when the Secretary's review discloses that the grantee could take reasonable steps to implement more effectively the requirements of this paragraph k.4.
1. Communications. All communications required by this Patent Rights clause should be sent to:

IC CAE PO and ODNI GO

Standard Copyrightable Material Clause

The following copyrightable material clause will be used in funding agreements awarded by ODNI unless a special copyrightable material clause has been negotiated. When the clause is used in a funding agreement other than a grant, "grant" and "grantee" may be replaced by "cooperative agreement" and "awardee" or other appropriate terms.

COPYRIGHTABLE MATERIAL

- a. SUBJECT WRITING means any material that:
 1. is or may be copyrightable under Title 17 of the United States Code; and
 2. is produced by the grantee or its employees in the performance of work under this grant.

"Subject writings" include such items as reports, books, journal articles, software, sound recordings, video tapes and video discs.

- b. Copyright Ownership, Government License. Except as otherwise specified in the grant or by this paragraph, the grantee may own or permit others to own copyright in all subject writings. The grantee agrees that if it or anyone else does own copyright in a subject writing, the Federal government will have a non-exclusive, nontransferable, irrevocable, royalty-free license to exercise or have exercised for or on behalf of the U.S. throughout the world all the exclusive rights provided by copyright. Such license, however, will not include the right to sell copies or photo records of the copyrighted works to the public.
- c. Grantee Action to Protect Government Interests. The grantee agrees to acquire, through written agreement or an employee relationship, the ability to comply with the requirements of the preceding paragraphs. The grantee further agrees that any transfer of copyright or any other rights to a subject writing, by it or anyone whom it has allowed to own such rights, will be made subject to the requirements of this article.

[END OF CLAUSE]

Special Patent and Copyright Situations

Special Grant Provisions

At the request of the prospective grantee or on recommendation from ODNI staff, a Grants Officer, with the concurrence of the cognizant Program Officer, may negotiate special patent or copyright provisions when he/she determines that exceptional circumstances require restriction or elimination of the right of a prospective grantee to control principal rights to subject inventions or writings in order to better achieve the objectives of the program, the [INSERT Name of ACT under which grants are being awarded], or (in the case of inventions) Chapter 18 of Title 35 of the U.S.C. Every special copyright or patent provision will allow the grantee, after an invention has been made or copyrightable material created, to request that it be allowed to retain principal rights to that invention or material, unless doing so would be inconsistent with an obligation imposed on ODNI by statute, international agreement or pact with other participants in, or supporters of, the research.

[END OF PROVISION]

VII. FEDERAL AWARD AGENCY CONTACTS

Maylily Son Le, ODNI Grants Officer

Phone: 703-275-2948

E-mail: dni-foa-24-01@iarpa.gov

VIII. ANNUAL REPORTING AND EVALUATION REFERENCES

1. **Financials Review:** (Ref. 2 CFR 200.328) This includes a review of the recipient's financial management procedures, a sampling of financial transactions to check for accuracy and completeness, and a review of source documentation.
2. **Personnel Review:** This involves thorough discussion, observations, and review of documentation, verification that key personnel identified in the project are actually working on the project, and that any changes were given prior approval. Key personnel are those individuals named in the award and may or may not receive a salary but deemed critical to the project.
3. **Awards File Review:** (Ref. 2 CFR 200.303) The aim is to determine whether the recipient keeps adequate administrative records.
4. **Property File Review:** (Ref. 2 CFR 200.310 thru 2 CFR 200.316) The aim is to determine whether the recipient keeps adequate property records; maintains an up-to-date inventory of all property used on the grant; and has proper controls in place to safeguard property against loss, damage, and theft. For example, the recipient not only needs to be able to identify what property is being used on a grant, it also must be able to document the property's acquisition date and cost, the source of funds used for its purchase, and how and where it is being employed under the grant.
5. **Procurement File Review:** (Ref. 2 CFR 200.317 thru 2 CFR 200.323) Here the focus is on whether the recipient has a purchasing manual with written procurement policies and procedures, makes positive efforts to use small and minority-owned businesses, ensures that procurements are conducted competitively, and maintains a file or detailed records documenting the basis of all procurements and purchases.
6. **Programmatic Review:** (Ref. 2 CFR 200.329) This involves a determination of how well the project, as it is actually being implemented, reflects the performance objectives and supporting activities described in the approved grant application.

