

**The USAID Global Health Broad Agency Announcement
for
Research and Development (2018)**

I. Overview

A. This Broad Agency Announcement (BAA) seeks opportunities to co-create, co-design, co-invest, and collaborate in the research, development, piloting, testing, and scaling of innovative, practical and cost-effective interventions to address the most pressing problems in global health. The United States Agency for International Development (USAID) invites organizations and companies to participate with USAID, in cooperation with its partners, to generate novel tools and approaches that accelerate and sustain improved health outcomes in developing countries.

Special Note:

A Broad Agency Announcement is a 2 step process:

Step 1: The issuance of the BAA:

A BAA document provides general information about an area of interest that USAID would like to explore. This particular BAA document discusses areas of interest specific to Research and Development for Global Health.

Interested parties can not submit “Expressions of Interest (EOI)” to this BAA document, as this announcement is not a funding opportunity. See below (Step 2) for additional information about funding opportunities related to this BAA.

Step 2: The issuance of an Addendum:

Once a particular area of interest has been identified by USAID, an Addendum is created and provides the following:

- specific information regarding criteria,
- eligibility and application requirements,
- applicable deadlines, and
- an Addendum-specific Point of Contact (POC).

Monitor the following sites for Addenda to this BAA:

- [Grants.gov](https://www.grants.gov)
- [FedBizOpps](https://www.fedbizopps.gov)

B. Federal Agency Name:

The United States Agency for International Development (USAID)

C. Opportunity Title:

The USAID Global Health Broad Agency Announcement for Research and Development (2018)

D. Opportunity Number:

GLOBALHEALTH-BAA-2018

E. Authority:

This BAA is issued under Federal Acquisition Regulations (FAR) Part 35.016 (c). This is not a FAR Part 15 Procurement.

F. Catalog of Federal Domestic Assistance (CFDA) Number:

98.001 USAID Foreign Assistance Programs for Overseas

II. Collaboration

The intent of the BAA is to enable and encourage co-creation and co-design in order to generate high quality ideas and effective partnerships with improved efficiency in time and resources. The types of collaboration include:

1. Co-creation: Co-creation occurs after an Expression of Interest is approved, but before the concept is further developed and refined. The potential partner, the Government, represented by the Activity Manager, and potentially others work together to write and/or revise the Concept Paper, and jointly present the Concept Paper to USAID's Peer and Scientific Review Board.
2. Co-design/ development: Co-design/ development occurs after the Peer and Scientific Review Board recommends the project for further development, and the Contracting Officer or Agreement Officer has determined the proposer to be an Apparently Successful Partner. At this point, the Contracting Officer or Agreement Officer may determine the general nature of the award type or the specific award type to facilitate project design. During co-design, the Apparently Successful Partner and the Activity Manager will design the technical approach, general resource requirements, and management control of the project under the guidance of the Contracting Officer/Agreement Officer.
3. Co-invest. Co-invest refers to the Government's strategic aim that the partnerships resulting from the individual BAA awards represent opportunities to achieve mutual or complementary development goals of the Partner, USAID, and potentially other resource partners, and therefore embrace shared responsibility, risk, and resourcing. Shared resourcing may be accomplished by the parties contributing funding, either through cash resources or the exchange of other resources, both tangible and intangible, such as in-kind contributions, expertise, intellectual property, brand value, high-value coordination, and access to key people, places, and information. Co-investing does not require equally shared resourcing, such as 1:1 leverage, but rather resource contributions that are appropriate to the specific project's objectives, considering the comparative advantages brought by the participation of each party and the award type.

III. Specific Rights Reserved for the Government under this BAA

The Government reserves specific rights, in addition to rights described elsewhere in this document or by law or regulation, including:

- 1.The right to award multiple awards, a single award, or no awards.
- 2.The right to make an award without discussions, or to conduct discussions and/or negotiations, whichever is determined to be in the Government’s interest.
- 3.The right to accept proposals in their entirety or to select only portions of proposals for award or co-investment.
- 4.The right to select for award an instrument type that is appropriate to the specific development context, partner relationship, and proposal selected forward. Instruments types include but are not limited to contracts, grants, cooperative agreements, Global Development Alliance agreements, Development Innovation Agreements, Inter-Agency Agreements, Government-to-Government Agreements, Donor-to-Donor Agreements, and Memorandums of Understanding. In addition, the Government may craft a new instrument type to meet the needs of a specific relationship.
- 5.The right to co-create projects with one or more proposers under the BAA, when it is in the best interest of the Government.
- 6.The right to request any additional, necessary documentation upon initial review. Such additional information may include, but is not limited to, a further detailed proposal, budget, and representations and certifications.
- 7.The right to fund or co-invest in proposals in phases, with options for continued work at the end of one or more of the phases.
- 8.The right to award instruments under this BAA that do not commit or exchange monetary resources.
- 9.The right to remove proposers from award consideration should the parties fail to reach agreement on award terms, conditions, and cost/price within a reasonable time, the proposer fails to provide requested additional information in a timely manner, or the Government believes it is in its best interest.

