



USAID
FROM THE AMERICAN PEOPLE

Subject: Notice of Funding Opportunity (NOFO)
Request for Applications (RFA) Number: 72061722RFA00003

Program Title: USAID/Uganda Health Activity (UHA)

Amendment No: One (1)

Amendment Issuance Date: August 3, 2022

Closing Date: Changed to August 25, 2022.

Closing Time: 5:00 p.m. Washington, DC time (EST)

Dear Prospective Applicants:

The purpose of this amendment one is to post responses to questions received (see Annex 1 for details) and Amendment one of the NOFO. All NOFO edits include deletions represented as a strikethrough line for any deletion while all additions are highlighted.

Thank you for your interest in the USAID/Uganda Health Activity.

Sincerely,

Meghan Waters
Agreement Officer
USAID/Uganda

Annex 1: Responses to questions received.

1. Does this NOFO Funds programme Document which integrate Primary Education, Housing, Health and Renewable energy provision through commodity development activities (CDA)?
USAID Response: No, this NOFO does not fund Primary Education, Housing, Health and Renewable energy provision through commodity development activities
2. On page 13 of the Results Framework, 1.1.2 is referred to as Improved quality and experience of care for vulnerable and marginalized clients. However, on page 16 of the NOFO, it describes 1.1.2 as Improved quality and experience of care at subnational level - slightly different language than the Results framework - Will USAID please confirm 1.1.2?
USAID Response: While the language used is different, the meaning is the same. The word subnational was used to emphasize the level of implementation of this sub IR.
3. On page 16, under Sub-IR 1.1.2 Illustrative Activities, the NOFO states “Support seven seconded RRH-based Regional QI Coordinators to oversee district QI structures regional QI priorities, including integration of QI efforts across all health areas (required activity).” Are the RRH-based regional QI coordinators already in place or will UHA work with MOH to nominate and hire? Will these RRH coordinators be transitioned on to MOH and what is USAID’s and the MOH’s timeframe for this process?
USAID Response: All target positions for RRH QI based coordinators are currently filled. USAID, through a partner, supported MoH to lead the recruitment and orientation of the individuals currently holding these positions. UHA will remain accountable for the performance of these staff (seven RRH) as they champion the implementation of activities of the regional QI structures outlined in the National QI Framework and Strategy. UHA will therefore provide technical assistance to MoH to implement standard HR and technical functions related to these staff. Technical assistance by UHA to MoH will include developing plans for transition of the RRH coordinators to MoH.
4. On Page 16, Illustrative activities under Sub-IR 1.1.2, include; Remodel or refurbish relevant facility-level infrastructure based on facility need to meet minimum infrastructure standards and improve quality of care, e.g., renovations of maternity ward, obstetric theaters, Kangaroo Mother Care rooms etc. (allocated \$7.5 million for inclusion in the cost application). Has USAID identified criteria for selection of specific facilities in collaboration with MoH? If so, will USAID provide this criteria?
USAID Response: The recipient will propose the facilities to be refurbished, USAID will make the final determination on the facilities to be refurbished.
5. On page 32 under CLA there is an incomplete sentence: “*The plan should detail who they will.*” Can USAID clarify or complete the instruction?
USAID Response: Refer to the changes in the NOFO under section A4. The hanging sentence has been removed.
6. On page 34 under Use of Sub-Grants, the first bullet is incomplete: “*The recipient must submit a request for the Agreement Officer approval for the proposed sub-grants, no later than 30 calendar days, detailing the process for...*” Can USAID clarify that the time frame for submission of requests to sub-grant is 30 days *after award execution*?
USAID Response: Refer to the changes in the NOFO under section A5. Clarification has been added that it is 30 days after award execution.

