

**FINANCIAL ASSISTANCE
FUNDING OPPORTUNITY ANNOUNCEMENT**



**U.S. Department of Energy
Golden Field Office**

**FIRST STEPS TOWARD TRIBAL WEATHERIZATION – HUMAN
CAPACITY DEVELOPMENT**

Funding Opportunity Number: DE-PS36-09GO99022

Announcement Type: Initial

CFDA Number: 81.087

Issue Date: March 16, 2009

Application Due Date: May 7, 2009, 11:59 PM Eastern Time

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PART I – FUNDING OPPORTUNITY DESCRIPTION

A. GENERAL INFORMATION

As part of their Tribal Energy activities, the Department of Energy's (DOE's) Weatherization and Intergovernmental Program under the Office of Energy Efficiency and Renewable Energy, is soliciting applications from Indian Tribes, including Alaska Native villages, village and Regional Corporations (hereafter referred to as "Tribes"), Tribal Energy Resource Development Organizations, and Tribal Consortia for developing the skills necessary for the Tribe to implement a weatherization program on their Tribal Lands. Under the provisions of Title V, Indian Energy, of the Energy Policy Act (EPAAct) of 2005, DOE intends to provide financial support for Tribes to develop their human capacity for implementing weatherization programs on their Tribal Lands.

DOE's Tribal Energy Program promotes Tribal energy sufficiency and fosters employment and economic development on Tribal Lands through financial and technical assistance to Federally-recognized Tribes for feasibility studies and renewable energy and energy efficiency development projects. For more on DOE's Tribal Energy Program, see www.eere.energy.gov/tribalenergy. For information on DOE's Weatherization Assistance Program, see <http://apps1.eere.energy.gov/weatherization/about.cfm>.

Through this Funding Opportunity Announcement (FOA or Announcement), DOE intends to support Tribes in the establishment of Tribal weatherization programs, building weatherization skills and capabilities, and positioning them to provide weatherization services to their Tribal members and potentially neighboring Tribes. Eligible activities under this Announcement may include, but are not limited to:

- 1) Technical and administrative weatherization education and training (see http://www.waptac.org/sp.asp?mc=training_facilities for Weatherization Assistance Program Training Facilities for technical positions); and/or
- 2) Skills development through apprenticeships or on-the-job training at existing weatherization provider organizations (see http://www.waptac.org/sp.asp?mc=what_contacts for State contacts and local providers under the DOE Weatherization Assistance Program).

DOE will only consider applications from an Indian Tribe, Tribal Energy Resource Development Organization, or Tribal Consortium. For purposes of this Announcement, Indian Tribes, Tribal Energy Resource Development Organizations, and Tribal Consortia and Tribal Lands are as defined under Part III, Eligibility Information, and under Appendix A of this announcement.

Tribes applying for funding under this announcement must commit to:

- 1) Establishing a Tribal weatherization program within the existing Tribal organization infrastructure;
- 2) Provide training and weatherization skills development to existing staff or hire capable Native Americans for that training and skills development either as weatherization crew chiefs or technicians, and identify the plan for weatherization skills development within the application;

- 3) Provide training to current staff in the administration of a weatherization program within an existing Tribal organization, specify that organization within the application, identify the existing skills and enhancements to those skills that will be needed, and provide a definitive plan to obtain those needed administrative skills; and
- 4) Provide jobs for those trained or skilled individuals within the Tribe or Tribal implementing organization once trained.

The “Core Competencies for the Weatherization Assistance Program” developed by the Weatherization Trainers Consortium provides more information on the skills and competencies needed for various weatherization worker positions (i.e., installers, crew chiefs, auditors, contractors, inspectors, monitors, both technical and administrative, and program managers). See Core Competencies document at <http://www.waptac.org/sp.asp?id=1818> for competencies and skills needed for each of these positions.

