



**DEPARTMENT OF DEFENSE
WASHINGTON HEADQUARTERS SERVICES
1155 DEFENSE PENTAGON
WASHINGTON, DC 20301-1155**



July 1, 2011

American University of Afghanistan
ATTN: Dr. C. Michael Smith, President
Darulaman Road
Kabul, AFG

Subject: Notice of Intent for a Grant Award

Dear Dr. Smith,

Washington Headquarters Services, Acquisition Directorate, on behalf of the Office of the Secretary of Defense, has been assigned responsibility to negotiate and award a grant to the American University of Afghanistan under the authority of Section 1535 of the National Defense Authorization Act for FY 2011, Public Law 111-383.

This letter serves as notice of intent to award Grant HQ0034-11-1-0002. The grant amount shall not exceed \$5,000,000.00; other terms and conditions will be determined and negotiated upon receipt of the Application for Federal Assistance, Standard Form (SF) 424, and supporting documentation from American University of Afghanistan.

In order to negotiate the terms and conditions of this award, please prepare and submit the Application for Federal Assistance including the required documentation to support the service effort to be funded under the proposed grant. The pre-award documentation and requirements are detailed on the enclosed *Information and Instructions to Federal Assistance Applicants*. For your convenience, the full complement of SF424 interactive forms is available at www.apply.grants.gov (look under the opportunity number HQ0034-11-1-0002). Please fill out the forms in the construction grants application package and follow the other application instructions. Please submit the completed package electronically through the Grants.gov site. Please also provide one hard copy and one complete CD electronic copy to:

Ms. Kellie Buck (Contract Specialist)
1700 North Moore Street, Suite 1425
Arlington VA 22209

We anticipate the date of award to be on or about 31 August 2011. The Department of Defense Grant and Agreement Regulations Supplement permits pre-award costs to be authorized for a period of up to 90 days prior to award. Therefore, in anticipation of 31

August 2011 award date, your pre-award costs **may be** authorized from 1 July 2011 through 31 August 2011. Note that all pre-award costs are incurred at the recipient's risk; the government is under no obligation to reimburse such costs, if for any reason the recipient does not receive the grant award.

Your completed application including all forms and addenda is due in this office no later than 3:00 PM EST on 2 August 2011 in order to allow for internal review, negotiations, administrative completion of award documents, approval, and award of the grant on or about 31 August 2011.

If you have questions do not hesitate to email Ms. Kellie Buck at kellie.buck@whs.mil or call at 703-588-1329.

Sincerely,

Kortnee Stewart
Grants Officer

Enclosure:

Information and Instructions to Federal Assistance Applicants.

WASHINGTON HEADQUARTERS SERVICES/ACQUISITION DIRECTORATE
**INFORMATION AND INSTRUCTIONS TO
FEDERAL ASSISTANCE APPLICANTS**
Construction Grants

The Department of Defense (DoD) Grant and Agreement Regulations are published at Chapter I, Subchapter B, Title 32 of the Code of Federal Regulations (CFR). These regulations provide the uniform policies and procedures for the award and administration of grants and other assistance instruments negotiated and awarded by the Washington Headquarters Services/Acquisition Directorate (WHS/AD). These regulations can be viewed on the internet as follows:

<http://www.dtic.mil/whs/directives/corres/html/32106r.htm>

- **32 CFR Part 32 – Educational Institutions and Non-Profit Organizations**
- **32 CFR Part 33 – State and Local Governments**
- **32 CFR Part 34 – For-Profit Entities**

The Applicant must provide the following documentation prior to initiation of discussions regarding the terms and conditions of the proposed award. The Application forms listed below, are available on the Grants .Gov apply website under the Announcement number HQ0034-11-1-0002 www.apply.grants.gov. Active fillable forms may also be accessed from the Grants.gov website: www.grants.gov under For Agencies, and Forms Repository.

1. Application for Federal Assistance (Standard Form (SF) 424). The SF424 incorporates pertinent identification information of the Applicant. The SF424 will be incorporated as an attachment to the award instrument.