7. On pg. 34, under Section A5. Implementation Approach, the NOFO states: “The recipient must submit a request for the Agreement Officer approval for the proposed sub-grants, no later than 30 calendar days, detailing the process for identifying, evaluating, vetting, awarding, and monitoring sub-grant activities.” Can USAID please clarify the milestone associated with the 30 calendar days, i.e., 30 calendar days following what event or date?
USAID Response: Refer to the response in question 6.
8. We would kindly like to know if this NOFO 72062722RFA00003 is only meant for Five districts and Two cities only?
USAID Response: The proposed geographical scope is clearly stated in the NOFO.
9. Shall we be considered if we have to apply for other districts in West Nile regions?
USAID Response: The proposed geographical scope is clearly stated in the NOFO, UHA will not be implemented outside the proposed geographical scope.
10. The NOFO has removed IR 3.6 regarding lab strengthening; however, on pg. 27 of the NOFO, in the first paragraph under the IR3 heading, it states, “... lab network coordination.” Can USAID confirm that this reference should be removed?
USAID Response: Refer to the changes in the NOFO under section IR 3. UHA’s scope does not include laboratory strengthening. Lab network coordination is removed from the NOFO.
11. We have noted that laboratory systems strengthening has been removed from the scope of work compared to what was shared in the draft NOFO. Given the importance of strong lab systems to the functionality of the overall health system, can USAID clarify whether lab systems strengthening activities can/should be integrated under any of the IRs?
USAID Response: Lab systems strengthening activities are not part of UHA’s scope.
12. Section A, UHA Financial Plan (subject to availability of funding), pages 41 and 42. Could USAID clarify if all amounts listed on pages 41 and 42 of the NOFO are illustrative?
USAID Response: All amounts provided are illustrative and subject to availability of funding. Please note that estimated amounts for each program area are provided so that applicants can adequately estimate their planned level of effort per program area.
13. Is it only Government RRHs to apply or NGOs can apply to?
USAID Response: Refer to Section C.1 of the NOFO. This NOFO is not restricted. Any U.S. or non-U.S. organization, non-profit, or for-profit entity is eligible to apply. Organizations may submit applications individually or in partnership with other international or local organizations.
14. We understand USAID intends to award one (1) Cooperative Agreement pursuant to this Notice of funding opportunity. This means the government or Organization is not allowed to send more than 4 requests for application or we can send more than one application for your perusal?
USAID Response: Applicants can submit more than one application. However, please refer to Section D.3 of the NOFO. Applicants are expected to review, understand, and comply with all aspects of the NOFO. Each applicant must furnish the information required by this NOFO. Each application submitted must address all aspects of the NOFO.
15. [Part of this question has been removed to protect the identity of the applicant]. Is this the first year that this opportunity will be awarded? If not, do you have previous award history?

USAID Response: Yes, this is the first year that this opportunity will be awarded.

16. [Part of this question has been removed to protect the identity of the applicant]. Basing on this call for proposal we are very interested to apply but I read the guideline and I show already names of the IPs including JCRC, RHITES etc, Kindly could you please guide me or we apply in partnership with already existing IPs like JCRC, RHITES etc , or you can link us up with already existing IPs for proposal development . I will be very grateful for your guidance and support.

USAID Response: Refer to Section C.1 of the NOFO.

17. USAID's requirement for Director of Finance and Administration candidates to hold a CPA qualification makes the pool of qualified candidates very small, and even smaller considering those who are not interested to make a career move at this time. Given the candidate pool for director-level finance and administration staff without a CPA qualification who otherwise meet all other criteria – and have proven ability to manage large, complex USAID-funded projects – would USAID consider removing the requirement for holding a CPA qualification?

USAID Response: Refer to the changes in the NOFO under the section with the Director, F&A - Qualifications, Experience, and Skills. CPA or ACCA qualifications have been moved to desirable.

18. On page 49, under the Director, F&A qualifications, experience, and skills, USAID indicates that CPA or ACCA certification is required. In lieu of having a CPA or ACCA certification required, would USAID consider key personnel who have demonstrated track records of performing in similar roles and projects? Or would USAID consider moving CPA or ACCA certification to the desired list instead of required? We believe restricting eligible candidates to only those with a specific certification would prevent Applicants from proposing suitable candidates with longstanding USAID experience in finance and administration leadership roles and degrees in business administration.

USAID Response: Refer to the response in question 17.

19. To increase the diversity of our recruiting pool, would USAID allow the CPA/ACC certification for the Director, F&A to be a preferred, rather than required qualification?

USAID Response: Refer to response in question 17.

20. The 30-page limit for technical applications may be too brief to sufficiently respond to USAID's detailed instructions and evaluation criteria. Would USAID consider increasing the page limit for technical applications to allow for complete and comprehensive responses from applicants?

USAID Response: Refer to changes in Section D.5. The technical application is revised to 40-page limit excluding cover sheet, table of contents, acronyms, executive summary, and annexes.