Once a Tribe, Tribal Energy Resource Development Organization, or Tribal Consortium has developed core weatherization competencies, the Tribe, Tribal Energy Resource Development Organization, or Tribal Consortium may decide to pursue access to Federally appropriated weatherization funds either as a direct Grantee or as a Subgrantee through the DOE Weatherization Assistance Program. For a Tribe to become a direct Grantee through the DOE Weatherization Assistance Program, it must be determined that: 1) low-income members of an Indian tribe are not receiving benefits under the DOE Weatherization Assistance Program equivalent to the assistance provided to other low-income persons in the State under the DOE Weatherization Assistance Program; and 2) the low-income members of such Tribe would be better served by means of a grant made directly to the Tribe to provide such assistance. For the Regulations pertaining to the Weatherization Assistance Program for Low-Income Persons and how a Tribe can apply for a direct allocation through the DOE Weatherization Assistance Program or become a Subgrantee under the program, see Title 10, Part 440 at <http://www.waptac.org/sp.asp?id=1812#purpose>. The Tribal Weatherization Handbook included as Appendix D to this announcement provides examples of successful Tribal weatherization projects, the funding sources used to weatherize homes, and the level of involvement by those Tribes in serving their members.

Tribes located in proximity to other Tribes or those who may not have a sufficient number of homes to warrant an on-going weatherization program, are encouraged to consider the development of an Intertribal consortium to service the weatherization needs of Tribal members within a region. As a sufficient number of homes and skilled work force is needed to sustain a weatherization program, Intertribal cooperation may: 1) minimize the overall administrative (both human and technical) burden of such a program thereby maximizing the use of weatherization funds for actual home improvements; and 2) create a more stable weatherization organization by pooling weatherization funds, pooling a skilled work force, and creating a larger pool of weatherization customers.

A Tribal Council Resolution by each participating Tribe, declaration from each Tribal Energy Resource Development Organization entity or Tribal Consortium, and a letter of commitment from all other project participants, are required as a part of the application. See Part IV.C., Content and Form of Application, for requirements.

Selected applicants will be required to document progress in quarterly reports and the results in a comprehensive final report, as well as present at annual Tribal Energy Program Reviews to be held each Fall in Denver, Colorado. For planning purposes, applicants should plan to attend

and present project activities each Fall during the project period. Travel costs for a one-week trip each Fall should be included in the proposed budget.

Financial Assistance Awards under this Funding Opportunity Announcement are contingent upon the availability of appropriated funds.

B. MERIT REVIEW CRITERIA DISCUSSION

The Project Narrative should address each of the following elements. See Part IV.C., Content and Form of Application, for additional instructions on the application content.

Criterion 1 Discussion: Goals and Objectives

- Provide an overview of the Tribe(s) including location and demographics. Maps may be included as an attachment, if needed, under the Data and Maps File (see Part IV.C., Content and Form of Application).
- Provide a concise overview of the proposed project including: a discussion of the goals of the Indian Tribe, Tribal Energy Resource Development Organization or Tribal Consortia; and objectives of the proposed project.
- Describe the Tribal vision of the planned weatherization program beyond requested DOE funding.

Criterion 2 Discussion: Project Description and Implementation Plan

- Describe the existing housing stock (e.g., number of buildings; age of buildings; building type such as homes, schools, community buildings, clinics, or tribal government buildings; size of each building; and location of each building. Any supplemental data or maps may be included as an attachment, if needed, under the Data and Maps File (see Part IV.C., Content and Form of Application).
- Describe the current energy use such as the fuel type (e.g., diesel fuel, propane, heating oil, wood, natural gas or electric); costs (if known); and description of the current energy suppliers. Any supplemental data can be supplied as attachments under the Data and Maps File (see Part IV.C., Content and Form of Application).
- Describe the existing Tribal organization that has assumed the responsibility for establishing a Tribal weatherization program, including the mission of that organization, number of staff (both technical and administrative), current roles and responsibilities, and current budget.
- Provide a detailed description of the skills and competencies of existing staff (both technical and administrative) and those skills and competencies (both technical and administrative) needed to implement a successful Tribal weatherization program.
- Describe the plan for obtaining those needed skills and competencies for both technical and administrative staff. Identify whether the Tribal organization will seek training for existing staff or hire new staff; the skills and competencies of that staff; and the plan to obtain those skills and competencies, whether through existing education and training programs or through apprenticeships or on-the-job training at existing weatherization provider organizations. Be specific as to the proposed training, identifying the existing education and training program to be used and location for that education and training and/or identify the participating organization that has agreed to supply apprenticeships or on-the-job training. Any agreements or commitments for apprenticeships or on-the-job training must be supplied as part of the Statement of Commitment and Cost Sharing File (see Part IV.C., Content and Form of Application for required content).

