



Issuance Date: April 14, 2010

Closing Date: April 13, 2011

Submit Concept Papers to: aps-512-10-01@usaid.gov

SUBJECT: Annual Program Statement (APS) Number APS-512-10-000001
Brazil Partnership Development Program

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development (USAID) Mission in Brazil is seeking concept papers from qualified U.S. and non-U.S., non-profit or for-profit Non-Governmental Organizations (NGOs) to create new partnerships to support USAID Brazil Mission priorities in Economic Growth and Investing in People through initiatives in the following areas: 1) Corporate Social Responsibility; 2) Disaster Assistance; 3) Energy – Clean Energy and Energy Efficiency; 4) Environment – Biodiversity; 5) Health – HIV/AIDS, Malaria and Tuberculosis.

The USAID/Brazil Mission is committed to work together in partnership with the civil society and public and private sectors to overcome social, economic and environmental challenges faced by the country. Strategic partnerships are of fundamental importance to further increase sustainable development impact to advance global, peace, and prosperity. Our objective is aimed to increase the overall strategic value of our partnerships for fostering sustainable development. Please refer to the Program Description included herein for a complete statement of goals and expected results.

Pursuant to 22 CFR 226.81, USAID policy is not to award fee or profit under assistance instruments. However, all reasonable, allocable, and allowable expenses, both direct and indirect, which are related to the grant program and are in accordance with applicable cost standards (22 CFR 226, OMB Circular A-122 for non-profit organization, OMC Circular A-21 for universities, and the Federal Acquisition Regulation (FAR) Part 31 for-profit organizations, may be paid under the agreement.

This APS will be opened for a period of one year from the issuance date of April 14, 2010.

Issuance of this APS does not constitute an award commitment on the part of the Government, nor does it commit the Government to pay for costs incurred in the preparation and submission of a concept paper or application. Concept papers are submitted at the risk of the applicant; should circumstances prevent award of a grant or cooperative agreement, all preparation and submission costs are at the applicant's expense. USAID/Brazil reserves the right not to enter into any awards.

USAID/Brazil through its Regional Agreement Office in Lima, Peru is responsible for the management of the award process. All concept papers must be submitted electronically directly to Ana Paula Mendes at aps-512-10-01@usaid.gov. Facsimile submissions are not authorized and will not be accepted.

This APS and any future amendments can be downloaded from www.grants.gov. All interested parties are highly encouraged to register on www.grants.gov to receive automatic notification of amendments to this APS. It is the responsibility of the Recipient of the application document to ensure that they have received it from Grants.gov in its entirety and USAID bears no responsibility for data errors resulting from transmission or conversion processes. If you have difficulty accessing the APS, please contact Ana Paula Mendes at aps-512-10-01@usaid.gov.

Applicants should retain for their records a copy of all enclosures that accompany their application.

Thank you for your consideration of this USAID initiative. We look forward to your participation.

Sincerely,

A handwritten signature in black ink, appearing to read 'John R. Taber', written in a cursive style.

John R. Taber
Regional Agreement Officer
USAID/Peru

SECTION I – PROGRAM DESCRIPTION

BRAZIL PARTNERSHIP DEVELOPMENT PROGRAM

A. BACKGROUND

The USAID Mission in Brazil has a successful track record in developing strategic alliances with an extensive range of partners, international and local NGOs, the Government of Brazil, Universities, bilateral and multilateral donor agencies, and the private sector.

In 2006, the U.S. Diplomatic Mission in Brazil, through USAID, created +Unidos (More United in English), a corporate social responsibility (CSR) group made up of U.S. companies established in Brazil. The mission of +Unidos group is to strengthen public and private alliances to optimize and enhance U.S. CSR investments in Brazil towards sustainably achieving the Millennium Development Goals¹. The objective of the group is to promote visibility for its participant's investments in environment, socio and economic-related projects, as well as to promote the theme of CSR among different segments of Brazilian society. This is done through the dissemination of best practices, success stories, and the establishment of public-private partnerships.

As a result of +Unidos, USAID Mission in Brazil has strengthened its relationships with the U.S. companies, pursued new contacts, identified new opportunities for partnerships, strengthened the relationship between U.S. and Brazil, increased its visibility, and leveraged its development assistance funds and resources to address global challenges.