21. Given the page limitations set forth in the Technical Application, would USAID allow applicants to provide supporting data in an additional annex?

a. **USAID Response: No additional annexes beyond those stipulated in the NOFO will be reviewed.**

22. In Section F, under the reporting requirements sub-section (p. 86), the NOFO mentions HIBRID. Could USAID please describe what HIBRID is?

USAID Response: Refer to the changes in the NOFO under Section F.3.g. The acronym has been defined as HIV-Based Real Time Integrated Database.

23. Section D, Technical Application Annexes, specifies that Annex 1: Organizational Chart and Staffing Plan Matrix should not exceed 4 pages. Given the size of the program and the level of detail required for all proposed staff including home office support (position title, position role/responsibilities, qualifications/expertise, organization, location, and assigned LOE), would USAID consider allowing additional pages to the annex?
USAID Response: No
24. On page 57 of the evaluation criteria, applicants are asked to propose 30 FHSD districts to target under IR 1. Given the 72 UHA districts that are affiliated with the 7 RRHs (outlined in the NOFO) would USAID consider adding an annex, which allows Applicants' to describe approach, criteria and justification for proposed FHSD districts?
USAID Response: The district selection criteria used should be provided as part of the technical approach.
25. Page 59 – Given the size and complexity of UHA, and the associated staffing requirements – both technical variety and volume of positions – can USAID please increase the page limit for Annex 1: Organizational Chart and Staffing Plan Matrix? A maximum of 8-10 pages would be more appropriate to capture the details requested.
USAID Response: No
26. Given the level of detail required in Annex 1: Organizational Chart and Staffing Plan Matrix, would USAID increase the page limit to 6 pages?
USAID Response: No
27. Page 59 – Can USAID confirm that they would allow for staff LOE, specific locations, and organizational affiliation to be included only within the Staffing Plan Matrix of Annex 1, and it is okay to omit from the organizational chart? Including all of this information on the organizational chart may take away from its impact as it will be more cumbersome/crowded with text.
USAID Response: Stick to the guidance in Annex 1: Organizational Chart and Staffing Plan Matrix
28. Section D, Technical Application Annexes, specifies that Annex 2: Rapid Mobilization Plan and Draft Year 1 Work Plan should not exceed 5 pages for both components. Could USAID please confirm that the Rapid Mobilization Plan component is not to exceed 5 pages and Draft Year 1 Work Plan component is not to exceed 5 pages – with the total number of pages for Annex 2 equal 10 pages?
USAID Response: Annex two should not exceed five pages.
29. Does Annex 2: Rapid Mobilization Plan and Draft Year 1 Work Plan have to align with the USG FY and cover the period from the estimated start date of November 1, 2022, through September 30, 2023?
USAID Response: Yes.
30. Section E, Application Review Information, page 77, lists the selection of appropriate illustrative performance indicators and targets as part of the evaluation criteria under Criterion 1: Technical Approach. However, the NOFO is not requiring an inclusion of the M&E plan with the application. Would USAID consider including an additional technical annex for an indicator table that would not count towards the 30-page limit?

USAID Response: No. See changes to Section D.5.e and E.2. Merit review Criterion 1. The requirement to provide the selection of appropriate illustrative performance indicators and targets is removed from the NOFO.

31. In Section D and Section E of the NOFO, USAID requests that applicants address “The selection of appropriate illustrative performance indicators and targets that will enable the applicant and USAID to most feasible and cost-effectively monitor progress toward results framework objectives, including sustainability. Applicants may also suggest additional custom indicators that reflect their technical approach.” While in Section F USAID suggests that “Upon award, the USAID/Uganda AOR will provide guidance for completion of a detailed M&E plan...” and “The plan will identify core indicators for every result and intermediate results/outcomes and provide preliminary five-year performance indicator targets.” Can USAID please confirm that they are asking for applicants’ approach to selecting indicators, but that a list of indicators does not need to be developed/included at the proposal stage?

USAID Response: Refer to response in question 30.

32. Can USAID please clarify the requirement in Sections E & D to include performance indicators and targets in the technical proposal? Given the page limitations, coupled with the need for substantial space to articulate a performance management system, would USAID consider the inclusion of a Draft AMELP as an annex?

USAID Response: A draft AMELP is not needed at this stage. Refer to response in question 30.

33. With 74 illustrative indicators already provided in the NOFO and the importance of MEL/CLA in the funding opportunity, would USAID consider adding an annex that allows Applicants to describe the’ MEL, CLA approach and proposed indicators?

