



**U.S. Department of Housing and Urban Development**

Policy Development and Research

Examining Long-Term Outcomes Following Exit from HUD-Assisted Housing

FR-6400-N-58

07/14/2020

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**Program Office:**

Policy Development and Research

**Funding Opportunity Title:**

Examining Long-Term Outcomes Following Exit from HUD-Assisted Housing

**Funding Opportunity Number:**

FR-6400-N-58

**Primary CFDA Number:**

14.536

**Due Date for Applications:**

07/14/2020

**Overview**

The U.S. Department of Housing and Urban Development (HUD) issues this Notice of Funding Availability (NOFA) to invite applications from eligible applicants for the program and purpose described within this NOFA. Prospective applicants should carefully read all instructions in all sections to avoid sending an incomplete or ineligible application. HUD funding is highly competitive. Failure to respond accurately to any submission requirement could result in an incomplete or noncompetitive proposal.

During the selection process HUD is prohibited from disclosing 1) information regarding any applicant's relative standing, 2) the amount of assistance requested by an applicant, and 3) any information contained in the application. Prior to the application deadline, HUD may not disclose the identity of any applicant or the number of applicants that have applied for assistance.

For Further Information Regarding this NOFA: Please direct questions regarding the specific requirements of this Notice of Funding Availability (NOFA) to the office contact identified in Section VII.

**OMB Approval Number(s):**

2528-0299

**I. FUNDING OPPORTUNITY DESCRIPTION.**

**A. Program Description.**

**1. Purpose**

**Purpose and Summary.**

Through this NOFA, the Department of Housing and Urban Development (HUD) is announcing the availability of up to \$362,000 for one or more grants from FY 2019 funding from the Office of Policy Development and Research for the following research project:

- **Examining Long-term Outcomes Following Exit from HUD-Assisted Housing**

**Project Description.**

**Background**

To date, there has been little systematic research on the long-term outcomes of households and/or individuals who leave HUD-assisted housing, nor are there unambiguous measures of

positive and negative exit for most HUD programs.

In 2018, HUD adopted a strategic goal to increase the rate of positive exits from assisted housing (all programs). Positive exits primarily refer to households who are able to end participation because of increased economic self-sufficiency and ability to afford market-rate housing but could also refer to households who voluntarily leave HUD-assisted housing for purposes of marriage, family formation, or relocation for job or education. In contrast, negative exits may be caused by evictions, being forcibly relocated, major health problems or mortality. Reasons for exit from HUD-assisted housing may be unclear and difficult to categorize as either positive or negative (e.g., moving in with a partner, friend or relative).

### **Research Objectives**

This solicitation seeks to examine long-term outcomes of households who have exited HUD-assisted housing (all programs), also referred to as "leavers." HUD's purpose is to learn how housing assistance can better support good outcomes, such as economic opportunity and self-sufficiency of assisted households, enabling households to exit HUD assistance and thus increase the availability of scarce housing resources for other families in need. HUD is soliciting proposals to explore this topic by taking advantage of HUD administrative or tenant data linked with other longitudinal data sources on households or individuals. The results of such data linkages may also inform and contribute to HUD's continuing efforts to understand trends in "positive" and "negative" exits from its programs. Applicants may build upon and are not bound to the definitions above. Sample research questions could include, but are not limited to:

- How do household structure, income sources and amounts change after exit of assisted housing?
- Do other events in the life of the individual or household in the period just before or just after the exit support characterization of the exit as "positive" or "negative"?
- Are positive exits predictive of subsequent positive events in the life of the individual or household? Conversely, are negative exits predictive of negative events?
- What proportion of leavers reside in good-quality housing and neighborhoods after exiting assisted housing? What proportion become homeowners?
- What are the health, educational attainment, financial and social capital of leavers? Are there differences in subsequent college enrollment between stayers and leavers?
- How does the type of housing assistance, services received during tenancy, and/or length of tenancy affect the probability of positive or negative exit, taking tenant characteristics as given?
- Similarly, how do the neighborhood characteristics of leavers affect the probability of positive or negative exit, taking tenant characteristics as given?
- What proportion of exiting households leave because of eviction?
- What are the housing instability and homelessness outcomes of leavers?
- Is exit related to subsequent mortality? To subsequent arrest?
- How do rates of employment, intensity of employment, job changes, and compensation differ between leavers and stayers?

HUD is most interested in analyses that could in principle be replicated on an annual or biennial basis, as indicators of program outcomes. Several linkages between HUD data and secondary data sources have been already established. Resources for this project could include existing HUD linkages and/or new linkages between HUD data and other secondary data sources.

Examples of longitudinal data sources are as follows:

1. [American Community Survey \(ACS\)](#) – Linkage already established with HUD data.
2. [American Housing Survey \(AHS\)](#) – Linkage already established with HUD data.
3. **Census Between-Siblings Longitudinal Dataset**
4. [Center for Medicaid and Medicare Services Data](#)<sup>1</sup>
5. [Eviction Lab Data](#)
6. [Federal Student Aid](#)
7. [Homeless Management Information Systems](#)
8. [IRS Tax Data](#)
9. [National Directory of New Hires](#)
10. [National Health Information Survey \(NHIS\)](#) – Linkage already established with HUD data, 1999–2016.<sup>2</sup>
11. [National Health and Nutrition Examination Survey \(NHANES\)](#) – Linkage already established with HUD data, 1999–2016.
12. [National Longitudinal Survey of Youth \(NLSY\)](#)
13. [Panel Study of Income Dynamics \(PSID\)](#)
14. [USPS National Change of Address \(Vacancy Data\)](#)
15. [Social Security Administration Wages and Benefits Data](#)
16. [Survey of Income and Program Participation](#)

### **HUD Tenant Data**

HUD tenant data are collected through two forms, the HUD-50058<sup>3</sup> and the HUD-50059.<sup>4</sup> The HUD-50058 collects administrative data on tenants in the Housing Choice Voucher and Public Housing programs and the HUD-50059 collects administrative data on tenants in the Multifamily programs. Currently, data collected from the HUD-50058 do not document reasons for exit at all. The HUD-50059 categorizes exits as “move-outs” or “terminations” and collects data using these codes:

#### **Move out codes**

- 1 – Owner initiated for nonpayment of rent
- 2 – Owner initiated – other
- 3 – Tenant initiated – other
- 4 – Death of sole family member

#### **Termination codes**

- TI – Total Tenant Payment (TTP) equals/exceeds the gross rent or tenant is moving to market rent (Section 236 and Below Market Interest Rate (BMIR))
- TC – Did not supply citizenship/eligible alien documentation
- TR- Did not re-certify on time
- TF – Tenant refused to transfer as agreed or submitted false data

CE – Subsidy contract expired – not renewed  
ST – Ineligible student (Project Rental Assistance Contract (PRAC))  
DS – Double subsidy at move-in

Although “owner initiated” appears to be an unambiguous signal of negative exit, “tenant-initiated” could be either positive or negative. However, a move-out may or may not mean the household has left assistance, and Housing Assistance Payments (HAP) and income data for households with a termination code suggesting that the subsidy has gone to zero does not necessarily support that determination. HUD is aware that available tenant data do not by themselves support defensible characterization of exits from assisted housing programs so proposals merely to mine HUD data will not be accepted. External data and/or external data linked with HUD data will be required for this work. A previous relationship with external data sources or HUD/external data linkages will be helpful, especially as many potential research projects may require access to restricted-use data through research data centers. Proposals should reflect appropriate awareness of the legal and practical implications of research using restricted-use data and should be in accordance with their institution’s IRB guidelines.

### **Eligible Activities**

In support of this project’s objectives, applicants will undertake a variety of research and activities, including but not limited to those outlined below. HUD considers the activities listed below to be essential in creating and completing the project in a manner that achieves its objectives.

1. Developing a work plan, which should include staffing assignments, task budgets, and a timeline of key activities. The work plan should account for any time required to gain access to restricted-use data necessary for the analysis and/or any time required to create new linkages between HUD data and other secondary data sources.
  1. Applicants who plan to use an existing HUD data linkage are asked to clearly state in their work plan whether they already have access to that data source or, if they plan to request access, to describe the necessary steps and associated timeline for gaining access.
  2. Applicants who are proposing new HUD data linkages are asked to describe the mechanism they plan to use to gain access to secondary data (e.g., Data Use Agreements (DUAs), Memorandums of Understanding (MOUs), etc.), their data linkage plan and estimated timeline.
2. Conducting a literature review.
3. Developing a research design, which should include research questions, hypotheses, data sources, and analysis methods.
4. Data analysis, which could include basic descriptive statistics, advanced statistical analysis, geospatial analysis, and data visualization.
5. Producing written reports to document the research and summarize findings in a way accessible to a broad audience including policymakers.
6. Providing briefings to explain findings to HUD staff and stakeholders.

## **Data and Analysis**

This set of activities includes carrying out the methods described in the research design and gathering existing secondary data. Activities could include:

- Preparing applications or paperwork required to access non-HUD administrative data or restricted-use survey data, including the drafting of DUAs or MOUs as required by the administrators of the datasets needed for analysis.
- Acquiring the data, including restricted-use data, necessary to complete the analysis outlined in the research design and work plan.
- Merging, tabulating, analyzing, modeling, validating, graphing and presenting data from existing administrative data sources (including third-party sources, if applicable) and surveys (if applicable) to answer the questions as stated in the research design and work plan.
- Carrying out methods of analysis as described in the research design.

## **Deliverables**

At a minimum, HUD expects the award recipient to produce the following deliverables:

1. **Management and Work Plan:** The Management and Work Plan will outline the overall strategy for completing the research within the budget and timeframe allotted. It will describe activities to be undertaken, assign staff, and provide a schedule of key tasks. A draft Management and Work Plan must be submitted with the application. After award, HUD will provide feedback to the awardee, and a final Management and Work Plan must be submitted to HUD within two weeks of the receipt of HUD comments.
2. **Literature Review:** The Literature Review will summarize existing literature to date relevant to the topic area (or lack thereof) and identify the gap in knowledge the researchers' project aims to fill.
3. **Research Design:** The Research Design will provide a detailed plan for technical aspects of the research, including research questions, hypotheses, data sources, and analysis methods. The Research Design must demonstrate how the analysis plan will address the research questions and hypotheses proposed. A draft Research Design must be submitted with the application. After award, HUD will provide feedback to the awardee, and a final Research Design must be submitted to HUD within four weeks of the receipt of HUD comments.
4. **Quarterly Progress Reports:** Quarterly Progress Reports will document activities completed in the most recent reporting period, planned activities for the upcoming reporting period, and budget expenditures by line item (including work hours worked for specific staff).
5. **Interim Report:** The Interim Report will be released near the midpoint of the project and provide a summary of the project up to that point. The Interim Report should be considered an opportunity to evaluate the state of the project. The exact deadline and content of the Interim Report will be decided between the GTR and the awardee.
6. **Draft Final Report:** Applicants will also be required to submit a draft final report which summarizes the entire work, achievements, and findings conducted under award. This draft report will address the specific outcomes described in the applicant's proposal, the cooperative agreement award, achievement of project goals and metrics, and the management plan. The report format and style requirements are provided at

<https://www.huduser.gov/portals/1/About/style-guide-for-reports.pdf>. HUD will have 4 weeks to review the draft final report and return comments to the grantee.

7. Final Report - Applicants shall submit a final report that adequately addresses the HUD comments. This report is expected to be submitted just before the conclusion of the grant. HUD is the owner of the final report.

## **2. Changes from Previous NOFA.**

This is a new NOFA.

### **3. Definitions.**

#### **a. Standard Definitions**

**Affirmatively Furthering Fair Housing (AFFH).** The obligation to affirmatively further the purposes and policies of the Fair Housing Act.

**Assistance Listings (formerly CFDA)** is a directory of the various Federal listings, projects, services and activities offering financial and non-financial assistance and benefits to the American public. An Assistance Listing (CFDA) Number is the unique number assigned to each program, project, service or activity listed in the Catalog of Federal Domestic Assistance (CFDA).