- Describe how these skills will be used within the current Tribal organization once the proposed training has been completed. A Tribal commitment of continued employment for these trained staff beyond the currently proposed training is required as part of the application. See Criterion 3 Discussion, Roles, Responsibilities, and Capabilities, below.
- Identify the possible funding sources beyond those currently being requested and the planned activities to be pursued to implement a Tribal weatherization program once core weatherization competencies are obtained.

Criterion 3 Discussion: Roles, Responsibilities, Resources and Capabilities

- Provide a description of the management concept for the proposed project including the names and roles of the applicant and each participating organization involved in the project, as well as business agreements between the applicant and participants, including suppliers, consultants or subcontractors. The descriptions shall encompass the following:
 - 1) Management approach for implementing the proposed project, including organizational and individual roles and responsibilities;
 - 2) Capabilities of the applicant and each participant and a brief summary of experience and qualifications (Include individual resumes under Part IV.C, Resume File);
 - 3) Identify the existing staff to be trained by name, provide a brief summary of experience and qualifications and include individual resumes under Part IV.C, Resume File). For new staff, include a job description and skills requirements as part of the Resume File (see Part IV.C, Resume File).
 - 4) If apprenticeships or on-the-job training is proposed, identify the participating organization that has agreed to supply apprenticeships or on-the-job training and provide those agreements or letters of commitment as part of the Statement of Commitment and Cost Sharing File (see Part IV.C., Content and Form of Application for required content).
 - 5) If any consultants or contractors have not been identified, indicate that in the narrative and supply a subcontract plan and detailed statement of work as an attachment (See Subcontract Plan C under Part IV.C., Content and Form of Application).
- Describe level of involvement and amount and type of cost sharing (if proposed) by the applicant and each participant involved in the project, if any, and supply evidence of those commitments of time resources and financial contributions in the form of Tribal Council Resolution(s), declarations or letters of commitment. See Statement of Commitment and Cost Sharing File under Part IV.C., Content and Form of Application for required content.
- Describe the Tribe's commitment to provide continued employment to those to be trained under the proposed project and supply evidence of that commitment as part of the Tribal Council Resolution(s), declarations, or letters of commitment.
- Describe and provide evidence of any business agreements between the applicant and participants, including suppliers, consultants or subcontractors. Include any business agreements under the Agreements File (See Part IV.C., Content and Form of Application).

Criterion 4 Discussion: Project Activities and Schedule

Provide a description that includes the following:

- 1) Overview of the objectives of the proposed project;
- 2) Summary of the approach for implementing the project;
- 3) Detailed description of each of the activities or tasks to be performed under the proposed project and the results or milestones associated with each of those activities or tasks; and

- 4) Schedule of milestones coupled with a timeline of activities or tasks to be conducted included as a Gantt chart or task schedule. Successful applicants will use this project timeline to report progress.

PART II – AWARD INFORMATION

A. TYPE OF AWARD INSTRUMENT.

DOE anticipates awarding grants under this Announcement.

B. ESTIMATED FUNDING.

Approximately \$500,000 to \$1,000,000 is expected to be available for new awards under this Announcement. In anticipation of possible increased Fiscal Year 2009 funding appropriated under potential Congressional actions such as *The American Recovery and Reinvestment Act of 2009*, the total estimated funding may increase.

C. MAXIMUM AND MINIMUM AWARD SIZE.

Ceiling (i.e., the maximum amount for an individual award made under this Announcement): \$200,000.

Floor (i.e., the minimum amount for an individual award made under this Announcement): \$50,000.

D. EXPECTED NUMBER OF AWARDS.

DOE anticipates making two (2) to five (5) awards under this Announcement, depending on the size of the awards. In anticipation of possible increased Fiscal Year 2009 funding appropriated under potential Congressional actions such as *The American Recovery and Reinvestment Act of 2009*, the total expected number of awards may increase.

E. ANTICIPATED AWARD SIZE.

DOE anticipates that awards will be in the \$50,000 to \$200,000 range for the total project period.

F. PERIOD OF PERFORMANCE.

DOE anticipates making awards with project periods of one to two years.

PART III - ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS.