USAID Response: Refer to response in question 30.

34. Further, in section F, under the AMELP and CLA Plan sub-section (p. 86), the NOFO mentions a draft M&E Plan, yet there is no requirement to submit such a plan as part of the technical proposal. Can USAID please clarify this mention of a draft M&E plan?

USAID Response: Refer to response in question 30.

35. On page 54 USAID advises that applications should be submitted in Microsoft Word *and* PDF, noting that in case of any discrepancy the PDF version will be considered over the Word version.

- a. Can you please confirm that USAID would like applicants to submit two separate copies of their proposals – one in Word form and one in PDF form? This would increase the volume of documents and overall size of files being transmitted considerably.

USAID Response: USAID confirms that applicants can submit their applications in PDF version only. Microsoft Word requirement is no longer required.

- b. If a copy in each document type is indeed desired, would USAID consider accepting more than the maximum 10 attachments noted on page 55?

USAID Response: Please refer to the response in question 35. a. No. A maximum of 10 attachments per email which don’t exceed the 4MB limit per email is required for application submission.

36. Can USAID please confirm that applicants may submit the technical and cost applications in either MS Word or PDF, and that both formats are not required?

USAID Response: Please refer to the response in question 35. a.

37. On page 57 USAID requests “A description of how the applicant will select the FHSD technical assistance districts etc.” Should this read “has selected,” since the previous bullet instructs to propose 30 such FHSD districts?

USAID Response: Yes. This has been updated accordingly. Please see changes to the NOFO in Section D.5.e) Bullet 7.

38. On page 59, the instructions for Key Personnel (Criterion 3) request a summary of proposed candidates, their expertise, and qualifications.

a. Would USAID please allow applicants to reference Annex 3: Key Personnel Resumes and Letters of Commitment within this section, as is allowed for the Staffing Plan and Management Approach and Annex 1?

USAID Response: Yes. See changes to Annex 3.

b. If yes, can USAID confirm that its evaluation of key personnel will take into consideration the information in both the Key Personnel (Criterion 3) write up and Annex 3?

USAID Response: Yes, the evaluation will consider both.

39. On page 60, USAID requests information for three past performance references for each key personnel candidate’s most recent supervisors. Confidentiality is important to candidates and most, if not all, prefer that their current supervisors not be notified until they have a (non-contingent) offer in hand. We would not wish to violate candidate confidentiality by alerting their current employers of their wish to seek alternative employment.

a. Would USAID consider changing this requirement? Candidates currently on the RHITES consortia may also wish to switch consortia and would not want to have it disclosed to their current employers.

USAID Response: Refer to changes in Section D.5.i.c. This requirement is removed from the NOFO.

b. Can USAID please also confirm whether each of the three references should be former supervisors, or if one former supervisor is acceptable, while the other two references included can be non-supervisory colleagues?

USAID Response: This requirement has been removed from the NOFO.

40. Would USAID consider changing the geographic code from 937 to 935?

a. **USAID Response: No.**

41. We have searched the DEC but have found that not all the RHITES reports are publicly available. Can USAID please share all the available performance reports on the RHITES activities?

USAID Response: USAID will review the DEC and ensure that referenced reports exist.

42. The NOFO states that “[t]he recipient must obtain AO’s prior approval of substantive provisions of proposed subawards.” Could USAID please clarify what is envisioned by “substantive provisions,” when such approval will be required, and how the approval should be sought? Since the Agency’s approval of subawards is already required by 2 CFR 200.308(c)(6), will this approval of substantive provisions be part of or separate from the said approval to subaward?

USAID Response: Refer to changes in Section B.4. USAID has removed this requirement.

43. ADS 303.3.10.1 establishes the Agency’s standards for the determination to include cost share in a program. While it is undoubtedly an important element of the USAID-recipient relationship, “its

application should be flexible, case-specific, and used to support or contribute to the achievement of results.” Further, “USAID should use cost sharing after considering whether it is appropriate for the recipient organization in the particular circumstances, in particular, the programmatic and technical context.” The ADS encourages the Planner and AO “to communicate with a broad range of potential applicants regarding appropriate cost sharing prior to issuance of the NOFO.” There is no set formula for cost share requirement, and it should not be established arbitrarily but rather based on the needs and purpose of the activity. Moreover, ADS 303.3.10.2 indicates that USAID may amend the NOFO if it is determined that the cost amount should be changed.