**Authorized Organization Representative (AOR)** is the person authorized to submit applications on behalf of the organization via Grants.gov. The AOR is authorized by the E-Biz point of contact in the System for Award Management. The AOR is listed in item 21 on the SF-424.

**Award**, as used in this NOFA means a federal grant OR cooperative agreement as specified in Section II.E (Type of Funding Instrument).

**Consolidated Plan** is a document developed by states and local jurisdictions. This plan is completed by engaging in a participatory process to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See 24 CFR part 91 for more information about the Consolidated Plan and related Action Plan).

**Contract** means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal award. The term as used in this NOFA does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward (See 2 CFR 200.22.)

**Contractor** means an entity receiving a contract.

**Deficiency** is information missing or omitted within a submitted application. Examples of deficiencies include missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box.). Depending on specific criteria, deficiencies may be either curable or non-curable.

- Curable Deficiency – Applicants may correct a curable deficiency with timely action.

To be curable the deficiency must:

- Not be a threshold requirement, except for documentation of applicant eligibility;
- not influence how an applicant is ranked or scored versus other applicants; and
- be remedied within the time frame specified in the notice of deficiency.
- Non-Curable Deficiency – An applicant cannot correct a non-curable deficiency after the submission deadline.

*Non-curable deficiencies* are deficiencies that, if corrected, would change an applicant's score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application's score and final determination.

**DUNS Number** is the nine-digit identification number assigned to a business or organization by Dun & Bradstreet and provides a means of identifying business entities on a location-specific basis. Requests for a DUNS number can be made by visiting the Online DUNS Request Portal.

**Eligibility requirements** are mandatory requirements for an application to be eligible for funding.

**Grants.gov** is the website serving as the Federal government's central portal for searching and applying for federal financial assistance throughout the Federal government. Registration on Grants.gov is required for submission of applications to prospective agencies unless otherwise specified in this NOFA.

**Non-Federal Entity** is a state, local government, Indian tribe, institution of higher education (IHE), or non-profit organization carrying out a Federal award as a recipient or sub recipient. If eligible applicants under the NOFA include for profit entities, this definition of non-federal entity includes for profit entities.

**Point of Contact (POC)** is the person who may be contacted with questions about the application submitted by the AOR. The POC is listed in item 8F on the SF-424.

**Recipient** means a non-Federal entity receiving an award directly from HUD to carry out an activity under a HUD program.

**Subaward** means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the recipient. It does not include payments to a contractor or payments to an individual beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract. The legal agreement must contain the subrecipient's assurance of compliance with program requirements, including but not limited to nondiscrimination and equal opportunity requirements.

**Subrecipient** is a non-Federal entity receiving a subaward from a pass-through entity to carry out part of a HUD program; but does not include an individual beneficiary of such program. A subrecipient may also receive other Federal awards directly from a Federal awarding agency (including HUD).

**System for Award Management (SAM)**, is a U.S. Government system that consolidated the

capabilities of Central Contractor Registry (CCR), Excluded Parties List System (EPLS) and the Online Representations and Certifications Application (ORCA). Registration with SAM is required for submission of applications via Grants.gov. You can access the website at <https://www.sam.gov/SAM/>. There is no cost to use SAM.

**Threshold Requirements** are an eligibility requirement that must be met for an application to be reviewed. Threshold requirements are not curable, except for documentation of applicant eligibility and are listed in Section III.D Threshold Eligibility Requirements. Similarly, there are eligibility requirements under Section III.E, Statutory and Regulatory Requirements Affecting Eligibility.

**Unique Entity Identifier** is a number used to identify a specific commercial, nonprofit, or government entity. SAM states that currently Dun & Bradstreet (D&B) is the designated entity to establish and maintain the DUNS Number as the unique entity identifier required for registration in SAM and further used throughout federal procurement, financial assistance, and financial management systems. Beginning December 2020, the DUNS number will no longer be the official identifier for entities doing business with the government.

#### **4. Program Definitions**

**Historically Black Colleges and Universities (HBCUs).** The Higher Education Act of 1965 defines historically Black colleges and universities (HBCUs) as "any historically Black college or university that was established prior to 1964, whose principal mission was, and is, the education of Black Americans, and that is accredited by a nationally recognized accrediting agency or association determined by the Secretary to be a reliable authority as to the quality of training offered or is, according to such an agency or association, making reasonable progress toward accreditation..."

**Institution of Higher Education (IHE),** has the meaning given at 20 U.S.C. 1001.

#### **B. Authority.**

This program is authorized by Sections 501 and 502 of the Housing and Urban Development Act of 1970 (12 U.S.C. §1701z-1 and §1701z-2) and funding is provided by the Further Consolidated Appropriations Act, 2019 (Public Law 116-94, approved December 20, 2019). The account providing funding is the Office of Policy Development and Research.

#### **II. Award Information.**

##### **A. Available Funds**

Funding of approximately **\$ 362,000** is available through this NOFA. Additional funds may become available for award under this NOFA, because of HUD's efforts to recapture funds, use carryover funds, or because of the availability of additional appropriated funds. Use of these funds is subject to statutory constraints. All awards are subject to the funding restrictions contained in this NOFA.

## **B. Number of Awards.**

HUD expects to make approximately 2 awards from the funds available under this NOFA.

## **C. Minimum/Maximum Award Information**

Estimated Total Funding:

\$ 362,000

Minimum Award Amount:

\$ 100,000

Per Project Period

Maximum Award Amount:

\$ 362,000

Per Budget Period

## **D. Period of Performance**

Estimated Project Start Date:

10/14/2020

Estimated Project End Date:

10/14/2022

Length of Project Periods:

24-month project period with two 12-month budget periods

Length of Periods Explanation of Other:

## **E. Type of Funding Instrument.**

Funding Instrument Type:

G (Grant)

## **F. Supplementation.**

For this NOFA, sub-section "F. Supplementation" is Not Applicable

## **III. Eligibility Information.**

### **A. Eligible Applicants.**

State governments

County governments

Special district governments

City or township governments

Small businesses

For profit organizations other than small businesses

Private institutions of higher education

Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education

Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education

Native American tribal governments (Federally recognized)

Public housing authorities/Indian housing authorities

Native American tribal organizations (other than Federally recognized tribal governments)

Public and State controlled institutions of higher education

## **Information on Eligible Applicants**

### **B. Ineligible Applicants.**

Individuals are not eligible to apply.

