



# USAID | BURMA

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## ANNOUNCEMENT

### CALL FOR LOCALLY LED DEVELOPMENT CONCEPTS ON ADDRESSING DRUG ADDICTION IN KACHIN

#### ADDENDUM #2 TO LOCALLY LED DEVELOPMENT ANNUAL PROGRAM STATEMENT APS No.: 7200AA19APS00007

Original Issuance Date: 11/01/2019

Amended Date: 12/10/2019

Closing Date: 01/31/2020

**APPLICANTS - PLEASE NOTE:** This is an addendum to an existing announcement. All interested organizations must carefully review both this addendum AND the full announcement, which can be found here: <https://www.grants.gov/web/grants/view-opportunity.html?oppld=314757>  
Important information contained in the full worldwide announcement is not repeated in this specific addendum.

This program is authorized in accordance with the Foreign Assistance Act of 1961, as amended.

Through this Addendum to the Locally Led Development Annual Program Statement (APS) No. 7200AA19APS00007 USAID/Burma is making a special call to local actors for the submission of concepts focused on Addressing Drug Addiction in Kachin. The deadline for concept submissions is January 31, 2020.

Drug use and associated HIV infection are increasing in Kachin State, Myanmar. The complex factors of this rural drug epidemic located in a conflict zone make this situation unique in the world. There are no "magic bullets" to address this problem. USAID/Burma proposes to use Local Works funding to demonstrate that "wicked problems," such as this can be addressed by using a systems approach to engage a wide community of stakeholders to co-create and develop, endorse, implement and ultimately be held accountable for local solutions to local problems. A local systems approach is relevant because the program interventions underway now are limited in effectiveness because they occur in sector silos and are implemented in isolation of each other. While these interventions are technically sound, they do not address the numerous interconnected challenges faced by local stakeholders in the Kachin context. A systems approach will contribute to advancing locally owned development and enhancing USAID's ability to empower community actors to take the lead in addressing their development challenges. Many of the local stakeholders share common interests -- health, prosperity and peace. Yet they are not connected to one another. They do not understand one another's perspectives and do not have access to the complete picture. Our development rationale is if we prioritize local leadership, ownership, and self-reliance, and if we can creatively tap into existing in-country resources and make viable linkages, then we will achieve sustainable results.

Estimated award amounts will range from \$400,000 to \$1,000,000, for a maximum period of three years. Consortiums are encouraged to apply. This first Addendum will result in one award. However, over the next year, USAID/Burma is committed to releasing subsequent Addenda that are likely to result in multiple awards.

Unless otherwise stated herein, all terms and conditions of the Locally Led Development APS apply (<https://www.grants.gov/web/grants/view-opportunity.html?oppld=314757>).

### **Section 1: Background**

Myanmar is the second largest opium producer in the world, and possibly the world's largest methamphetamine producer. In Kachin State, in the north of the country, the easy availability of cheap, strong heroin has fueled addiction, particularly among underemployed young people and migrant laborers. Sharing needles for heroin use has resulted in very high levels of HIV and hepatitis infection. The drug and HIV epidemics in Kachin are raging and spreading to nearby Myanmar states.

The problems and issues confronting Kachin State are complex and interconnected. The need for an integrated approach to address these problems is underscored by how interconnected and interdependent Kachin's natural resources, government, local economy, and people are. Diseases such as AIDS, social and economic disasters caused by poor environmental management, conflict over the ownership of natural resources, and decreased agricultural production are only a few examples. The opportunity is to improve governance and strengthen civil society to use available technologies and resources in creative and responsible ways, ultimately enabling Kachin to meet the needs of the present without compromising the ability of future generations to meet their own needs. Lasting change depends on a critical mass of people—individuals, families, groups, communities, and institutions—freely taking action to implement sustainable solutions.

