



USAID | NIGERIA

FROM THE AMERICAN PEOPLE

U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT

ROUND 4 CALL FOR CONCEPT PAPERS NOFO#72062020RFA00004 on

Water Resources Management for Enhanced Water, Sanitation, and Hygiene (WRM-WASH)

UNDER EXISTING

NEW PARTNERSHIPS INITIATIVE GLOBAL HEALTH ANNUAL PROGRAM STATEMENT
(NPI GH APS) No.: 7200AA19APS00013

PLEASE NOTE: This is a Round to an existing United States Agency for International Development (USAID) Annual Program Statement (APS). All interested organizations should carefully review both this Round AND APS No. 7200AA19APS00013, which can be found here:

<https://www.grants.gov/web/grants/search-grants.html?keywords=NPI%20Global%20Health>

Important information contained in the full worldwide APS is not repeated in this Round's documentation.

In Round 4 entitled “Water Resources Management for Enhanced Water, Sanitation, and Hygiene (WRM-WASH)”, of the New Partnership Initiative (NPI) Global Health (GH) Annual Program Statement (APS) No. 7200AA19APS00013, the U.S. Agency for International Development (USAID) is making a special call for the submission of Concept Papers that incorporates interventions that will build upon and expand USAID’s efforts to reduce water borne diseases in Nigeria. Consistent with U.S. policy on assistance to Nigeria, awards issued under Round 4: WRM-WASH will focus on reducing water borne diseases, the award(s) will support stakeholders in identifying and addressing one of the priority areas of water and sanitation, behavior change related to WASH practices, or WASH institutional development and watershed management.

Integrated programs across WASH sectors may serve as a platform for improving linkages between the community and WASH service providers to increase access to water and sanitation, expand watershed protection and restoration to improve water quality and quantity, and reduce vulnerability to water-related risks and stresses.

Round 4: It is the intent of WRM-WASH to diversify and broaden USAID’s partner base, as described in the NPI GH APS. WRM-WASH, modality one (1) and/or modality (4) of the NPI GH APS. WRM-WASH aim to engage with and leverage the reach of new and underutilized¹ local entities and partners, especially those that can leverage funding from sources other than USG to reduce water borne disease in Nigeria. WRM-WASH is also intended to enhance water resources management through one or more of the following objectives:

1. Improve water supply and sanitation services delivery, and key hygiene behaviors;

2. Protect and improve watershed health and function.

All terms and conditions of Round 4 and the NPI GH APS apply (<https://www.grants.gov/web/grants/search-grants.html?keywords=NPI%20Global%20Health>).

Issuance Date of Round 4: WRM-WASH: February 13, 2020

Deadline for Questions before the Submission of Round 4: WRM-WASH Concept Papers:
February 24, 2020 at 4:00 pm [Nigeria Time]

Deadline for Submission of Concept Papers for Round 4: WRM-WASH: April 6, 2020 at 4:00 pm
[Nigerian Time]

SECTION I: FUNDING OPPORTUNITY DESCRIPTION

The U. S. Agency for International Development's Bureau for Global Health (USAID/GH) is pleased to have issued Rounds 1, 2 and 3 under the New Partnerships Initiative Global Health (NPI GH) Annual Program Statement (APS). Under Round 4 entitled "Water Resources Management for Enhanced Water, Sanitation, and Hygiene (WRM-WASH)", USAID/GH is seeking Concept Papers that incorporate interventions that will build upon and expand USAID's efforts to reduce water borne diseases in Nigeria.

USAID/Nigeria is seeking to support applicants via partnerships as defined in the NPI GH APS. In awards made through this Round, the awardee(s) will either be local partners in Nigeria that are new and underutilized (Modality 1, as described in Section III of this Round) or other partners that can leverage private/non U.S government funding (Modality 4, as described in Section III of this Round).

Please see Section III for additional details about the definition of a local entity in this Round.