### **C. Cost Sharing or Matching.**

This Program does not require cost sharing or matching.

### **D. Threshold Eligibility Requirements.**

Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated.

**1. Outstanding civil rights matters** must be resolved to HUD's satisfaction prior to grant award, provided that all applicable legal processes have been satisfied.

**2. Timely Submission of Applications.** Applications submitted after the deadline stated within this NOFA that do not meet the requirements of the grace period policy will be marked late. Late applications are ineligible and will not be considered for funding. See Section IV. D. Application Submission Dates and Times.

### **E. Statutory and Regulatory Requirements Affecting Eligibility.**

#### **Eligibility Requirements for Applicants of HUD's Grants Programs**

The following requirements affect applicant eligibility. Detailed information on each requirement is posted on [HUD's Funding Opportunities Page](#).

- Outstanding Delinquent Federal Debts
- Debarments and/or Suspensions
- Pre-selection Review of Performance
- Sufficiency of Financial Management System
- False Statements
- Mandatory Disclosure Requirement
- Prohibition Against Lobbying Activities
- Equal Participation of Faith-Based Organizations in HUD Programs and Activities

### **F. Program-Specific Requirements Affecting Eligibility.**

### **G. Criteria for Beneficiaries.**

Not applicable for this research.

## **IV. Application and Submission Information.**

### **A. Obtaining an Application Package.**

#### **Instructions for Applicants.**

You must download both the Application Instructions and the Application Package from Grants.gov. You must verify that the CFDA Number and CFDA Description on the first page of the Application Package, and the Funding Opportunity Title and the Funding Opportunity Number match the Program and NOFA to which you are applying.

The Application Package contains the portable document forms (PDFs) available on Grants.gov, such as the SF-424 Family. The Instruction Download contains official copies of the NOFA and

forms necessary for a complete application. The Instruction Download may include Microsoft Word, Microsoft Excel and additional documents.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. For example, a lack of available Internet access in the geographic area in which your business offices are located. Lack of SAM registration or valid DUNS is not good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the electronic grant submission requirements. HUD will not grant a waiver if HUD does not receive your written request at least 15 days before the application deadline and if you do not demonstrate good cause. An email request for a waiver received by HUD 15 days before the application is due will also be considered. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFA. To request a waiver you must contact:

Name:

Leatha M. Blanks

Email:

Leatha.M.Blanks@hud.gov

HUD Organization:

PD&R

Street:

451 7th Street SW, Room #8130

City:

Washington

State:

DC DISTRICT OF COLUMBIA

Zip:

20410

**B. Content and Form of Application Submission.**

You must verify that boxes 11, 12, and 13 on the SF-424 match the NOFA for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application that is otherwise sufficient, under the wrong CFDA and Funding Opportunity Number is a curable deficiency.

**1. Content.**

Forms/Assurances/Certifications	Submission Requirement	Notes/Description
Application for Federal Assistance (SF424)	Submission is required for all applicants by the	

Forms/Assurances/Certifications	Submission Requirement	Notes/Description
	application due date.	
Disclosure of Lobbying Activities (SFLLL), if applicable	HUD will provide instructions to grantees on how the form is to be submitted.	If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award.
HUD Applicant Recipient Disclosure Report (HUD) 2880 Applicant/Recipient Disclosure/Update Report	HUD will provide instructions to grantees on how the form is to be submitted.	HUD instructions to grantees are provided by webcast, To view the webcast, click here.

Additionally, your complete application must include the following narratives and non-form attachments.

**2. Format and Form.**

Narratives and other attachments to your application must follow the following format guidelines.

- Narrative addressing rating factors should not exceed 25 pages. The narrative page limits do not include required forms, assurances and certifications, the appendix of participating firms and contractors, the appendix of resumes, the appendix of reference letters, the budget narrative, and the one-page abstract. The narrative must be formatted to fit at 8.5 by 11-inch page, double-spaced (information requirements), with one-inch margins, using standard Times New Roman 12-point font. Resumes are subject to a separate 20-page limit as follows:
  - The application shall include resumes for no more than five key personnel; and

- No individual resume shall exceed four pages. Submitting pages in excess of page limits will not disqualify an applicant; however, HUD will not consider the information on any excess pages. This exclusion may result in a lower score.
- Abstract — One-page application summary needs to include:
  - A brief description of the proposed research and/or evaluation project; and
  - Provide funding request in whole dollar amount. The amount requested should be based on the scope of the project, personnel costs, other direct costs, as well as administrative costs, etc.

### **C. System for Award Management (SAM) and Dun and Bradstreet Universal Numbering System (DUNS) Number.**

#### **1. SAM Registration Requirement.**

Applicants must be registered with <https://www.sam.gov/SAM> before submitting their application. In addition, Applicants must maintain an active SAM registration with current information while they have an active Federal award or an application or plan under consideration by HUD.

#### **2. DUNS Number Requirement.**

Applicants must provide a valid DUNS number, registered and active at <https://www.sam.gov/SAM>, in the application. DUNS numbers may be obtained for free from Dun & Bradstreet.

#### **3. Requirement to Register with Grants.gov.**

Anyone planning to submit applications on behalf of an organization must register at [grants.gov](https://www.grants.gov) and be approved by the EBiz POC in SAM to submit applications for the organization. Registration for SAM and [grants.gov](https://www.grants.gov) is a multi-step process and can take four (4) weeks or longer to complete if data issues arise. Applicants without a valid registration cannot apply through [grants.gov](https://www.grants.gov). Complete registration instructions and guidance are provided on [grants.gov](https://www.grants.gov).

### **D. Application Submission Dates and Times.**

#### **Application Due Date Explanation**

The application deadline is 11:59:59 pm Eastern Standard time on

07/14/2020

Applications must be received no later than the deadline.