Depending on the nature of activity, it may difficult for a recipient and subrecipients to meet cost share; for example, activities with a short timeframe do not allow sufficient time to generate cost share (many of the field support buy-ins are limited scope and short-term, thus fall into this category), activities with a requirement to allocate substantial funding to local indigenous organizations who do not have financial resources to contribute cost share and may be discouraged from providing meaningful contribution or even participation in the activity due to the cost share risks (this NOFO requires \$6M to go to local entities). Cost share efforts heavily rely on building relationships with counterparts in the countries we work in, which is never easy to achieve.

Our understanding is that, per the policy, the determination to require cost share and its level is made and documented prior to the issuance of the NOFO, and such determination should take various considerations or factors into account. Could you elaborate on the programmatic rationale for the required cost share level and considerations? We believe that understanding the rationale and considerations will help us adequately respond to the NOFO requirement and develop a realistic cost share plan.

USAID Response: The 5% cost share required for this award is minimal considering the magnitude of this award. In setting this amount, USAID/Uganda put tremendous consideration into the importance of the recipient possessing a clear financial stake in the activity, which will help to ensure the success of the award, as well as sustainability of the activity after award. As stated in the NOFO, funds may be mobilized from a plethora of different sources, including other donor agencies, the host government (local and national) and private companies, to name a few. Buy-in from other entities will be essential to the long-term success of this activity. As per referenced ADS 303.3.10.1, one example of when cost share may be used is “[w]hen it is critical that the activity continues after USAID assistance ends, cost sharing requirements can ensure that the recipient establishes adequate alternate sources of funding.” USAID/Uganda considers this to be critical for UHA. While “building relationships with counterparts in countries we work in” may not be easy, it is essential to development and is crucial to the success of this activity.

44. The cost application instructions require itemization of the federal and non-federal (cost share) amounts broken down by major budget category and by year of activities. The NOFO also requires a breakdown of the cost share of all organizations involved in implementing the resultant award.
 - a. Could you clarify the required level of detail on cost share in the detailed budget and budget narrative?

USAID Response: Applicants should provide details of the proposed cost share, where possible, and if the details of the planned contributions are known. If details are not known, provide details of what is envisaged as the illustrative cost share contribution.

- b. Would stating the total estimated cost share amount by entity be sufficient?

USAID Response: Yes. In addition to the response provided in (a) above, estimated cost share will also be sufficient.

45. Could USAID confirm whether itemization of cost share contributions is meant to be illustrative, and that actual cost share contributions over the course of the project can differ from those proposed as the needs of the program are determined during implementation?

USAID Response: Yes, cost share estimates are illustrative and may be changed during the course of the project.

46. On p.65, the NOFO requires a plug figure for grants of \$6M. It is envisioned that these grants will be awarded to local indigenous organizations who, as a rule, do not possess financial resources to contribute to cost share. Therefore, would USAID be amenable to excluding the amount allocated and expended on grants from the cost share application base?

USAID Response: No.

47. On p.61, the NOFO requires signed certifications from the prime applicant and each of its proposed partners. Can applicants submit signed certifications for only major partners and subrecipients for whom subaward approval is requested in the application?

USAID Response: Yes.

48. On pg. 65, the NOFO states, “7) Refurbishing and Remodeling - Applicants should allocate \$7.5 million as a plug figure for remodeling/refurbishment in the cost application.” However, on pg. 68, under h) Funding Restrictions, the NOFO states “Construction will not be authorized under this award.” Per ADS 303maw USAID Implementation of Construction Activities, it states “Construction: for the purpose of this policy means: construction, alteration, or repair (including dredging and excavation) of buildings, structures, or other real property and includes, without limitation, improvements, renovation, alteration and refurbishment. The term includes, without limitation, roads, power plants, buildings, bridges, water treatment facilities, and vertical structures”. Since refurbishment is anticipated, will construction be authorized post award?

USAID Response: Refer to changes in the NOFO in Sections B.4.b and on pg. 68, under h) Funding restrictions to construction activities are removed from this NOFO.

49. Applicants are instructed to allocate \$7.5M for remodeling/refurbishment; the Program Description also discusses remodeling/refurbishment of facilities. At the same time, the NOFO states that construction is not eligible for reimbursement under this award. Per the USAID construction policy, renovation and refurbishment are included in the definition of construction.