ODNI may unilaterally transfer responsibility for grants monitoring and/or program management to another USG entity at any time during the period of the grant.

IX. APPENDICES

A. ACRONYMS

1. AANAPISI – Asian American and Native American Pacific Islander Serving Institution
2. ADNI/HC – Assistant Director of National Intelligence for Human Capital
3. ANNHSI – Alaskan Native- or Native Hawaiian-Serving Institution
4. AOR – Authorized Organizational Representative
5. CAGE – Commercial and Government Entity
6. CFR – Code of Federal Regulations
7. DNI – Director of National Intelligence
8. D-U-N-S – Dun and Bradstreet Universal Numbering System
9. F&A – Facilities and Administrative
10. FAPIIS – Federal Awardee Performance and Integrity Information System
11. FFR – Federal Financial Report
12. FOA – Funding Opportunity Announcement
13. GO – Grants Officer
14. GOTR – Grants Officer’s Technical Representative
15. FSRS – Funding Accountability and Transparency Act Subaward System
16. HBCU – Historically Black College or University
17. HPSCI – House Permanent Select Committee on Intelligence
18. HSI – Hispanic-Serving Institution
19. IAA – Intelligence Authorization Act
20. IARPA – Intelligence Advanced Research Projects Activity
21. IC – Intelligence Community
22. IC CAE – Intelligence Community Centers for Academic Excellence
23. IC CAE PO – IC Centers for Academic Excellence Program Office
24. IHE – Institutes of Higher Education
25. KU – Knowledge Unit

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26. LCTL – Less Commonly Taught Languages
27. MRP – Merit Review Panel
28. NIU – National Intelligence University
29. ODC – Other Direct Costs
30. ODNI – Office of the Director of National Intelligence
31. OMB – Office of Management and Budget
32. PBI – Predominately Black Institution
33. PI – Principal Investigator
34. PWI – Predominately White Institution
35. SAM – System for Award Management
36. SO – Selection Official
37. SF – Standard Form
38. STEM – Science, Technology, Engineering, and Math
39. TIN – Taxpayer Identification Number
40. USC – United States Code

B. KEY DEFINITIONS

1. **Asian American and Native American Pacific Islander-Serving Institution (AANAPISI):** An institution of higher education that is an eligible institution under 20 U.S.C. § 1058b; and, at the time of application, has an enrollment of undergraduate students that is not less than 10 percent students who are Asian American or Native American Pacific Islander. (20 U.S.C. § 1059g)
2. **Authorized Organization Representative (AOR):** The administrative official who is empowered, on behalf of the proposing organization, to make certifications and assurances and can submit applications on behalf of the organization. This person typically resides in the administrative, grants, or contracting offices of an IHE.
3. **Consortium:** A school may enter a consortium with another school to carry out activities in accordance with funds during a period of performance. (20 U.S.C. § 6314(a)(4)) Consortium of institutions of higher education means a group of institutions of higher education that have entered a cooperative arrangement for the purpose of carrying out a common objective, or a public or private nonprofit

agency, organization, or institution designated or created by a group of institutions of higher education for the purpose of carrying out a common objective on their behalf. (34 CFR 655.4(b))