Submit your application to [Grants.gov](https://www.grants.gov) unless a waiver has been issued allowing you to submit your application in paper form. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

"Received by [Grants.gov](https://www.grants.gov)" means the applicant received a confirmation of receipt and an application tracking number from [Grants.gov](https://www.grants.gov). [Grants.gov](https://www.grants.gov) then assigns an application tracking number and date-and timestamps each application upon successful receipt by the [Grants.gov](https://www.grants.gov) system. A submission attempt not resulting in confirmation of receipt and an application tracking number is not considered received by [Grants.gov](https://www.grants.gov).

Applications received by [Grants.gov](https://www.grants.gov) must be validated by [Grants.gov](https://www.grants.gov) to be received by HUD.

"Validated by Grants.gov" means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting "Applicants" from the top navigation, and selecting "Track my application" from the dropdown list. If the application status is "rejected with errors," you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in "rejected with errors" status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after applying.

HUD strongly recommends applications be submitted at least **48 hours before the deadline** and during regular business hours to allow enough time to correct errors or overcome other problems.

You can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Log in to Grants.gov.
- Click the Check Application Status link, which appears under the Grant Applications heading in the Applicant Center page. This will take you to the Check Application Status page.
- Enter search criteria and a date range to narrow your search results.
- Click the Search button. To review your search results in Microsoft Excel, click the Export Data button.
- Review the Status column, to view more detailed submission information, click the Details link in the Actions column.
- To download the submitted application, click the Download link in the Actions column.

Please make note of the Grants.gov tracking number as it will be needed by the Grants.gov Help Desk if you seek their assistance.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially declared disaster in the applicant's area.

If these events occur, HUD will post a notice on its website establishing the new, extended deadline for the affected applicants. HUD will also include the fact of the extension in the program's Notice of Funding Awards required to be published in the Federal Register.

In determining whether to grant a request for an extension based on a presidentially-declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

**PLEASE NOTE:** Busy servers, slow processing, large file sizes, improper registration or password issues are not valid circumstances to extend the deadline dates or the grace period.

### **1. Amending or Resubmitting an Application.**

Before the submission deadline, you may amend a validated application through Grants.gov by resubmitting a revised application containing the new or changed material. The resubmitted application must be received and validated by Grants.gov by the applicable deadline.

If HUD receives an original and a revised application for a single proposal, HUD will evaluate only the last submission received by Grants.gov before the deadline.

### **2. Grace Period for Grants.gov Submissions.**

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected, received, and validated application through Grants.gov. The date and time stamp on the

Grants.gov system determines the application receipt time. Any application submitted during the grace period not received and validated by Grants.gov will not be considered for funding. There is no grace period for paper applications.

### **3. Late Applications.**

An application received after the NOFA deadline date that does not meet the Grace Period requirements will be marked late and will not be received by HUD for funding consideration. Improper or expired registration and password issues are not causes that allow HUD to accept applications after the deadline.

### **4. Corrections to Deficient Applications.**

HUD will not consider information from applicants after the application deadline. Before the deadline, HUD may contact the applicant to clarify information submitted.

HUD will uniformly notify applicants of each curable deficiency. A curable deficiency is an error or oversight that, if corrected, would not alter, in a positive or negative fashion, the review and rating of the application. See curable deficiency in the definitions section (Section I.A.3.). Examples of curable (correctable) deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive.

When HUD identifies a curable deficiency, HUD will notify the authorized representative by email. This email is the official notification of a curable deficiency. Each applicant must provide accurate email addresses for receipt of these notifications and must monitor their email accounts to determine whether a deficiency notification has been received. The applicant must carefully review the request to cure a deficiency and must provide the response in accordance with the instructions contained in the deficiency notification.

Applicants must email corrections of curable deficiencies to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) within the time limits specified in the notification. The time allowed to correct deficiencies will be no less than 48 hours and no more than 14 calendar days from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD. If the deficiency cure

deadline date falls on a Saturday, Sunday, Federal holiday, or on a day when HUD's Headquarters are closed, then the applicant's correction must be received on the next business day HUD Headquarters offices in Washington, DC are open.

The subject line of the email sent to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) must state: Technical Cure and include the Grants.gov application tracking number or the GrantSolutions application number (e.g., Subject: Technical Cure - GRANT123456 or Technical Cure - XXXXXXXXXXXXX). If this information is not included, HUD cannot match the response with the application under review and the application may be rejected due to the deficiency.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application containing the wrong DUNS number as having a curable deficiency. Failure to correct the deficiency and meet the requirement to have a DUNS number and active registration in SAM will render the application ineligible for funding.

**5. Authoritative Versions of HUD NOFAs.** The version of these NOFAs as posted on Grants.gov are the official documents HUD uses to solicit applications.

**6. Exemptions.** Parties that believe the requirements of the NOFA would impose a substantial burden on the exercise of their religion should seek an exemption under the Religious Freedom Restoration Act (RFRA).

#### **E. Intergovernmental Review.**

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

#### **F. Funding Restrictions.**

An organization may not conduct research or an evaluation of itself. HUD will determine whether the salary rates are reasonable, customary for the skill set provided and the tasks to be conducted, and in accordance with federal legal requirements.

#### **Indirect Cost Rate.**

Statutory or Regulatory Restrictions Apply

Normal indirect cost rules under 2 CFR part 200, subpart E apply. If you intend to charge indirect costs to your award, your application must clearly state the rate and distribution base you intend to use. If you have a Federally negotiated indirect cost rate, your application must also include a letter or other documentation from the cognizant agency showing the approved rate. Successful applicants whose rate changes after the application deadline must submit new rate and documentation.

Applicants other than state, county and local governments. If you have a Federally negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and must include a letter or other documentation from the cognizant agency showing the approved rate. If you have never received a negotiated indirect cost rate and elect to use the de minimis rate, your application must clearly state you intend to use the de minimis rate of 10% of Modified Total Direct Costs (MTDC). As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for

all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit. State, county and local governments. If your department or agency unit has a Federally negotiated indirect cost rate, your application must include that rate, the applicable distribution base, and a letter or other documentation from the cognizant agency showing the negotiated rate. If your department or agency unit receives more than \$35 million in direct federal funding per year, you may not claim indirect costs until you receive a negotiated rate from your cognizant agency for indirect costs as provided in Appendix VII to 2 CFR Part 200.