- In accordance with 10 CFR 600.6(b) and consistent the EAct 2005 authorities, eligibility for award under this Funding Opportunity Announcement is restricted to: (1) an Indian Tribe; (2) Tribal Energy Resource Development Organization; or (3) Tribal Consortium; and on whose (4) Tribal Lands weatherization services may be provided. More specifically,

- 1) "Indian Tribe," for purposes of this announcement, means any Indian tribe, band, nation or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. §§ 1601 et seq.], which are recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

- 2) “Tribal Energy Resource Development Organizations,” for purposes of this Announcement and in accordance with EAct 2005, means an “organization” of two or more entities, at least one of which is an Indian Tribe as defined above, that has the written consent of the governing bodies of all Indian Tribes participating in the organization to apply for a grant or loan, or other assistance under 25 U.S.C. § 3502.

For purposes of this Announcement and as defined under Section 503 of EAct 2005, the term “organization,” means a partnership, joint venture, Limited Liability Company or other unincorporated association or entity that is established to develop Indian energy resources.

- 3) “Tribal Consortium” (plural consortia), for purposes of this announcement, means a group of organizations, at least one of which is an Indian Tribe as defined above, that have chosen to submit a single application. Under this announcement, a Tribal Consortium is eligible to submit an application provided the application is submitted by a single Tribe representing the consortium.
- 4) “Tribal Lands” for purposes of this Announcement, is defined as:
- (a) any land located within the boundaries of an “Indian reservation”, pueblo, or rancheria;
 - (b) any land not located within boundaries of an Indian reservation, pueblo, or rancheria, the title to which is held –
 - (i) in trust by the United States for the benefit of an Indian tribe or an individual Indian;
 - (ii) by an Indian tribe or an individual Indian, subject to restriction against alienation under laws of the United States; or
 - (iii) by a dependent Indian community;
 - (c) land that is owned by an Indian tribe and was conveyed by the United States to a Native Corporation pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. § 1601 et seq.), or that was conveyed by the United States to a Native Corporation in exchange for such land;
 - (d) lands held in fee simple (purchased or owned); and
 - (e) lands under a long-term Federal land lease.

For purposes of this Announcement and as defined under Section 503 of EAct 2005, the term “Indian Reservation” includes an Indian reservation in existence in any State or States as of the date of enactment of Title V of EAct 2005; a public domain Indian allotment; and a dependent Indian community located within the borders of the United States, regardless of whether the community is on original or acquired territory of the community; or within or outside the boundaries of any State or States.

- Applications that propose a portion of the work to be performed by a Federally Funded Research and Development Center (FFRDC), as defined by the Federal Acquisition Regulation (FAR) 35.017, will not be considered for award.

B. COST SHARING.

No cost share is required.

PART IV – APPLICATION AND SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION PACKAGE.

Proposals must be submitted through the DOE Industry Interactive Procurement System (IIPS) at <http://e-center.doe.gov>. Instructions on how to submit a proposal or a proposal amendment and how to register, submit questions, and view questions and answers are located in Appendix D and on the web site at <http://e-center.doe.gov>: click on the Help button. The Adobe application package for this announcement is provided as a separate file on IIPS as an accompanying file. **(Also see Section H of Part IV below.)**

B. LETTER OF INTENT AND PRE-APPLICATION.

Neither Letters of Intent, nor Pre-applications are required.

C. CONTENT AND FORM OF APPLICATION – SF 424

You must complete the mandatory forms and any applicable optional forms, in accordance with the instructions on the forms and the additional instructions below, as required by this FOA. **Files that are attached to the forms must be in Adobe Portable Document Format (PDF) unless otherwise specified in this announcement. The Adobe Application Package is provided as an accompanying file to the solicitation in IIPS. Applicants must have a compatible version of Adobe Reader software to complete the Adobe Application Package. To ensure you have the compatible version of Adobe Reader, visit the “Download Software” page at http://www.grants.gov/help/download_software.jsp**

1. SF 424 - Application for Federal Assistance.

Complete this form first to populate data in other forms. Complete all required fields in accordance with the instructions on the form. Include the Topic Area with the descriptive title of the project in Block 15. The list of certifications and assurances referenced in Field 21 can be found at http://management.energy.gov/business_doe/business_forms.htm, under Certifications and Assurances.