- a. Could you clarify the allowability of construction activities under the proposed award?

USAID Response: Refer to the response to question 48

- b. What are the limitations for the renovation and refurbishments activities included in the Program Description (what can be done and what cannot be done)?

USAID Response: Refer to USAID Implementation of Construction Activities: A Mandatory Reference for ADS Chapters 303

Available at: <https://www.usaid.gov/sites/default/files/documents/1868/303maw.pdf>

Refer to the response to question 48

50. On p.65 in 8) Other Direct Costs, the NOFO requires applicants to “indicate the subject, venue and duration of any proposed conferences and seminars, and their relationship to the objective of

the program, along with estimates of costs.” Would USAID be amenable to illustrative indications of such events and training for cost estimation purposes?

USAID Response: Yes, these can be illustrative.

51. Considering the requirements to obtain approval for payment of allowances (in-kind or cash), how should the applicant budget for them? Can the applicant budget using the maximum (cash) or other reasonable estimated rates (in-kind) in the application based on the anticipated needs, and then request approval when all details are known during implementation?

USAID Response: Please embed these costs within the planned activity budget where allowances will be required. A split between cash and in-kind is not required at this phase, as part of the application. Allowance approvals (in kind and cash) will be submitted when all details are known during implementations

52.

I would like more information about how to acquire this funding for the country of Uganda.

USAID Response: Refer to [grants.gov](https://www.grants.gov) and search for the funding opportunity using the number 72061722RFA00003 to access details of the NOFO.

53. Our office would like to inquire if your office has the application design or our organization can use their own application templates?

USAID Response: USAID does not have a template. Refer to instruction in Section D in the NOFO on the required application section.

54. Section A, UHA Financial Plan (subject to availability of funding), pages 41 and 42, lays out financial allocations by program areas. Attachment C, Budget Template, presents a line-item budget.

- a. Could USAID confirm that applicants should structure the budget by cost items, as laid out in the budget template, and not by components, as listed on pages 41 and 42?

USAID Response: USAID confirms that applicants should structure the budget as per cost category, as included in the budget template in Attachment C.

- b. Please confirm that applicants should include all costs on one Detailed Budget tab, and not a separate Detailed Budgets tab for each program area is not required.

USAID Response: USAID confirms that applicants should include all costs on the detailed budget tab. Applicants are allowed to add additional tabs to the budget, if deemed necessary.

55. Section D.6.c, Required Certifications and Assurances, page 62, states that applicants are required to submit (4) Statutory and Regulation Certifications. Please confirm that Statutory and Regulation Certifications should be submitted upon consideration of award or during the negotiations leading to the award and is not required at the time of the application.

USAID Response: USAID confirms that Statutory and Regulation Certifications should be submitted together with the application.

56. Section D.6.d, Budget and Budget Narrative, page 64, states “Applicants must provide their established written policies on personnel compensation”. Please confirm that this requirement only applies to prime Applicants and that written personnel policies and procedures are not required from the sub-recipients.

USAID Response: Refer to changes in section D.6.d.1. USAID confirms that this requirement applies to prime applicants only.

57. Section D.6.i, Conflict of Interest Pre-Award Term, page 74. Please confirm that this information is not required at the application stage.

USAID Response: USAID confirms that this requirement is not required at the application stage.

58. Section D.6.h, Conscience Clause, page 73. Please confirm that this information should not be included at the application stage and may be required upon consideration of award or during the negotiations leading to the award. Also, please note that Section D.6.h is also used for Funding Restrictions on page 68.

USAID Response: This information as stated in D.6.h.2.b is required no later than 15 calendar days before the deadline for submission of applications. USAID/Uganda does not see the reference to this clause in the Funding Restrictions on page 68.

59. Section D.6.k, History of Performance: Past Performance References and Information, page 68, states that “The Applicant and each of its major sub awardees must provide not more than five (5) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related programs, and up to three (3) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related programs, not to exceed the past 3 years. Could USAID please clarify the following:

a. Does USAID require five (5) or three (3) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related programs, not to exceed the past 3 years?

USAID Response: Refer to changes to in section D.6.k. Five (5) years are required.

b. Does USAID allow the applicant and each major sub awarded to EACH submit up to five (5) PPIs?