To stimulate local action and help coordinate ongoing efforts to address the drug and HIV epidemic in Kachin, the USAID/Burma Local Works Program and the Kachin-based Steering Committee organized a three-day multi-stakeholder workshop from May 5-7, 2019 in Myitkyina, Kachin. More than 100 participants came together over the three days to reflect on the past, map and prioritize present trends affecting drug abuse in Kachin, envision a desired future, find common ground, and develop several action plans to pursue together. Through a self-organized narrowing and refining process, the participants developed three Value and Policy Statements and three objective statements for action (See annex - WSR Report).

### **Section 2: Objectives**

USAID is committed to helping its partner countries achieve self-reliance and ensuring that U.S. taxpayer investments produce sustainable, long-term development outcomes. Local ownership of the development process is key to achieving these objectives. In support of this outcome, USAID/Burma intends to award a grant to a local organization or consortium of local

organizations to address the root causes of drug addiction in Kachin State. Please note that applicants proposing a consortium of local organizations must clearly identify one of the members as a lead organization.

USAID/Burma requests all interested and qualified applicants to submit a concept paper that articulates what the applicant organization(s) will do to achieve measurable progress towards one or more of the following objectives:

- 1) Promote successful drug rehabilitation through improved access to support services and vocational training;
- 2) Promote healthy behaviors among non-drug users that make them less likely to start using drugs; and
- 3) Promote access to health services for all drug users without discrimination.

All proposed activities must be implemented in Kachin State, Myanmar. USAID/Burma encourages innovative and integrated approaches.

The following types of activities for each objective are illustrative and not exhaustive. They are meant to give applicants a better idea of the range of activities envisioned in this Addendum.

- 1) Promote successful drug rehabilitation through improved access to support services and vocational training;
  - Establish detoxification and vocational training center(s) for rehabilitating drug users.
  - Provide vocational skills training in agriculture and husbandry, mechanics, carpentry and trade as well as community integration.
  - Work with potential employers to establish a labor market for reintegrating detoxed drug users into society.
  - Provide health services to those transitioning from rehabilitation to employment.
- 2) Promote healthy behaviors among non-drug users that make them less likely to start using drugs; and
  - Mass media campaigns to promote healthy choices.
  - Promote activities that engage vulnerable youth to reinforce healthy/active behaviors.
  - Engage government and other authorities in dialogue to prevent activities related to drug cultivation, production and trade.
  - Organize prevention task forces (by neighborhood, village, township, etc.) and develop an opium eradication strategy.
- 3) Promote access to health services for all drug users without discrimination.
  - Introduce novel approaches to providing new types of health services.
  - Educate health care providers on providing quality services for all.
  - Provide treatment/rehabilitation for all drug users including women drug users.

- Promote peer support programs among drug users.

Local actors are not required to propose a fully designed activity for this addendum -- a concept that suggests a process to identify and then act on solutions from the local context is fully within the intent of the Locally Led Development APS.

### **Section 3: Minimum Requirements for Concept Submissions**

#### **Applicant Eligibility**

Eligible applicants:

1. Must be local actors in Burma. When determining if an entity is a “local actor” for the purposes of this APS, USAID will consider:
  - a. Whether the entity is legally organized under the laws of Burma;
  - b. Whether the entity has its principal place of business or operations in Burma;
  - c. Whether the entity is majority owned and controlled by individuals who are citizens or lawful permanent residents of Burma;
  - d. Whether the entity is managed by a governing body, the majority of whom are citizens or lawful permanent residents of Burma; and
  - e. Whether the entity has projects, offices, and/or registration in other countries, and whether key management decisions are taken by the office located in Burma or elsewhere.
2. Cannot have received more than \$5 million in direct funding from USAID in the past five years.
3. Cannot be a U.S.-based organization. U.S.-based organizations are not eligible as prime awardees under this APS.