USAID has a long history of working with local partners. With the current focus on the Journey to Self-Reliance², there is an opportunity to further expand USAID's partnerships with new and underutilized partners, in order to contribute to a more sustainable and integrated water resources management. Through USAID's Effective Partnering and Procurement Reform (EPPR) process³ which is part of USAID's Transformation Process, there is a concerted effort to reach out to new and underutilized partners, as well as to those that have not yet fully engaged with USAID funding and projects. This approach will engage and leverage the expertise of new or underutilized organizations or more traditional partners that can leverage funding from sources other than the U.S. government.

Access to clean water and improved sanitation facilities is a daily challenge for many Nigerians.

Some estimates are that less than 50 percent of Nigeria's population living in rural areas has access to improved sources of drinking water, while as few as 28 percent have access to improved sanitation facilities⁴. This contributes to high prevalence of waterborne diseases. Providing equitable and reliable access to drinking water and sanitation (the combination of facilities, technologies and services that enable safe disposal of human feces) to the world's growing population is a pressing concern.

There is a need to build the capacity of sub-governmental institutions and communities to incorporate climate change concerns, afforestation, livelihood activities, gender, and water safety to expand source water protection and improve sanitation. Additionally, there is a need to expand stakeholder engagement and partnerships and increase technical and organizational capacity to manage the complex challenges facing local watersheds. Finally, there is also a need to improve the quality and quantity of water from these sources and hence increase access to potable water by rural communities.

The purpose of this activity is to **reduce water borne disease in Nigeria**. To achieve this purpose, the activity will enhance water resources management. Activities must address one or more of the following objectives:

1. Improve water supply and sanitation services delivery, and key hygiene behaviors;
2. Protect and improve watershed health and function.

The project(s) will aim to engage with and leverage the reach of new and underutilized local

entities and partners, especially those that can leverage funding from sources other than USG to reduce water borne disease in Nigeria.

A. Cross-Cutting Concepts

Contributing to the Journey to Self-Reliance - NGOs, including those that can leverage funding from sources other than U.S. government, are recognized components of a vibrant and sustainable WASH sector. The partnerships supported in this Round will expand and amplify the Agency's efforts to support countries in their own journey to self-reliance leading to improving the sustainability of WASH services.

Collaborating, Learning and Adapting (CLA) - As in all USAID programming, incorporation of CLA principles into the activity design is a priority and can include the adaptation of existing tools, methodologies or approaches to support and better inform program implementation and analysis.⁷ In all of its projects, USAID expects a rigorous approach to learning and adaptation driven by data that, in consultation with USAID, also lead to refinements and adjustments to a recipient's technical approaches and annual work plans. Disseminating information and knowledge management will contribute directly to each of the result areas, and ultimately to measurable progress in the achievement of this Round's expected results.

Gender Sensitive Approach in Watershed Management - Women and girls are more often responsible for fuelwood and water collection; therefore, degradation of watersheds negatively affects the health, income, and work burden of women and girls. The use of a gender-sensitive approach to watershed management ensures that both women's and men's unique needs, priorities, and knowledge are incorporated into management plans and policies. A gender-equity approach to watershed management recognizes that men and women have needs, knowledge, interests and aspirations, and thus contribute to the conservation of water resources in different ways. Recognition of this reality is essential to achieve this Round's expected results.

B. WRM-WASH Expected results

This Round award(s) will contribute to one or more of the results below:

Result 1: Coverage of reliable drinking water and/or sanitation services increased

This activity will seek to increase the coverage of drinking water and sanitation services. This activity may also try to raise beneficiaries' awareness of the health, nutritional, and economic benefits of clean water, and build ownership and demand for it by encouraging users to pay for operations and maintenance costs. Illustrative intermediate results are:

- IR 1.1** Access to basic drinking water in households and institutions increased
- IR 1.2** Key hygiene behaviors correctly and consistently adopted
- IR 1.3** Access to basic sanitation services in households and institutions increased
- IR 1.4** Management of fecal waste improved