USAID Response: Yes

60. On pg. 68, under k) History of Performance, the NOFO states, “The Applicant and each of its major sub awardees must provide not more than five (5) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related programs, and up to three (3) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related programs, not to exceed the past 3 years.” Can USAID confirm that the Prime Applicant must provide not more than five (5) of the most recent and relevant cost-reimbursement contracts, grants, or cooperative agreements involving similar or related program, and that sub awardees must provide up to three (3)?

USAID Response: See response to Question 59.

61. Attachment C – Budget Template. Sub awards (Sub grants up to \$6M and Contracts). Could USAID confirm that consultants, service providers, and purchase orders should be included under “Sub-awards” category since there is no “Contractual” category in the template?

USAID Response: Yes, the cost category has been changed to “Contractual” rather than “Sub-awards.”

62. Attachment C – Budget Template. Sub awards (Sub grants up to \$6M and Contracts). Could

USAID confirm that applicants may propose sub awards in addition to and more than the \$6 million allocation for sub grants?

USAID Response: Yes, more than \$6 million may be proposed for subawards.

63. Attachment C – Budget Template. The budget template contains “USAID Amount” and “Cost Share” columns. The template does not include columns for unit rate, unit type, and units, which we believe are necessary to provide sufficient information for cost reasonableness determination. Would USAID allow applicants to use their own budget templates?

USAID Response: Applicants are free to include additional columns, as they deem necessary.

64. Attachment C – Budget Template. The Cost Share is listed per year in the budget template included with the NOFO. Could USAID allow the applicants to include cost share in the total column as opposed to including it per year?

USAID Response: Yes, applicants can choose to include cost share as they deem necessary.

65.

- a. On p.66, under Indirect Costs, could USAID please confirm whether any applicant, regardless of whether it is a non-profit or for-profit, may elect to use the de minimis 10% rate if it does not have a current NICRA?

USAID Response: Yes, any applicant without a NICRA may elect to use the de minimis 10% rate.

- b. Does this extend to proposed subrecipients, both non-profits and for-profits?

USAID Response: Yes.

66. Would USAID provide clarification on Cost Application Section D.6(c) requirement (4), Statutory and Regulation Certifications? USAID notes that the prime applicant and each of its proposed partners must complete all certifications in Section D.6 (c), however no template or information has been provided for (4) Statutory and Regulation Certifications. Could USAID clarify what information it is requesting or provide additional detail on this Statutory and Regulation Certifications requirement?

USAID Response: Refer to Attachment D, the certifications, assurances, representations can other statements of the recipient can be downloaded from <https://www.usaid.gov/sites/default/files/documents/303mav.pdf>

67. Section D.6.j, Required certifications, assurances, representations, and other statements of the Recipient, page 68, instructs “[See Attachment D]. However, no Attachment D is provided with the NOFO. Please confirm that certifications listed in this section are the same as listed in section D.6.c and please remove Section D.6.j.

USAID Response: Refer to response in Question 66.

68. Cost Application Section D.6(d) specifies three requirements for the budget submission: a) one consolidated summary budget, b) summary budgets for the Prime Applicant and each subrecipient, and c) one consolidated detailed budget. However, the budget template in Attachment C includes a tab for subrecipient detailed budgets. Could USAID clarify whether only one consolidated detailed budget spreadsheet will be required for submission, and that Applicants

will not need to submit detailed budget spreadsheets for each proposed subrecipient (only summary budgets for each subrecipient organized by cost category)?

USAID Response: USAID clarifies that a summary budget and detailed budget is required for each applicant and sub applicants. The prime applicant must have a consolidated detailed budget.

69. Will applicants be allowed to modify Attachment C: Budget Template to add columns that show full budget calculations (i.e., unit costs * number of units for each line item, inflation, and salary escalations, etc.)?

USAID Response: Yes

70. Attachment C-Budget template, "USAID Detailed budget" tabs do not include columns for unit type, rate and quantity. Please confirm that columns can be added to the template to respond to the requirements on page 63 of the NOFO to detail costs out in order to "allow USAID to understand the proposed costs and to support USAID's determination that the proposed costs are fair and reasonable."

USAID Response: Yes

71. On page 61 of the NOFO it states, "The business (cost) application must illustrate the entire period of performance, using the budget format shown in the SF-424A." On page 63, it states, "The required budget format is found in Attachment C of this NOFO." However, Attachment C does not follow the SF424A format as it includes additional line items such as subawards and refurbishment costs. Please clarify which format should be used.

USAID Response: Utilize the budget template format in Attachment C.