Required **Not Applicable** **May be required prior to award**

2. Budget Information – Construction Programs (Standard Form (SF) 424C). This form provides for a macro-level of the proposed budget for the initial proposed term of the award and subsequent funding periods. Additional supporting data should be included to detail specific proposed expenditures for (a) the acquisition of significant equipment, (b) identification of subcontractors, (c) travel, (d) and other significant cost categories. The SF424A will be incorporated as an attachment to the award instrument.

Required **Not Applicable** **May be required prior to award**

3. Assurances – Construction Programs (Standard Form (SF) 424D). This form provides for the Applicant's duly authorized representative to certify that the Applicant will comply with all applicable Federal statutes and regulations.

Required **Not Applicable** **May be required prior to award**

4. Concept Plan. This is a narrative of the proposal detailing the effort contemplated under the award. The Applicant should detail the proposed technical effort, curriculum

vitae of the principal investigator(s) (if applicable), and a general description of proposed subcontracts, travel, acquisition and use of supplies and equipment. The Concept Plan will be incorporated by reference in the award document. It is recommended that this documentation be provided in both hard copy and on computer diskette (Microsoft Word).

Required **Not Applicable** **May be required prior to award**

5. Revised Concept Plan. This is a revised narrative of the proposal detailing the effort contemplated, when the recommendations for award include reduction of technical scope and/or budget.

Required **Not Applicable** **May be required prior to award**

The Applicant must complete the following actions prior to conclusion of discussions regarding the terms and conditions of the proposed award.

1. Data Universal Numbering System (DUNS) Number. If the Applicant does not have a DUNS number, it should contact Dun and Bradstreet to obtain one at no charge. Applicants within the United States may call 1-800-333-0505. The Applicant may obtain more information regarding the DUNS number, including locations of local Dun and Bradstreet Information Services offices for Applicants located outside the United States, from the Internet home page at <http://www.customerservice@dnb.com/>. The Applicant must have a DUNS number prior to initiating registration in the Central Contractor Registration (CCR) database (see below). If the Applicant already has a DUNS number, the WHS/AD Grants Officer should be advised as soon as possible.

Required **Not Applicable**

2. Central Contractor Registration (CCR). It is the policy of the Department of Defense and the Defense Contracting Command-Washington that Recipients of Federal Assistance awards be registered in the Central Contractor Registration (CCR) database as a condition of award. Registration will facilitate DoD Grant and Agreement Regulations requirements for Electronic Funds Transfer (EFT). If the Applicant is already registered in the CCR, the WHS/AD Grants Officer should be advised of the Applicant's DUNS and CAGE Codes as soon as possible. The CCR registration process can be accomplished via the Internet at: <http://www.ccr.gov/>.

Required **Not Applicable**

3. Independent Audit. If checked, the proposed Applicant should submit one (1) copy of its most recent independent audit.

Required **Not Applicable** **May be required prior to award**

4. Indirect Cost Rate Agreement. If checked, the Applicant should submit one (1) copy of its most recent Predetermined Indirect Cost Rate Agreement (negotiated with the Federal Government Agency having cognizance over the Applicant (i.e., Department of Health and Human Services, Office of Naval Research, etc.).

Required **Not Applicable** **May be required prior to award**

5. Procurement Procedures. If applicable, the Applicant should submit one (1) copy of the Applicant's organizational procedures for the award for subcontracting support of the contemplated effort.

Required **Not Applicable** **May be required prior to award**

6. Commercial and Government Entity (CAGE) Code. If the Applicant does not have a CAGE code, one will be assigned as part of the CCR registration process. If the Applicant's CAGE code is known, the Applicant should provide it to the WHS/AD Grants Officer as soon as possible.

Required **Not Applicable**

The Applicant shall forward the completed Application for Federal Assistance (and all other documentation and information specified herein) to the WHS/AD Grants Officer/ POC at the address below and shall submit it electronically through the Grants.gov Apply site.

WHS Acquisition Directorate

ATTN: Ms. Kortnee Stewart or Kellie Buck

1700 North Moore Street, Suite 1425

Rosslyn, VA 22209

E-Mail: kellie.buck@whs.mil AND kortnee.stewart@whs.mil

Phone: (703) 588-1329

Fax (703) 588-1990