Result 2: Management of water resources strengthened

This activity will seek to protect, restore and rehabilitate watershed and processes with a key focus

to expand source water protection in order to improve access to water and sanitation services. This activity may work with local water, river basin agencies, and other stakeholders to develop policies, and programs that promote integrated water resources management. Illustrative intermediate results are:

IR 2.1 Vulnerability to water-related risks and stresses reduced

IR 2.2 Water supplies more equitably allocated

IR 2.3 Watershed protection and restoration to improve water quality and quantity expanded

¹An under-utilized partner is defined by the Agency as an organization that has received less than \$25 million in direct or indirect awards from USAID over the past five years. The five years is relative to when the organization is applying to a USAID procurement/funding opportunity.

² According to USAID, 2018: “Self-Reliance” is a country’s ability to finance and implement solutions to its own development challenges. The two mutually reinforcing factors

³ <https://www.usaid.gov/eppr>

⁴World Bank Group, *Nigeria Rural Water, Sanitation and Hygiene Services: Access and Sustainability report*, 2019.

SECTION II: AWARD INFORMATION

Please see the NPI GH APS for award information. Additional information pertinent to this Round only is included below.

A. Funding

Subject to the availability of funds, USAID intends to provide up to \$15 million in total USAID funding to be allocated over five years. USAID reserves the right to make or not to make awards through this APS Round 4. The actual number and type of assistance awards, if any, under Round 4: WRM-WASH is subject to the availability of funds, the interests and requirements of USAID, and the viability of applications received. There is no pre-defined minimum or maximum number of partners or partnerships USAID/Nigeria will support through Round 4. USAID/Nigeria will not provide funds under this APS for products and services that it would otherwise purchase through a contract.

B. Period of Performance

For Round 4: WRM-WASH, the anticipated start date for the award(s) is on or about May 30, 2020.

C. Geographic Focus

WRM-WASH's primary beneficiaries will include communities affected by water pollution and watershed degradation Nigeria.

D. Harmonization with USAID programming

Some additional considerations will be given to activities that compliment current USAID programming in a manner that amplifies the results of both programs.

SECTION III: ELIGIBILITY INFORMATION

Please see Section III of the NPI GH APS for eligibility information regarding organizations.

Applicants must fit the definition of modality 1 or 4 of the Global Health APS. These activities will improve the management of water resources leading to improved provision of WASH services which impact health outcomes. With a focus in this Round on reducing water borne diseases, the award(s) will support stakeholders in identifying and addressing one of the priority areas of water and sanitation, behavior change related to WASH practices, or WASH institutional development and watershed management. Integrated programs across WASH sectors may serve as a platform for improving linkages between the community and WASH service providers to increase access to water and sanitation, expand watershed protection and restoration to improve water quality and quantity, and reduce vulnerability to water-related risks and stresses.

Under this Round to the APS, applicant organizations must propose (and meet) one of the following modalities to be considered eligible:

Modality 1: Direct awards to new and underutilized organizations that are local entities in the host country(ies) in which the applicant is applying. New and underutilized partners that are local entities may apply for direct funding via specific Rounds issued under the NPI GH APS. Per Section I and Appendix B of this APS, a new partner is defined by this APS as an organization that has never received direct or indirect awards from USAID. An underutilized partner is defined by the Agency as an organization that has received less than \$25 million in direct or indirect awards from USAID over the past five years. The five years is relative to when the organization is applying to an USAID procurement/funding opportunity.

Modality 4: Direct awards to partners to leverage private/non-USAID funding. NPI also seeks to support partnerships with organizations that can leverage their own private (non-USG) funding, in recognition of the important dual role that many partners have as both implementers and fundraisers. Organizations of all types (non-profit, private sector, etc.) can apply. Awardees must propose additional leveraged funds worth a minimum of 50 percent of the total value of the award they seek from USAID; this cannot include in-kind contributions but can include non-federal grants and external awards.

Round 4: WRM-WASH defines a local partner to be an individual, a sole proprietorship, or an entity. However, under this phase, for the applicant to be considered a local partner, the applicant must self-certify and submit supporting documentation demonstrating their organization meets the criteria listed below.