B. APS OBJECTIVE

The objective of this APS is to create the competitive environment for new partnerships. The USAID Mission in Brazil is encouraging the NGO community and the private sector to respond to this solicitation and propose partnerships that follow the precepts below:

- Bring significant new resources, ideas, technologies and/or partners to address development problems in Brazil. The Agency is particularly interested in innovative partnerships that address development challenges in new ways, leveraging the resources of non-traditional partners in replicable, sustainable and scalable ways.
- Partners are encouraged to provide a one-to-one cost sharing ratio of resources for corporate social responsibility partnerships. Cost sharing can include in-kind contributions.
- Although there is no requirement for a minimum leverage ratio for concept papers proposed for the other areas, partners are encouraged to demonstrate significant new, non-public resources – whether money, ideas, technologies, experience, or expertise.

C. AREAS OF FOCUS

This Annual Program Statement (APS) seeks to support multiple activities that will be responsive to critical developmental needs and challenges with a particular interest in Agency priorities in the following areas.

¹ The Millennium Development Goals (MDGs) – which range from halving extreme poverty to halting the spread of HIV/AIDS and providing universal primary education, all by the target date of 2015 – form a blueprint agreed to by all the world's countries and all the world's leading development institutions. They have galvanized unprecedented efforts to meet the needs of the world's poorest. <http://www.un.org/millenniumgoals/bkgd.shtml>

C.1. Corporate Social Responsibility

USAID Brazil Mission initiatives on Corporate Social Responsibility are focused on supporting and coordinating the +Unidos group activities. +Unidos seeks to promote visibility for its participant's investments in environment, socio and economic-related projects, as well as to promote the theme of CSR among the diverse segments of Brazilian society. +Unidos activities include the dissemination of best practices, success stories, and the establishment of public-private partnerships.

Partners are encouraged to provide a one-to-one cost sharing ratio of resources. Cost sharing can include in-kind contributions.

C.2. Disaster Assistance

USAID Brazil Mission initiatives on Disaster Assistance are focused on facilitating and coordinating U.S. Government and its partner organizations emergency and humanitarian assistance to save lives, alleviate human suffering, and reduce the social and economic impact of humanitarian emergencies in Brazil.

C.3. Energy – Clean Energy and Energy Efficiency

The USAID Brazil Mission Energy Program aims to promote the sustainable use of energy technologies, while fostering social and economic improvements for those that lack access to reliable power. Likewise the Program aims to disseminate energy efficiency concepts and technologies to providers and to the public at large.

Proposed partnership activities can include but are not limited to:

- Promote public policies encouraging the development of renewable and efficient energy projects.
- Strengthen NGOs and associations engaged in clean energy.
- Provide electricity to remote communities through renewable sources where the national grid is not economically or technically feasible.
- Save energy and reduce the emissions of Green House Gases.

C.4. Environment – Biodiversity

The USAID/Brazil Environment Program has supported and will continue to support the U.S. Foreign Assistance Objective of generating sustained economic growth, under the Program Area "Environment", by ensuring that the environment and natural resources are effectively managed.

The Environment Program is conducted under the Program Element "Natural Resources and Biodiversity", with a view to increasing the contribution of sustainable landscapes to biodiversity conservation and climate stability.

The Program works in three Sub-Element areas:

- (1) Sustainable Natural Resource Management and Production;
- (2) Biodiversity Conservation; and
- (3) Climate Change.

Under this APS, USAID/Brazil is seeking partnerships to work under sub-Element areas (1) and (2), Sustainable Natural Resource Management and Production; and Biodiversity Conservation. Proposed activities must work exclusively in conservation programs in the Brazilian Amazon Basin. In addition, activities must meet the following four criteria of the Biodiversity Code, which guides USAID in

determining what programs are included in the biodiversity congressional earmark (see more detailed information at http://www.usaid.gov/our_work/environment/biodiversity/code.html):

1. The program must have an explicit biodiversity objective. It is not enough to have biodiversity conservation result as a positive externality from another program;
2. Activities must be identified based on an analysis of threats to biodiversity;
3. The program must monitor associated indicators for biodiversity conservation;
4. Site-based programs must have the intent to positively impact biodiversity in biologically significant areas.