#### **Learning Priorities**

Concepts must incorporate **at least one** of the following learning priorities:

1. **Feedback Mechanisms** to ensure that information flows in various directions among local actors, partners, and USAID to improve program effectiveness and sustainability.
2. **Systems-and-Networks-Based Analytical Approaches**, which may allow us to better understand the complex environments in which we operate.
3. **Participatory Decision-Making**, which includes locally led priority-setting, collaborative design, and other means of devolving control and ensuring inclusive local leadership.
4. **Strengthening Local Networks** to connect local needs with local resources.
5. **Local Ownership Approaches to Design, Monitoring, Evaluation, and Learning** that prioritize local definitions of success.
6. **Supporting Strategic Transitions**, which includes strengthening the role of local institutions and actors to sustain outcomes without foreign assistance.

#### **Local Resources**

Concept notes must specify the resources that will be contributed by the applicant, whether cash or in-kind or any combination of the two. In-kind resources include but are not limited to services, property, equipment, goods, staff time, and intellectual property. No specific amount or

percentage is required, but in-general, applicants should show that they are able and willing to contribute their own resources towards the activity specified in the concept note and that the funding amount requested can be reasonably managed by the organization.

### **Award Amount Considerations for Self-Reliance**

As part of their concept submissions, Applicants must propose a budget that reasonably reflects their existing resources and capacity to grow and provide justification of this. It is not USAID's intention to temporarily flood a local organization with funding that artificially expands its size beyond what can reasonably be sustained through local resources after an award ends.

### **Section 4: Merit Review Criteria**

Concepts meeting the minimum requirements will be evaluated based on the criteria below:

#### **Merit Review Criteria**

##### **A. Local Ownership, Leadership, and Relevance to Local Priorities**

The Applicant demonstrates a strong understanding of the local context and is transparent, accountable, and responsive to the people they serve. The proposed approach substantively responds to local priorities or demonstrates a way to determine local priorities before beginning implementation. The proposed approach and any teaming arrangements effectively shift the locus of development priority setting and response to local actors.

##### **B. Development Impact**

The proposed approach aligns with objectives set forth in the OU-issued addendum and demonstrates a clear theory of change leading to anticipated outputs, outcomes, results, and impacts. The proposed approach integrates issues of gender equality and female empowerment through program design, implementation, and staffing in accordance with USAID's Gender Equality and Female Empowerment Policy.

##### **C. Learning**

E3/LS is very committed to enabling and sharing learning that advances the practice of locally led development for USAID, local partners, and the development community. The Applicant demonstrates an understanding of the proposed learning priority(ies) and how utilizing learning related to the priority(ies) will advance the overall goals of their approach. The Applicant demonstrates a commitment to flexibility, learning, and adaptive management in response to shifting realities as they carry out the proposed approach.

##### **D. Strengthening Local Systems**

USAID's investment in this work is expected to contribute to local resources strengthening and greater country self-reliance. The approach itself strengthens a local system within a country or region to sustain a development result over time. The outcomes, results, and impacts, as well as any activities that need to continue beyond the duration of a USAID award, will be sustainable without continued USAID funding or involvement after the award ends.

In addition, the following evaluation criteria specific to USAID/Burma will be used:

- Extent to which the Applicant's approach includes or brings together a diverse set of stakeholders (e.g., non-governmental organizations (NGOs) civil society organizations (CSOs), private sector actors, or faith-based organizations (FBOs).)
- Extent to which the Applicant demonstrates a unique approach or successfully builds on previous approaches

Proposed concepts must be consistent with USAID legal and policy restrictions, including those set forth in USAID's Automated Directives System and in the Foreign Assistance Act of 1961.

While merit criteria are paramount, cost considerations may also be a factor for award. The U.S. Government is not obligated to make an award on the basis of lowest proposed cost or to the Applicant with the highest merit evaluation score.

### **Section 5: Concept Format and Submission Instructions**

Concepts are to be sent to USAID/Burma at [burmaoaa@usaid.gov](mailto:burmaoaa@usaid.gov). Concepts must be submitted by January 31, 2020 to be considered.

Concepts must be submitted as a concept paper not exceeding five pages in total length.

Concepts may be submitted in English, Burmese, or Jingpao.