A local entity' means an individual, a corporation, a nonprofit organization, or another body of persons that:

- (1) Is legally organized under the laws of, and have its principal place of business in the country served by the **WRM-WASH** program with which the entity is or may become involved;
- (2) Has as its principal place of business or operations in in the Country for which the **WRM-WASH** program will be performed;
- (3) Is majority owned by individuals who are citizens or lawful permanent residents of; and

(4) Is managed by a governing body the majority of who are citizens or lawful permanent residents of the country receiving assistance. For purposes of this section, ‘majority owned’ and ‘managed by’ include, without limitation, beneficiary interests and the power, either directly or indirectly, whether exercised or exercisable, to control the election, appointment, or tenure of the organization's managers or a majority of the organization's governing body by any means.”

SECTION IV: INFORMATION ON APPLICATION AND SUBMISSION

A. Agency Point of Contact

All correspondence must be directed to Maryam Abdullahi and Cheryl Hodge-Snead at abujasolicitations@usaid.gov.

B. Concept Paper Instructions

Applicants are required to follow the Submission Information instructions set forth in Section IV of the NPI: GH APS and this Round document and submit Concept Papers using the Concept Paper Template in Annex A of this Round 4 document.

For Round 4: **WRM-WASH**, interested applicants should submit a Concept Paper via email to the POC listed in Section IV.A above. Under 5 CFR 1320, the Paperwork Reduction Action, only electronic copies of concept papers will be accepted. The concept papers must be received by the deadline on the cover page of this document for this Round (demonstrated by the timestamp on the email when received by USAID).

When emailing a concept paper, applicants must include the APS number, Round name and number in the subject line of the email and attachment/file name(s). USAID's email server cannot handle more than 25 MBs of attachments per email. If the applicant must divide the submission into more than one email, please number the emails in the subject line and indicate in the email's subject line the desired sequence of emails (i.e. 7200AA19APS00013, Round 4, Email 1 of 3). It is the applicant's responsibility to ensure that all necessary documentation is complete and received on time. In the event of technical difficulties, please contact USAID at the email address above.

All concept papers received by the submission deadline on the Cover Page will be reviewed for responsiveness to the APS and Results specific to the Round 4 and the required format. Section V below addresses the technical review procedures for the concept papers. No additions or modifications will be accepted after the submission date. Concept papers that are submitted late or are incomplete may not be considered for the co-creation process or request for full applications (if co-creation is not held). Additional information in the concept paper not requested by the APS and this Round may adversely affect an applicant's evaluation/review.

Clarity and specificity are important; during the merit review process, USAID may reject those Concept Papers that are vague or merely restate language found in the APS and Round 4.

If USAID identifies opportunities to strengthen or fund a Concept Paper or application by connecting it with other USAID mechanisms, other potential funders, and/or external partners, USAID may make that Concept Paper or application available, internally or externally, for appropriate consideration.

USAID will determine whether there will be co-creation with USAID internally or a workshop with the successful concept paper applicants (those whose concept papers receive a PASS rating). USAID also reserves the right to not conduct a co-creation phase at all and request full applications from successful concept paper applicants.

C. Round 4: WRM-WASH Concept Paper Content and Format

From the NPI GH APS: NOTE -- Please note that an organization may not submit more than one concept paper to this round. **If an organization submits more than one concept paper, only the first one received by timestamp at USAID will be evaluated.**

This section presents guidance for the structure of the concept paper for this Round 4. To facilitate the competitive review of the concept papers, USAID will only consider concept papers conforming to the format prescribed below. The concept paper must adhere to the template in Annex A of this document (it has been revised from the template in the NPI GH APS). Any concept paper not adhering to this format below will not be evaluated.

This section presents guidance for the structure of the Concept Paper for Round 4: **WRM-WASH**. To facilitate the competitive review of the Concept Papers, USAID will only consider Concept Papers conforming to the format prescribed below.