If your department or agency unit receives no more than \$35 million in direct federal funding per year and your department or agency unit has developed and maintains an indirect cost rate proposal and supporting documentation for audit in accordance with 2 CFR Part 200, Appendix VII, you may use the rate and distribution base specified in that indirect cost rate proposal. Alternatively, if your department or agency unit receives no more than \$35 million in direct Federal funding per year and has never received a negotiated indirect cost rate, you may elect to use the de minimis rate of 10% of MTDC. As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

## **G. Other Submission Requirements.**

### **1. Application, Assurances and Certifications.**

Standard Form 424 (SF-424) Application for Federal Assistance Programs is the government-wide form required to apply for Application for Federal Assistance Programs, discretionary Federal grants and other forms of financial assistance programs. Applicants for this Federal assistance program must submit all required forms in the SF-424 Family of forms, including SF-424B (Assurances of Non construction Programs) or SF424D (Assurances for Construction Programs). Applications receiving funds for both non construction programs and construction programs must submit both the SF-424B and SF-424D.

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), the applicant and the signing authorized representative affirm that they have reviewed the certifications and assurances associated with the application for federal assistance and (1) are aware the submission of the SF424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing authorized representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to federally recognized Indian tribes, and those applicable to applicants other than federally recognized Indian tribes. All program specific certifications and assurances are included in the program Instructions Download on Grants.gov.

**Assurances.** By submitting your application, you provide assurances that, if selected to receive an award, you will comply with U.S. statutory and public policy requirements, including, but not limited to civil rights requirements.

## **2. Lead Based Paint Requirements.**

Not Applicable

## **V. Application Review Information.**

### **A. Review Criteria.**

#### **1. Rating Factors.**

The maximum number of points from the rating factors that can be awarded to any application is 102. The minimum score for an application to be considered for funding is 75 points.

#### **Rating Factor 1: Research Design and Plan**

**Maximum Points: 40**

You must provide a draft research design that lays out the conceptual approach for the entire project. The draft research design must include specific research questions and hypotheses that will be investigated by the proposed research, a discussion and rationale of which existing HUD linkages and/or new linkages between HUD data and other secondary data sources will be used, a proposed analysis plan that responds to the research objectives, and a plan for development of products that synthesize and disseminate findings to a broad audience including policymakers and practitioners.

The proposed research design will be rated on technical quality, clarity, creativity, thoroughness, specificity, and feasibility, specifically the extent to which it provides a methodologically sound and realistic approach for the proposed research, including:

1. The extent to which the proposal demonstrates extensive knowledge of the research literature, addresses key research questions and identifies research products that successfully communicate findings and practices geared towards policymakers and practitioners.
2. The extent to which the proposal describes an adequate, comprehensive and feasible plan to examine long-term outcomes of households and/or individuals who have exited HUD-assisted housing; and the extent to which the proposal describes an adequate, appropriate, and sound approach to analysis, including:
  - The rationale for using existing HUD linkages and/or new linkages between HUD data and other secondary data sources.
  - Strategies for obtaining data. In particular, the proposal should detail the steps, time, and special considerations required to access any restricted-use data. It should show an understanding of the difficulties of gaining access to the data, the issue of where the data may be accessed, and a consideration of any other risk factors that should be considered. Extra credit will be given to responders who can show that they already have access to known difficult to access data that they plan to use for their analysis, such as Census data.
  - Methods for analyzing the data to address research questions.

- Describe the extent to which the analysis could in principle be replicated on an annual or biennial basis, or periodic basis.

**Rating Factor 2: Demonstrated Subject Matter Expertise** **Maximum Points: 20**

HUD will evaluate your organization’s past performance based on your descriptions of other recent (within the past 5 years) research projects as applicable that were fully completed and demonstrate your organization’s ability to conduct the applicable functions. Ideally, some of these projects should be relevant to the topic area at hand, comparable in size, scope and complexity, and employ similar research methods.

For each relevant research project, include:

1. A statement of the objective of the project.
2. A description of the research or other tasks included in the engagement that would be relevant for this engagement.
3. A description of the data source(s) used for the analysis, how the data was requested (if not already accessible to your organization) and how new data linkages were created (if relevant).
4. A synopsis of how you conducted and managed the work, including the number of hours involved, the total cost of the engagement, and how risks and potential delays were mitigated throughout the course of the project.
5. A description of the final product or products of the research, the primary audience of the research, and how it was used by the primary solicitor, especially whether and how the information generated by the research affected policy, program, budgeting, or planning decisions.
6. Key personnel who worked on the project that will be working on the proposed project.

Note: Past performance may be verified with third-party references.

**Rating Factor 3: Qualifications of Key Personnel** **Maximum Points: 20**

For the purposes of responding to this sub-factor, ‘key personnel’ is defined as the applicant’s in-house staff, subcontractors and/or consultants who will perform an essential management or technical function on the proposed project, and who could not be easily replaced by other staff with comparable expertise.

The applicant must identify the key personnel with the proposed project; explain their role in the project; and demonstrate that they have the education, skills, and experience required to successfully complete the project.

Applicants must include in their proposed research and development team people with expertise in applied research, project management, data management, statistics, and writing and editing, as well as past experience in one or more topical disciplines addressed by this NOFA, such as prior use of HUD administrative data.

Applicants will be assessed based on the extent to which the proposed key personnel have demonstrated education, skills, and experience required to complete the proposed research.

1. Provide a list of no more than five key team members (up to five) and their role in the management and/or implementation of this project; and
2. Demonstrate experience of each team member to carry out their identified role in this project.

Resumes for key personnel should be included as an appendix to the narrative.