USAID/Brazil envisions a balanced partnership approach, reaching out to civil society, the public sector, and the private sector to achieve sustainable landscapes that conserve biodiversity while contributing to climate stability. Proposed partnership activities can include activities but not limited to:

Sustainable Natural Resource Management and Production; and Biodiversity Conservation areas:

- Community natural resource management;
- Use of landscape knowledge by local organizations;
- Local environmental governance;
- Management of officially-designated protected areas;
- Management of ethno-environmental corridors;
- Sustainable use of protected areas;

C.5. Health – HIV/AIDS, Malaria and Tuberculosis

USAID Brazil Mission Health Program aims to improve the health, well-being, and productivity of people, especially those with low incomes, by developing responses to three infectious diseases: Tuberculosis, Malaria, and HIV/AIDS.

Proposed partnership activities can include but are not limited to:

- Promote tuberculosis detection and cure under the DOTS strategy
- Decrease treatment default and thereby decrease the incidence of drug resistant tuberculosis.
- Create models for community DOT in areas not served by the Family Health/Community Outreach Worker Programs
- Promote TB/HIV-AIDS collaborative activities
- Create opportunities to promote economic empowerment and social integration for people living with HIV/AIDS.
- Raise awareness about malaria control and increase community participation in vector control activities.
- Ensure the correct use of insecticide-treated bednets to interrupt the transmission of malaria.

D. AWARD ADMINISTRATION

D.1. ESTIMATE OF FUNDS AVAILABLE

Subject to the availability of funds, USAID intends to provide approximately \$2,000,000 (two million Dollars) in total USAID funding for various awards and various amounts.

D.2. NUMBER OF AWARDS CONTEMPLATED

USAID/Brazil intends to award multiple grants and cooperative agreements, but reserves the right to fund any or none of the applications submitted.

D.3. PERIOD OF PERFORMANCE

The anticipated period of performance will vary but not exceed a period of 5 years.

D.4. PLACE OF PERFORMANCE

Place of performance for this award is Brazil as identified in the program description above.

D.5. AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under the resultant agreement(s) is 000.

[END OF SECTION I]

SECTION II – ELIGIBILITY INFORMATION

A. Partner Eligibility Criteria

This Annual Program Statement focuses on establishing public-private partnerships to provide solutions, identify needs, and combine resources to achieve far-reaching, mutually agreed upon results. The following list of potential partners is for illustrative purposes only. We welcome other types of partners. Potential partners include foundations, U.S. and non-U.S. NGOs, faith-based organizations, U.S. and non-U.S. private businesses, business and trade associations, international organizations, U.S. and non-U.S. colleges and universities, other U.S. Government agencies.

It is important to note that NGOs do not need to be registered Private Voluntary Organizations to submit an application. Potential for-profit applicants should note that USAID policy prohibits the payment of fee/profit to the prime recipient under assistance instruments. Forgone profit does not qualify as cost-sharing or leveraging.

Prospective partners must be organizations with a reputation for integrity and the highest standard of conduct plus a proven track record in their particular areas of expertise. They should demonstrate a respect for human rights, gender sensitivity, integration of people with disabilities and other vulnerable groups, decent work conditions, environmental consciousness, and community involvement in their operational practices. They should also provide evidence of a strong commitment to the proposed partnership and experience in working in partnership with others.

Alliance partners that were funded under previous public private alliance APS's and RFA's are also eligible to apply.

B. Program Eligibility Criteria

To be considered for funding under this APS, proposed programs must meet the following requirements:

- I. Partnership proposals must have clearly-defined objectives agreed to by all partners.
- II. Partnership proposals will need to demonstrate significant new, non-public resources – whether money, ideas, technologies, experience or expertise – to address development challenges.
- III. Partnerships must operate in Brazil and must fit within the strategic objectives of the USAID Brazil Mission.
- IV. Partnership proposals must contribute to one or more of the USAID Brazil Mission's priorities.
- V. Partnership proposals must be reasonably expected to achieve significant development impact, as measured, for example, by the number of direct and indirect beneficiaries of the program, and sustainability by the creation of activities able to continue after the end of the project without USAID funding, and/or by the potential for replication or scaling-up over time.
- VI. Partnership proposals must be feasible from a technical, economic, financial, and social perspective.

C. Cost Share

Cost sharing is an important element of the USAID-recipient relationship. In addition to USAID funds, applicants are encouraged to contribute resources from its own, private or local sources for the

implementation of this program. Contributions can be either cash or in kind (in accordance with OMB Circular A-110 and 22 CFR 226.23).