Concept Papers must be written in English and submitted electronically via e-mail in Word 2000 or Word 2003 text accessible or Adobe PDF. The concept paper must be written in Times New Roman 12-point font, on standard 8.5" x 11" paper, and be single spaced with no less than one- inch margins and consecutively numbered pages. The concept paper must not exceed five (5) pages, excluding responses to Section A of the template (Cover Page). Concept Papers more than five (5) pages will not be evaluated. Figures, graphics, and tables may have less than Times New Roman 12-point font. The only document that may be submitted as Reference Material is the Certificate of Incorporation, which must not exceed two (2) pages. Any additional reference material pages will be removed prior to evaluation.

D. Funding Restrictions

In addition to the language in the NPI GH APS, it is important to note that the purpose of this Round 4 is not to invest in major WASH infrastructure projects, or major equipment procurements.

E. Application Process

PHASE 1: SUBMISSION OF CONCEPT PAPERS

A Concept Paper is a short document, not to exceed five (5) pages, in which the Applicant provides an overview of its idea. USAID has provided a template in Annex A.

Applicants may submit a Concept Paper in response to an active Round to the NPI GH APS at any time. USAID will acknowledge the submission of Concept Papers within three (3) business days. USAID will review Concept Papers against the merit review criteria detailed in the NPI GH APS and Round 4.

USAID will provide individual results to each applicant within 20 working days following the meeting of the Selection Committee or Technical Evaluation Committee. USAID will notify potential Applicants of significant changes in the review process timeline through a written amendment to Round 4. USAID, at its sole discretion, reserves the right to review Concept Papers out of cycle.

USAID anticipates two (2) possible results from the Concept Paper merit review process:

- **Conditional Acceptance** - Invited for Co-Creation: The Concept Paper generally meets the objectives of the Round 4: **WRM-WASH** and receives strong ratings against the merit review criteria in the NPI GH APS and Round 4: **WRM-WASH**, Additional clarity is needed. USAID invites the Applicant to engage in Co-Creation to address the weaknesses identified. If the applicant concludes the Co-Creation process successfully, USAID will follow with a request for the submission of a full application.
- **Rejection**: Concept Paper does not meet the objectives of Round 4: **WRM-WASH** and receives inadequate ratings against the merit review criteria in the NPI GH APS and this Round. The USAID Mission or Bureau/Independent Office (B/IO) rejects the Concept Paper.

Evergreening

If USAID identifies opportunities to strengthen or fund a Concept Note or application by connecting it with other USAID mechanisms, other potential funders, and/or external partners, USAID may make that Concept Note or application available, internally or externally, for appropriate consideration.

PHASE 2: CO-CREATION

USAID will determine the need and extent of co-creation required with the successful concept paper applicants (those whose concept papers receive “Conditional Acceptance”). All “Conditionally Accepted” Applicants will be notified with instructions under separate letter on the co-creation process after the submission of the concept notes. Those Applicants who are not “Conditionally Accepted” will be promptly notified. USAID also reserves the right to not conduct a co-creation phase at all and request full applications from successful concept paper applicants.

PHASE 3: FULL APPLICATION.

If an Applicant successfully completes Co-Creation, USAID will request a full application based on the consensus reached during Phase 2. At this point, USAID will issue a Request for Application (RFA) to the Applicant. The RFA will provide complete instructions for the submission of a full application. The full application will detail, and expand upon, the concept developed through Co-Creation. The full application also requires the Applicant to complete specific U.S. Government forms, and to provide some additional information that USAID will need to move forward with an appropriate implementing instrument.

There are reasons why an Applicant could be unsuccessful at the full application phase. For example:

- The detailed program does not satisfy the criteria and definitions provided in the Concept Paper;
- The Applicant cannot provide evidence that it is a legal entity in the Republic of Nigeria; or
- USAID has other concerns after conducting due diligence or pre-award surveys.
- Does not meet the definition of “Local Partner” as outlined in Round 4: **WRM-WASH**. USAID reserves the right to make no award under Round 3 at any stage of the process.