IV. Problem and Challenge Statements

PROBLEM: The global community has made great strides in reducing mortality around the world over the last 50 years, but progress in developing countries lags far behind that seen in developed countries. Every year an estimated 303,000 women die during pregnancy and childbirth, 5.9 million children die, and an even greater number become infected with life-threatening diseases like HIV/AIDS, malaria, diarrhea, pneumonia, and TB, among others. The vast majority of deaths due to these largely preventable causes occur in developing countries where access to health services is often poor. Outbreaks of emerging infectious diseases like Ebola and Zika only serve to further compound these health challenges, taxing already stressed health systems and elevating the risk of disease epidemics of global proportions.

CHALLENGE: Harness the power of science, technology, and innovation to generate new knowledge, tools, and approaches that can reduce developing country mortality down to the levels of more developed countries within a generation. USAID and partners will work to rapidly implement solutions and create an environment for sustainability, scalability, and ultimately health impact.

V. Criteria for Consideration

A. Initial submissions are not evaluated against other submissions, but solely on whether USAID believes that the submitter will be a valuable contributor to the co-creation process. USAID may need to limit the number of initial submissions selected to move forward based on efficiencies.

B. Concept Papers are not evaluated against other submissions, but solely based on USAID's determination that the Concept Paper will successfully address the Problem and Challenge set forth herein. USAID may need to limit the number of Concept Papers selected to move forward based on efficiencies.

C. Decisions regarding USAID's pursuit of a particular project, technology or relationship are based on the available evidence, data, and resulting analysis. USAID seeks solutions that have a significant impact (i.e. breakthroughs, not incremental improvements), and can achieve that impact at scale.

D. The reputations of an organization, its past performance, the managerial and technical ability of the person or team of people engaged in the endeavor are always significant considerations in assessing the potential and the risks associated with each award.

E. Additional criteria may be considered under this BAA to indicate that the submission successfully addresses the Problem and Challenges; these criteria will be specified in each Addendum.

VI. Award Stages.

The resources made available under this BAA will depend on the Addenda issued, concepts received, and the availability of funds. Some award types may not include any funding. The award process under this BAA generally has the following steps:

Stage 1

Expression of Interest: Potential partners will submit an Expression of Interest in response to a addendum to the USAID Global Health Broad Agency Announcement For Research and Development (2018). The release of this BAA is not an invitation for Expressions of Interest. Addenda released under this BAA will communicate specific requirements for Expressions of Interest.

Expressions of Interest must outline a research or development idea that aims to address the Problem and Challenge Statement(s) by increasing knowledge and understanding of potential solutions, exploiting scientific discoveries or improvements in technology, materials, processes, methods, devices, or techniques, advancing the state of the art, or using scientific and technical

knowledge in the design, development, testing, or evaluation of a potential new product, service, or approach (or of an improvement in an existing product, service, or approach).

Stage 2

Development of the Concept Paper: For Expressions of Interest which are deemed to have merit by USAID and meet the criteria/eligibility stated within the BAA and/or specific addenda, USAID will invite the potential partner to participate in a co-creation workshop, conference, meeting, or another method designated by USAID, in order to work together with the invitee to further develop the idea presented in the Expression of Interest.

Due to the large number of Expressions of Interest, USAID is unable to provide detailed feedback to applicants on Expressions of Interest that are not selected to move forward.

USAID and the potential partner will collaborate on a Concept Paper. During this phase of co-creation and co-design, the parties will begin to determine additional partners and resources to complement the project, as well as whether additional mechanisms are necessary to implement the project. The Concept Paper, which typically ranges from 5-10 pages, will elaborate on the project as initially outlined in the Expression of Interest.

All potential partners may not move forward to Stage 3.