Although there is no requirement that applicants propose a specific cost share, USAID policy is that cost sharing is an important element of the USAID-recipient relationship. Applications that incorporate cost sharing will be more positively evaluated.

[END OF SECTION II]

SECTION III – CONCEPT PAPER PREPARATION GUIDELINES

A. General Information

USAID/Brazil invites U.S. and non-U.S., non-profit or for-profit Non-Governmental Organizations (NGOs) to submit brief concept papers to create new partnerships to support USAID Brazil Mission priorities in Economic Growth and Investing in People through initiatives in the following areas: 1) Corporate Social Responsibility; 2) Disaster Assistance; 3) Energy – Clean Energy and Energy Efficiency; 4) Environment – Biodiversity; 5) Health – HIV/AIDS, Malaria and Tuberculosis.

This is a two-stage process. First, applicants are required to submit short concept papers per the instructions below. These concept papers should demonstrate the approach and describe results that could be achieved, should funding be awarded by USAID, but need not be in the format or detail of a full proposal. The submitted concept papers will be reviewed using the criteria set forth below. Those most highly rated will be invited to participate in the second stage – submission of a full application.

USAID/Brazil will keep this Annual Program Statement open for a period of one year from the date of issue and will review concept papers as submitted:

Applicants interested in being considered for funding should submit a concept paper via e-mail to aps-512-01-01@usaid.gov. Only those concept papers that are received by the deadline specified above will be reviewed for responsiveness to the requirements set forth in this APS.

Successful applicants will be notified in writing if USAID will ask them to expand the concept paper into a full application. Details of the requirements for a full technical and cost application will, at such time, be provided to successful applicants. Applicants should not prepare full applications unless specifically requested to do so by USAID. USAID/Brazil, through its Regional Contracting Office in Lima, Peru, is responsible for management of the solicitation and award process.

Applicants may submit more than one concept paper for the different areas listed in the program description.

B. Concept Paper Instructions

All concept papers must be formatted on standard 8 ½” x 11” paper, with single space, 12 point font Times New Roman or similar font with margins no less than one inch on each border, and each page numbered consecutively.

All concept papers must contain the items below and must not exceed 6 pages in total.

All concept papers must be in English and submitted electronically via email. Concept papers that are not written in English and/or that exceed six pages will not be considered.

The concept paper shall include:

Cover Page/Introduction:

1. Include the Solicitation Number (APS-512-10-000001);
2. Name, address, and website of organization;
3. Type of organization (e.g., for-profit, non-profit, university, etc.);
4. Contact point (lead contact name, relevant telephone, and e-mail information);
5. Names of other proposed alliance/activity partners, if applicable;
6. Signature of authorized representative of the applicant

Technical Information:

1. Concise title and objective of proposed activity;
2. Description, approach, and duration of activity;
3. Anticipated results and development impact;
4. How the alliance/activity will help accomplish USAID/Brazil's objectives;
5. Brief discussion of sustainability plan;
6. Brief discussion of exploratory discussions with stakeholders, if any, including community member and other partners;

Supporting Information:

1. Proposed estimated cost;
2. Brief cost breakdown (e.g., salaries, travel etc.);
3. Proposed amount of the applicant's financial as well as in-kind participation (clearly identify which resources are cash and which are in-kind and provide information on the nature of the in-kind contributions);
4. Proposed amount of prospective or existing partner's (or partners') cash as well as in-kind participation;
5. Brief description of applicant's previous work and experience in development and/or corporate social responsibility projects, if any, as well as that of other partners.

Applicants are reminded that applications that are: aligned with the goals of USAID Brazil Mission; feasible; and innovative will receive consideration. Proposals that identify how they plan to leverage USAID resources are considered more competitive. For information about specific USAID Brazil Mission goals and objectives you may consult: <http://brazil.usaid.gov>.

C. Concept Paper Evaluation Criteria

USAID/Brazil has established a Technical Evaluation Committee to review and evaluate all concept papers received. The concept papers will be competitively evaluated against the following criteria:

- Technical Quality and Innovation, Including Clear Objectives and Goals – Maximum 30 points;
- Institutional Capability and Past Performance – Maximum 20 points;
- Project Management Capability – Maximum 20 points;
- Cost Realism/Cost-Effectiveness of budget and Cost Sharing (if cost sharing is applicable) – Maximum 15 points;
- Demonstrated sustainability of the project – Maximum 15 points.

[END OF SECTION III]
[END OF APS-512-10-000001]