F. Merit Review Criteria

USAID will review for merit all Concept Paper applications that comply with the instructions in this Round 4: **WRM-WASH** to the NPI GH APS. Concept papers for Round 4: **WRM-WASH** will be reviewed by a Merit Review Committee according to the criteria described below. The purpose of this review is to ensure that prospective partners bring appropriate capabilities, experiences and potential contributions to the co-creation process and ultimately the implementation of activities. A Concept Paper is determined to be successful if it receives a Conditional Acceptance overall based on the three criteria below, which are listed in descending order of importance. [Note: The criteria below are for the Concept Paper only. Additional criteria will be circulated as a part of a full request for applications for selected successful Concept Paper applicants at a future date.]

The merit-based review of Concept papers under this Round 4: **WRM-WASH** must include the following criteria:

SECTION V: Round Merit Review Evaluation Criteria

Concept papers for this Round will be reviewed by a Merit Review Committee according to the criteria described below. The purpose of this review is to ensure that prospective partners bring appropriate capabilities, experiences and potential contributions to the co-creation process (if held) and ultimately the implementation of activities. A concept paper is determined to be successful if it receives a PASS overall based on the three criteria below, which are listed in descending order of importance.

[Note: The criteria below are for the concept paper only. Additional criteria will be circulated as a part of a full Request for Applications for selected successful concept paper applicants at a future date.]

The merit-based review of Concept papers under this Round 4: **WRM-WASH** must include the following criteria:

Merit Review Element	Definition
Advancing NPI principles	The extent to which the Concept Paper explains how the technical approach advances the principles of the New Partnerships Initiative to equip and empower new and underutilized development partners as well as partners that can leverage non-US funding to maximize the impact of American tax dollars and identify development solutions that will be country- and partner-driven for maximum long-term impact.
Technical Approach	The degree to which the proposed approach presents a cohesive plan that is technically sound, innovative, feasible, and cost effective, addressing water borne diseases in Nigeria to achieve impact results. The extent to which the proposed plan for measuring results is feasible and relevant to monitoring project impact.
Sustainability	The extent to which the Concept Paper's proposed approach is sustainable and increases the likelihood that the affected communities and the Nigerian government will be able to continue the program's activities and/or maintain its achievements.
Institutional Capacity	The degree to which the applicant demonstrates expertise and experience in implementing water resources management or WASH projects, consistent with the Round program statement and results. The concept paper demonstrates an appropriate management structure, organizational capabilities, expertise, and experience in project implementation in rural Nigeria.

The government will assess its level of confidence that the applicant will successfully perform all 12 requirements in regard to the merit criteria listed above. In doing so, the government will utilize the following confidence approach:

	The Government has <i>high Confidence</i> that the applicant understands the requirement, proposes a sound approach, and will be successful in performing with <i>little or no</i> government intervention.
	The Government has <i>some Confidence</i> that the applicant understands the requirement, proposes a sound approach, and will be successful in performing with <i>some</i> government intervention.
	The Government has <i>low Confidence</i> that the applicant understands the requirement, proposes a sound approach, or will be successful in performing <i>even with</i> government intervention.

ANNEX A. TEMPLATE

USAID New Partnerships Initiative (NPI): Global Health (GH) APS Round: WATER RESOURCES MANAGEMENT FOR ENHANCED WASH IN NIGERIA CONCEPT PAPER

A. Concept Paper Cover Page – *(please review and certify that your organization meets the following eligibility requirements and complete the form below:)*

We certify that, in line with the criteria enumerated in Section III, Sub-Section A of the NPI GH APS and under penalty of perjury, our organization meets the following criteria to be eligible to apply to this Round 4:

1. **Modality 1** _____ and/or **Modality 4** _____ (check which modality applies to the concept)
2. **Proposed Project Name/Title:** _____
3. **Applicant Organization Name:** _____
4. **Applicant Contact Person** (name, phone, email): _____
5. **Full Address for Applicant Organization:** _____
6. **Type of Organization** (e.g., U.S., non-U.S., multilateral, private, for-profit, non-profit [please include registration as Reference Material]: _____
7. **Period of Performance** (i.e., start date and end date): _____
8. **Geographic Areas** (Identify which geographic area (state, watersheds, or river basins) the Applicant is proposing to work in): _____
9. **Total Program Amount** (in USDs and cite the exchange rate utilized): _____
10. **Total Amount of Funding Requested from USAID:** _____
11. **DUNS Number:** (NOTE it is not required for an organization to have a DUNS number prior to submission of Concept Note; however, if an apparently successful applicant is notified, they must register within www.sam.gov prior to award) _____

B. Concept Introduction: *(approximately 1 page). Describe why there is a strategic need for your organization's concept to address water borne diseases in Nigeria to achieve impact results, how it differs from alternatives. Explain how the proposed technical approach will advance each of the proposed countries' Journeys to Self-Reliance.*

C. Beneficiaries: (approximately ½ page). Describe who will benefit from this intervention(s) and how your organization plans to ensure that outcomes for individual beneficiaries are met. Describe your organization's experience with these populations.

D. Intervention approach: (approximately 1½ pages) Building on the introduction, describe how this intervention will produce the desired impact in reducing water borne diseases. Briefly describe critical WASH challenges and watershed management problems that your organization's concept addresses. Describe your technical approach to addressing these challenges and increasing the demand for and use of WASH services in Nigeria and how it is technically sound, innovative, feasible and appropriate to address the key drivers of water borne diseases and the degradation of water resources. Applicants must provide a cohesive plan that applies priority and high impact approaches best fit to the context in which they propose to work.

E. Results: (approximately ½ page) Briefly describe the anticipated results and/or impact of the proposed intervention(s). What is the key, quantifiable metrics related to your organization's project's expected performance and how will it measure such metrics?

F. Applicant capacity: (approximately 1 page). Describe your organization's institutional capacity – technical, managerial, financial, etc. – to carry out the proposed technical approach. Describe your organization experience working on integrated WASH programs as described in the Round program description, specifically in addressing water, sanitation, hygiene, as well as watershed management. Provide information on your organization's capacity and experience working in different regions in the country.

G. Reference material: Please attach your organization's registration.

H. Local Partner Self Certification (Does not count against page limit)

The applicant must self-certify that it meets the PEPFAR definition of a local partner as described in Section III, if applicable under each Modality. **Note:** All applicants under Modality 1 must provide the self-certification.

Self-Certification: The Applicant must submit the following:

We are responding to this APS Round 4 as an entity.

As an entity, I [INSERT NAME AND TITLE] certify that as of [DATE] [INSERT ORGANIZATION NAME] meets **all** of the following requirements:

____ Incorporated or legally organized under the laws of, and has its principal place of business in, Nigeria;

____ Is at least 75% beneficially owned by individuals who are citizens or lawfully admitted permanent residents of Nigeria;

____ At least 75% of its overall staff (senior, mid-level, support) are Nigerian citizens or lawfully admitted permanent residents of Nigeria;

___ At least 75% of its senior staff (i.e., managerial and professional personnel) are Nigerian citizens or lawfully admitted permanent residents of Nigeria; and

___ We [___] do [___] do not have a Board of Directors

___ (only applicable to an organization with a Board of Directors) At least 51% of the members of the Board are citizens or lawfully admitted permanent residents of Nigeria.

[List all documents that will be provided in the Annex to support the certification]

I [Name and Title] of [Name of Organization], further certify that the information provided is accurate, current and complete as of the date hereof. I also understand that any falsification of information could lead to suspension and/or debarment from future business with USAID and I am aware of the penalty prescribed in 18 U.S.C. 1001 for making false statements in this solicitation.

Solicitation No. _____

Application No. _____

Date of Application _____

Name of Applicant _____

Typed Name and Title _____

Signature _____ Date _____

Signature of individual with authority to obligate your organization