**Rating Factor 4: Management and Work Plan, and Budget**

**Maximum Points: 20**

Management and Work Plan

You must provide a draft Management and Work Plan for the project that presents a clear, practical, and forward-looking plan to complete the proposed research. The draft Management and Work Plan must include:

1. A narrative discussion of how you will manage the overall project, including a discussion of how to assign appropriately skilled staff and how to manage communication with HUD and other stakeholders, major project milestones, interdependencies among tasks, and quality control procedures.
2. A discussion of potential risks or delays that may occur throughout the course of your project (e.g., delays in gaining access to restricted-use data, delays as a result of DUA/MOU negotiations, etc.) and how you plan to mitigate them.
3. A schedule of tasks (including start dates and completion dates) and deliverables.
4. Allocation of resources, including staffing and labor hours, by task.

You will be assessed based on the extent to which your draft Management and Work Plan provides a thorough and realistic approach for managing the overall project.

Budget

Your budget proposal should thoroughly estimate all applicable direct and indirect costs. HUD is not required to approve or fund all approved activities and reserves the right to negotiate or redistribute funds as appropriate. You must thoroughly document and justify all budget categories and costs (Form HUD424CBW) and all major tasks, for yourself, sub-recipients, major subcontractors, joint venture participants, or others contributing resources to the project.

A separate budget must be provided for partners who are proposed to receive more than 10 percent of the Federal budget request. Your application will be evaluated on the extent to which your resources are appropriate for the scope of your proposed study.

Your narrative justification associated with these budgeted costs should be submitted as part of the Total Budget but is not included in the 25-page limit for this submission. The narrative should provide an explanation of the basis for the major budget items. Separate narrative justifications should be submitted as part of the Total Budget but is not included in the 25-page limit for this submission. The narrative should provide an explanation of the basis for the major budget items. Separate narrative justifications should be submitted for partners that are submitting separate budgets.

## **2. Other Factors.**

### **Preference Points.**

HUD encourages activities in support of the Secretary's FY20 Initiatives. HUD may award up to two (2) points for any of the 3 preferences (OZ, PZ or HBCU).

### **Opportunity Zones.**

This program does not offer Opportunity Zone preference points.

#### **HBCU.**

An applicant designated by the U.S. Department of Education as Historically Black College or University (HBCU) will receive up to two (2) preference points when the application includes documentation of the applicant's status as an HBCU. [Click here to view the list of accredited HBCU's](#)

An applicant partnering with a Historically Black College or University (HBCU) will receive up to two (2) Preference Points when the application includes a Letter of Commitment certifying that an HBCU Partnership is in place and signed by an authorizing official of the HBCU and documentation of the college or university's status as an HBCU. [Click here to view the list of accredited HBCU's](#)

### **Promise Zones**

This program does not offer Promise Zone preference points.

## **B. Review and Selection Process.**

### **1. Past Performance**

In evaluating applications for funding, HUD will consider an applicant's past performance in managing funds. Items HUD will consider include, but are not limited to:

The ability to account for funds in compliance with applicable reporting and recordkeeping requirements;

Timely use of funds received from HUD;

Timely submission and quality of reports submitted to HUD;

Meeting program requirements;

Meeting performance targets as established in the grant agreement;

The applicant's organizational capacity, including staffing structures and capabilities;

Timely completion of activities and receipt and expenditure of promised matching or leveraged funds;

The number of persons served or targeted for assistance;

HUD may reduce scores as specified under V. A. Review Criteria. Whenever possible, HUD will obtain past performance information. If this review results in an adverse finding related to integrity or performance, HUD reserves the right to take any of the remedies provided in Section III. E Statutory and Regulatory Requirements Affecting Eligibility, "Pre-selection Review of Performance" document link above.

### **2. Assessing Applicant Risk.**

In evaluating risks posed by applicants, HUD may use a risk-based approach and may consider any items such as the following:

- Financial stability;
- Quality of management systems and ability to meet the management standards prescribed in this part;

- History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
- Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audits; and
- The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

Two types of reviews will be conducted:

1. A threshold review to determine an applicant's basic eligibility; and
2. A technical review for all applications that pass the threshold review, to rate and rank the application based on the "Rating Factors" listed in Section V.A.

Only those applications that pass the threshold review will receive a technical review and be rated and ranked.

Applicants will be selected based on highest score.

## **VI. Award Administration Information.**

### **A. Award Notices.**

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF424.

**Negotiation.** After HUD has made selections, HUD will negotiate specific terms of the funding agreement and budget with selected applicants. If HUD and a selected applicant do not successfully conclude negotiations in a timely manner, or a selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant. HUD may impose special conditions on an award as provided under 2 CFR 200.207:

- Based on HUD's review of the applicant's risk under 2 CFR 200.205;
- When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal award;
- When the applicant or recipient fails to meet expected performance goals contained in a Federal award; or
- When the applicant or recipient is not otherwise responsible.

**Adjustments to Funding.** To ensure the fair distribution of funds and enable the purposes or requirements of a specific program to be met, HUD reserves the right to fund less than the amount requested in an application.

a. HUD will fund no portion of an application that:

- (1) Is not eligible for funding under applicable statutory or regulatory requirements;
- (2) Does not meet the requirements of this notice; or
- (3) Duplicates other funded programs or activities from prior year awards or other selected applicants.

b. If funds are available after funding the highest-ranking application, HUD may fund all or part of another eligible fundable application. If an applicant turns down an award offer, or if HUD and an applicant do not successfully complete grant negotiations, HUD may make an offer of funding to another eligible application.

c. If funds remain after all selections have been made, remaining funds may be made available within the current FY for other competitions within the program area, or be held for future competitions, or be used as otherwise provided by authorizing statute or appropriation.

d. If, after announcement of awards made under the current NOFA, additional funds become available either through the current appropriations, a supplemental appropriation, other appropriations or recapture of funds, HUD may use the additional funds to provide additional funding to an applicant awarded less than the requested amount of funds to make the full award, and/or to fund additional applicants that were eligible to receive an award but for which there were no funds available.

**Funding Errors.** If HUD commits an error that when corrected would cause selection of an applicant during the funding round of a Program NOFA, HUD may select that applicant for funding, subject to the availability of funds.