Applicants must provide the following information in concept submissions:

#### **A. SUMMARY INFORMATION**

A. Name and contact information of Applicant

B. Organization type and country of legal registration

C. Certification that the Applicant has not received more than \$5 million from USAID in the past five years

D. Title of proposed activity

E. Location of proposed activity

F. Name, contact information, and country of legal registration for other partner organizations collaborating on concept (if any)

G. Name of the USAID OU to which this application is submitted

H. Overall objective of activity (1-2 sentences)

I. Brief description of the learning priority(ies) included in the proposed activity (1-2 sentences)

J. Brief description of the approach to local resources included in the proposed activity (1-2 sentences)

K. Amount of funding requested from USAID \$ \_\_\_\_\_

L. Explanation of how the requested funding amount reasonably reflects the organization's existing resources and capacity to grow

## **B. NARRATIVE DESCRIPTION**

Successful narrative descriptions will include a discussion of the components below. Please note that each component aligns with a specific merit review criterion.

### **Local Ownership, Leadership, and Relevance to Local Priorities:**

- What is the development challenge the concept addresses?
- How do local people experience the challenge, and what is important to them? How do you know?
- What is the proposed approach?
- How will local actors lead priority setting and response?
- How will any partners be involved in the approach?

### **Development Impact:**

- How does the approach align with the addendum objectives?
- What is the theory of change?
- How does the approach integrate gender equality and female empowerment?

### **Learning:**

- How will the approach enable and share learning to advance the practice of locally led development? What are the specific learning priority(ies)?
- How will focusing on the priority(ies) advance the overall goals of the approach?
- When realities shift in the local context, how will the approach adapt?

### **Strengthening Local Systems**

- How does the approach contribute to local resources strengthening and greater country self-reliance?
- How does the approach strengthen a local system within a country or region to sustain a development result over time?
- How will the outcomes, results, and impacts, as well as any activities that need to continue beyond the duration of a USAID award, be sustainable without continued USAID funding or involvement after the award ends?

## **Section 6: Concept Review Process and Co-Creation**

USAID/Burma is responsible for the review process and management of any awards issued under this Addendum.

USAID/Burma will confirm receipt of concept notes within one week of submission.

The most highly rated Applicants will be contacted by an Agreement Officer (AO) from USAID/Burma and asked to make an oral presentation to USAID/Burma. The content of the presentation will include the information in the concept note as well as additional information specified in the letter of notification. Applicants not selected to advance will also be notified.

The most highly rated Applicant from the oral presentation phase will be invited to co-create a full application with USAID/Burma. An AO from USAID/Burma will provide instructions and a timeline for how the co-creation process will take place. Prior to submission of a full application, USAID/Burma and the Applicant must have significant interaction in-person, via phone, and/or electronically to co-create the full application's programmatic approach. Applicants not selected to advance will also be notified.

If USAID/Burma determines that the Applicant's approach meets the requirements of this funding opportunity, a milestone-based fixed-amount award (grant) will be issued.

The subsequent steps in the full application process and the award process can be found in Section 8: Concept Submission Instructions and Next Steps for Applicants of the Locally Led Development APS.

### **Section 7: Sharing of Concepts**

If USAID identifies opportunities to strengthen or fund a concept or application by connecting it with other USAID mechanisms, other potential funders, and/or external partners, USAID may make that concept or application available internally or externally for appropriate consideration.

### **Section 8: Questions and Further Assistance**

Questions regarding the substance and objectives of this addendum may be directed to [burmaoaa@usaid.gov](mailto:burmaoaa@usaid.gov).

Questions regarding the substance and terms of the Locally Led Development APS may be directed to [LLD-APS@usaid.gov](mailto:LLD-APS@usaid.gov).

For additional information regarding guidelines and procedures to submit a concept, please refer to the Locally Led Development APS, which can be found at the following website: <https://www.grants.gov/web/grants/view-opportunity.html?oppld=314757>