Stage 3

Review by the Peer and Scientific Review Board: Concept Papers developed during Stage 2 will be reviewed by the Peer and Scientific Review Board, comprised of experts from USAID, partners, and/or outside parties. The Peer and Scientific Review Board will review Concept Papers and recommend which applicants should be considered Apparently Successful Partners. Using its technical expertise, the Peer and Scientific Review Board will recommend whether to move forward with the project including revisions/additions to the project, and potential partners and resources.

All potential partners may not move forward to Stage 4.

Stage 4

Contracting/Agreement Officer Determination: The Contracting/Agreement officer will review the Peer and Scientific Review Board's recommendations and consider other information, such as resource availability, preliminary partner responsibility assessment, and Agency priorities, and will make a determination that the respondent is an Apparently Successful Partner. The Contracting/Agreement Officer may also make or narrow down the anticipated instrument type to facilitate project design.

Request for Additional Information: USAID will work with partners identified by the Peer and Scientific Review Board, and co-design the project and assist the partner to provide additional information with respect to the proposer's technical approach, capacity, management and organization, past performance, and budget, as well as representations and certifications, as needed.

Final Review and Negotiation: The USAID Contract/ Agreement Officer will engage in final review, negotiation, and determinations of instrument type, responsibility cost reasonableness, etc., and will craft an award instrument with the Apparently Successful Partner. If the Apparently Successful Applicant and USAID cannot arrive at a mutually agreeable arrangement, the Contract/ Agreement Officer will cancel the project at no cost to the Government.

Award: Where USAID determines that the award of an instrument is appropriate, the USAID Contract/ Agreement Officer will award the instrument.

VI. Award Information

Number of awards could vary and may not mirror the number of expressions of interests or invitees to co-creation.

A. Awards under this BAA will be made to Apparently Successful Partners on the basis of their ability to further USAID's goals of accelerating progress in global health. USAID is seeking new applications of science, innovations, and partnerships that provide the best value to the Government and have the potential to substantially contribute to these goals.

B. Proposals identified for negotiation may result in a contract, grant, cooperative agreement, Global Development Alliance agreement, Development Innovation Agreement, Inter-Agency Agreement, Government-to-Government Agreement, Donor-to-Donor Agreement, Memorandum of Understanding or alternative agreement type, depending upon the nature of the work proposed, the required degree of Government involvement, and other factors. The Government Contracting/Agreement Officer will determine award instrument type and negotiate instrument terms and conditions with award nominees. USAID may select the award instruments it deems appropriate.

C. Eligibility Information. Public, private, for-profit, and nonprofit organizations, as well as institutions of higher education, public international organizations, non-governmental organizations, U.S. and non-U.S. governmental organizations, multilateral and international donor organizations are eligible under this BAA. All organizations must be determined to be responsive to the BAA and sufficiently responsible to perform or participate in the final award type.

D. Standard Clauses and Provisions of Award. The standard clauses or provisions for awards are generally prescribed by law and regulation and will vary considerably by award type. Information regarding clauses and provisions will be offered to the proposer when the award type is identified.

E. Organizations are encouraged to consider providing reasonable cost sharing, leverage, or other exchange of resource arrangements, and are encouraged to suggest creative approaches to resourcing projects.

VIII. Information Protection:

USAID's goal is to facilitate research and development that will lead to innovative, and potentially commercially viable, solutions. Understanding the sensitive nature of submitters' information, USAID will work with organizations to protect intellectual property.

Expressions of interest should be free of any intellectual property that submitter wishes to protect, as the expressions of interest will be shared with USAID partners as part of the selection process. However, once submitters have been invited to engage in further discussions, submitters will work with USAID to identify proprietary information that requires protection.

Therefore, organizations making submissions under this BAA grant USAID a royalty-free, nonexclusive, and irrevocable right to use, disclose, reproduce, and prepare derivative works, and to have or permit others to do so to any information contained in the expressions of interest submitted under the BAA. If USAID engages with the organization regarding its submission, the parties can negotiate further intellectual property protection for the organization's intellectual property.

Organizations must ensure that any submissions under this BAA are free of any third party proprietary data rights that would impact the license granted to USAID herein.

IX. BAA Expiration Date: May 30, 2022.

Reminder:

Interested parties can not submit "Expressions of Interest (EOI)" to the BAA document, as this announcement is not a funding opportunity. See above (Step 2 under the Special Note) for additional information about funding opportunities related to this BAA.

X. Questions regarding this BAA document (only)

Please forward any questions, comments or concerns about this document to the following address:

GH-BAA-2018@usaid.gov

Please note, we are unable to address inquiries related to future Addenda to this BAA.