4. **Contract Modification:** Any written change in the terms of a contract. (48 CFR 2.101)
5. **Grant Agreement:** A legal instrument of financial assistance between a federal awarding agency or pass-through entity and a non-Federal entity that, consistent with 31 U.S.C. §§ 6302, 6304:
 - Is used to enter into a relationship the principal purpose of which is to transfer anything of value to carry out a public purpose authorized by a law of the United States; and not to acquire property or services for the Federal awarding agency or pass-through entity's direct benefit or use;
 - Is distinguished from a cooperative agreement in that it does not provide for substantial involvement of the Federal awarding agency in carrying out the activity contemplated by the federal award. (2 CFR 200.1)
6. **Hispanic-Serving Institution (HSI):** An institution of higher education that is an eligible institution and has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students at the end of the award year immediately preceding the date of application. (20 U.S.C. § 1101a)
7. **Historically Black College or University (HBCU):** Any historically Black college or university that was established prior to 1964, whose principal mission was, and is, the education of Black Americans, and that is accredited by a nationally recognized accrediting agency or association determined by the Secretary of Education to be a reliable authority as to the quality of training offered or is, according to such an agency or association, making reasonable process toward accreditation. (20 U.S.C. § 1061)
8. **Intelligence Community Centers for Academic Excellence (IC CAE) Scholar:** The Intelligence Community Centers for Academic Excellence (IC CAE) Program includes two levels of participation: scholar aspirant and scholar. The following definitions define minimum program requirements to be used by recipient schools.

IC CAE Scholar Aspirant Definition

An IC CAE Scholar Aspirant is a U.S. citizen student in good standing at an active or Legacy IC CAE Institution who participates in at least one IC CAE-focused activity each school year and has an interest in serving within the Intelligence Community.

IC CAE Scholar Definition (Designation Holder) An IC CAE Scholar is a U.S. citizen student in good standing at an active or Legacy IC CAE Institution, or an individual who has graduated from such an institution, in good standing, who has successfully completed at least two courses in IC CAE study areas, such as Intelligence, National Security, Cybersecurity, STEM, language or other areas of study as determined by the ODNI to meet Intelligence Community needs; participates in or participated in, while matriculated, at least two IC CAE-focused activities each school year; and is interested in a career within the Intelligence Community.

9. **Institutions of Higher Education (IHEs):** An educational institution in any State that—
- Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate, or persons who meet the requirements of 20 U.S.C. § 1091(d) of this title;
 - Is legally authorized within such State to provide a program of education beyond secondary education;
 - Provides an educational program for which the institution awards a bachelor's degree or provides no less than a 2-year program that is acceptable for full credit toward such a degree, or awards a degree that is acceptable for admission to a graduate or professional degree program, subject to review and approval by the Secretary of Education;
 - Is a public or other nonprofit institution; and
 - Is accredited by a nationally recognized accrediting agency or association, or if not so accredited, is an institution that has been granted pre-accreditation status by such an agency or association that has been recognized by the Secretary for the granting of pre-accreditation status, and the Secretary has determined that there is satisfactory assurance that the institution shall meet the accreditation standards of such an agency or association within a reasonable time. (20 U.S.C. § 1001(A))
10. **Lead Institution:** The applicant IHE, and upon award, the school selected as an IC CAE grant recipient. Upon award, the lead institution shall be bound to all requirements of a non-Federal entity under 2 CFR 200. (2 CFR 200.1)
11. **Less Commonly Taught Languages (LCTL):** The less commonly taught languages include all languages other than English and the commonly taught European languages of German, French and Spanish. (ref. National Council of Less Commonly Taught Languages)