## **B. Administrative, National and Department Policy Requirements for HUD recipients**

For this NOFA, the following [Administrative, National and Department Policy Requirements and Terms for HUD Financial Assistance Awards](#) apply. (Please select the linked text to read the detailed description of each applicable requirement).

### 1. Compliance with Non-discrimination and Related Requirements.

Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all NOFAs. Please read the following requirements carefully as the requirements are different among HUD's programs.

- Compliance with Fair Housing and Civil Rights Laws, Which Encompass the Fair Housing Act and Related Authorities (cf. 24 CFR 5.105(a)).

- Affirmatively Furthering Fair Housing.

- Economic Opportunities for Low-and Very Low-income Persons (Section 3).

- Improving Access to Services for Persons with Limited English Proficiency (LEP).

- Accessible Technology.

### 2. Equal Access Requirements.

### 3. Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business.

### 4. Equal Participation of Faith-Based Organizations in HUD Programs and Activities.

### 5. Uniform Relocation Act – Real Property Acquisition and Relocation Requirements.

### 6. Participation in HUD-Sponsored Program Evaluation.

### 7. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

### 8. Drug-Free Workplace.

### 9. Safeguarding Resident/Client Files.

### 10. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L.109-282) (Transparency Act), as amended.

### 11. Eminent Domain.

### 12. Accessibility for Persons with Disabilities.

### 13. Violence Against Women Act.

- 14. Conducting Business in Accordance with Ethical Standards/Code of Conduct.
- 15. Environmental Requirements.

Compliance with 24 CFR part 50 or 58 procedures is explained below:

In accordance with 24 CFR 50.19(b)(1) and (3) activities funded under this NOFA are exempt or categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321) and not subject to environmental review under related laws and authorities.

### **C. Reporting.**

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.

**1. Recipient Integrity and Performance Matters.** Applicants should be aware that if the total Federal share of your Federal award includes more than \$ 500,000 over the period of performance, you may be subject to post award reporting requirements reflected in Appendix XII to Part 200-Award Term and Condition for Recipient Integrity and Performance Matters.

**2. Race, Ethnicity and Other Data Reporting.** HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, disability, and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department’s responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987.

Not applicable.

### **3. Program-Specific Reporting Requirements**

Not applicable.

### **D. Debriefing.**

For a period of at least 120 days, beginning 30 days after the public announcement of awards under this NOFA, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF-424 or by his or her successor in office and be submitted to the POC in Section VII Agency Contact(s), below. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

### **VII. Agency Contact(s).**

HUD staff will be available to provide clarification on the content of this NOFA.

Questions regarding specific program requirements for this NOFA should be directed to the POC listed below. Name:

Leatha Blanks

Phone:

202-402-5821

Email:

Leatha.M.Blanks@hud.gov

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339. Please note that HUD staff cannot assist applicants in preparing their applications.

## **VIII. Other Information.**

### **1. National Environmental Policy Act.**

This NOFA does not direct, provide for assistance or loan and mortgage insurance for, or otherwise govern or regulate, real property acquisition, disposition, leasing, rehabilitation, alteration, demolition, or new construction; or establish, revise, or provide for standards for construction or construction materials, manufactured housing, or occupancy. Accordingly, under 24 CFR 50.19(c)(1), this NOFA is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

**2. Paperwork Reduction Act Statement.** The information collection requirements in this notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each NOFA will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320.

### **3. Web Resources.**

- [\*\*Affirmatively Furthering Fair Housing\*\*](#)
- [\*\*Code of Conduct list\*\*](#)
- [\*\*CFDA\*\*](#)
- [\*\*Dun & Bradstreet\*\*](#)
- [\*\*Equal Participation of Faith-Based Organizations\*\*](#)
- [\*\*Federal Awardee Performance and Integrity Information System\*\*](#)
- [\*\*FFATA Subaward Reporting System\*\*](#)
- [\*\*Grants.gov\*\*](#)
- [\*\*HBCUs\*\*](#)
- [\*\*Healthy Homes Strategic Plan\*\*](#)
- [\*\*Healthy Housing Reference Manual\*\*](#)
- [\*\*HUD's Strategic Plan\*\*](#)
- [\*\*HUD Grants\*\*](#)
- [\*\*Limited English Proficiency\*\*](#)
- [\*\*NOFA Webcasts\*\*](#)
- [\*\*Opportunity Zone\*\*](#)
- [\*\*Procurement of Recovered Materials\*\*](#)
- [\*\*Promise Zones\*\*](#)
- [\*\*Section 3 Business Registry\*\*](#)

- [State Point of Contact List](#)
- [System for Award Management \(SAM\)](#)
- [Uniform Relocation Act – Real Property Acquisition and Relocation Requirements](#)
- [USA Spending](#)

## APPENDIX

### References

<sup>1</sup>An interagency collaboration conducted a pilot study to demonstrate feasibility of linkage. See Lewin Group (2014), Picture of Housing and Health: Medicare and Medicaid Use Among Older Adults in HUD-Assisted Housing. (<https://aspe.hhs.gov/basic-report/picture-housing-and-health-medicare-and-medicaid-use-among-older-adults-hud-assisted-housing>) CMS data also are available through the National Center for Health Statistics as a secondary linkage with HUD-NHIS and HUD-NHANES data.

<sup>2</sup>Internally, HUD is also examining outcomes of leavers and is currently designing a research study to examine economic, health, and mortality outcomes of exits from HUD-assisted housing using the HUD-National Health Interview Survey (NHIS)-Mortality linked database. A competitive proposal could have complementary but not identical aims. For further details regarding HUD's analysis plan, please contact Jacquie Bachand ([Jacqueline.V.Bachand@hud.gov](mailto:Jacqueline.V.Bachand@hud.gov)).

<sup>3</sup>The HUD-50058 data (available [here](#)) are for public housing authority programs and are maintained in the Public and Indian Housing Information Center (PIC).

<sup>4</sup>The HUD-50059 data (available [here](#)) are for privately owned multifamily housing and are maintained in the Tenant Rental Assistance Certification System (TRACS).