12. **Minority-Serving Institution (MSI):** An institution of higher education whose enrollment of a single minority or a combination of minorities (as defined by 20 U.S.C. 1067k(2)) exceeds 50 percent of total enrollment. (20 U.S.C. § 1067k(3))
13. **Period of Performance:** The total estimated time interval between the start of an initial Federal award and planned end date, which may include one or more funded portions, or budget periods. Identification of the period of performance in the Federal per 2 CFR 200.211(b)(5) does not commit the awarding agency to fund the award beyond the currently approved budget period. For the purposes of this FOA, the period of performance shall begin on the date of the Federal award and end five (5) years less one day from that date of Federal award. (2 CFR 200.1)
14. **Predominately Black Institutions (PBIs):** An institution of higher education as defined by 20 U.S.C. § 1001(a) that is an eligible institution with not less than 1,000 undergraduate students; at which not less than 50 percent of the undergraduate students enrolled at the eligible institution are low-income individuals or first-generation college students; and at which not less than 50 percent of the undergraduate students are enrolled in an educational program leading to a bachelor's or associate's degree that the eligible institution is licensed to award by the State in which the eligible institution is located. (20 U.S.C § 1059e)
15. **Principal Investigator (PI):** The individual(s) designated as having an appropriate level of authority and responsibility for the proper conduct of programmatic intent. When an organization designates more than one PI, it identifies them as individuals who share the authority and responsibility for leading and directing the program. (based upon 2 CFR 1108.295)
16. **Program Balance:** A tool used in reviewing applications to assist in finalizing. For the purposes of the IC CAE grant, the SO will consider the IC CAE's overall mission and program objectives, which may include but are not limited to the following: broadening the geographical locations of the IC CAE Program Schools and underrepresented institutions within the IC CAE Program.
17. **Subrecipient:** An entity, usually but not limited to non-Federal entities that receives a sub-award from a pass-through entity to carry out part of a Federal award; but does not include an individual that is a beneficiary of such award. For the purposes of this FOA, subrecipients shall be members of a consortia under a lead institution and comply with 2 CFR 200 requirements for a subrecipient. (2 CFR 200.1)

18. **Underrepresented in Science and Engineering:** A minority group whose number of scientists and engineers per 10,000 population of that group is substantially below the comparable figure for scientists and engineers who are white and not of Hispanic origin. (20 U.S.C. § 1067k)

C. IC CAE KNOWLEDGE UNITS

These knowledge units are only applicable to institutions that choose Pathway I: Intelligence/National Security or Pathway IV: Integrated Approach, Intelligence/National Security and Critical Technology Studies or Intelligence/National Security and STEM.

1. Core Intelligence Topics

- A. National Security
 - i. History of U.S. Intelligence
 - ii. National Security Issues
 - iii. Strategic Intelligence
 - iv. International Relations Theory
- B. U.S. Intelligence Community
 - i. National Intelligence Policy: National Intelligence Strategy (NIS) and National Security Council (NSC)
- C. Intelligence Cycle
- D. Analytic Processes (Undergraduate and Graduate)
- E. Intelligence Collection
 - i. Human Intelligence (HUMINT)
 - ii. Geospatial Intelligence (GEOINT)
 - iii. Measurement & Signature Intelligence (MASINT)
 - iv. Open-Source Intelligence (OSINT)
 - v. Signals Intelligence (SIGINT)
- F. All Source Intelligence
- G. Components of Strategic Intelligence
 - i. Biographic
 - ii. Economic

- iii. Sociological
- iv. Transportation & Telecommunications
- v. Military Geographic
- vi. Armed Forces
- vii. Political
- viii. Scientific & Technical

2. Core IC Professional Development

- A. Critical Thinking
- B. Analytic Writing
- C. Research Methods
- D. Briefing Skills
- E. Collaboration

3. Optional Intelligence Topics

- A. Irregular Warfare
- B. Terrorism
- C. Counterterrorism
- D. Counterintelligence
- E. Counterproliferation
- F. Intelligence and the Law
- G. Classification
- H. Chemical, Biological, Radiological, Nuclear and Explosives (CBRNE) –
Weapons of Mass Destruction (WMD)

4. Issues in Intelligence Analysis: Mindsets and Biases

- A. Analytic
- B. Cultural
- C. Organizational
- D. Cognitive

5. Structured Analytic Techniques (Optional Undergraduate And Core Graduate)

D. THE ELIGIBILITY CRITERIA & APPLICATION SUBMISSION CHECKLISTS & OTHER APPLICABLE FORMS

The application must include the eligibility criteria checklist, application submission checklist, budget/cost tables (provided separate fillable forms), and all applicable SF424 forms, such as the Application for Federal Assistance (SF-424), Assurances for Non-construction Programs (SF-424B), Budget Information for Non-Construction Programs (SF-424A), Budget Narrative Attachment Form, and Disclosure of Lobbying Activities (SF-LLL). These forms can be found at grants.gov/forms/forms-repository/sf-424-mandatory-family and are not part of any page count limitation.