2. Project/Performance Site Locations

Indicate the primary site where the work will be performed. If a portion of the project will be performed at any other site(s), identify the site(s).

3. Other Attachments Form

Submit the following files with your application form and attach them to the Other Attachments Form. Click on “Add Mandatory Other Attachment” to attach the Project Narrative. Click on “Add Optional Other Attachment,” to attach the other files.

Project Summary/Abstract (Field 6 on the Form)

The project summary/abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained document that identifies the name of the applicant, the Technical and Business Contacts for the project (see Narrative Cover Page below for a definition), the project title, the objectives of the project, a description of the project, including methods to be employed, the potential impact of the project (i.e., benefits, outcomes), and major participants (for collaborative projects). Applicants are cautioned that this document should not include any

proprietary information, trade secrets, or other confidential business, financial or sensitive information, since this summary may be subject to public disclosure under the Freedom of Information Act (FOIA). The project summary must not exceed **2 pages** when printed using standard 8.5” by 11” paper with 1” margins (top, bottom, left and right) with font not smaller than 11 point. Save this information in a file named “Summary.pdf,” and click on “Add Optional Other Attachment” to attach file.

Project Narrative (Field 7 on the form)

The project narrative must not exceed **10 pages**, including cover page and table of contents, when printed using standard 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right). **EVALUATORS WILL ONLY REVIEW THE NUMBER OF PAGES SPECIFIED IN THE PRECEDING SENTENCE.** The font must not be smaller than 11 point. Do not include any Internet addresses (URLs) that provide information necessary to review the application, because the information contained in these sites will not be reviewed. See Part VIII.D for instructions on how to mark proprietary application information. Save the information in a single file named “ProjectNarrative.pdf,” and click on “Add Mandatory Other Attachment” to attach file.

The project narrative must include:

- **Cover Page**
The cover page must indicate the name of the Applicant (Tribe or Tribal Energy Resource Development Organization), project title, project location(s), Funding Opportunity Announcement title and number (DE-PS36-09GO99022), and both the technical and business points of contact for the applicant, denoting the names, titles, addresses, telephone and facsimile numbers, and electronic mail addresses. The Business Contact is a representative authorized to act on behalf of the applicant to negotiate the agreement, as all DOE official correspondence related to this announcement, or agreement if one was awarded, would be addressed to the business point of contact. The designated Technical Contact is a representative authorized to act as project manager on behalf of the applicant and would be the prime point of contact for DOE’s Project Officer during project performance, if an agreement were to be awarded. The cover page should also identify the name and type of organization for each key participant including consultants or contractors, along with the names, titles, addresses, telephone and facsimile, and electronic mail addresses of participant contacts.
- **Table of Contents**
The narrative shall include a table of contents and page numbers corresponding to the elements outlined in these guidelines.
- **Merit Review Criterion Discussion**
The section should be formatted to address each of the sections described under Merit Review Criterion Discussion (Part I.B) and the associated criterion and sub-criterion listed in Part V.A. Provide sufficient information so that reviewers will be able to evaluate the application in accordance with the merit review criteria. **DOE WILL EVALUATE AND CONSIDER ONLY THOSE APPLICATIONS THAT ADDRESS SEPARATELY EACH OF THE MERIT REVIEW CRITERION AND SUB-CRITERION.**

Data and Maps File

Site maps and any supplemental data on building size, location, energy use, or energy supply should be included here. Save this information in a single file named "DataandMaps.pdf," and click on "Add Optional Other Attachment" to attach file.

Statements of Commitment and Cost Sharing File

A Tribal Council Resolution by each participating Indian Tribe, declaration from each Tribal Energy Resource Development Organization entity or Tribal Consortium, and a letter of commitment from all other project participants, are required as a part of the application. The Tribal Council Resolution(s) and declaration(s) must: 1) not only authorize the submittal of the application, but also 2) indicate support for the proposed project; 3) include a statement of the level and type of cost share commitments (time, resources, or financial contributions) if any; 4) describe the Tribes commitment to provide continued employment to those to be trained under the proposed project; and 5) describe the Tribe's willingness to establish a Tribal weatherization program, provided future funding is obtained. Letters of commitment from all project participants must be provided and include a statement of support for the project, description of their involvement in the proposed project, and any commitments of time, resources, or cost share to be contributed to the project. If apprenticeships or on-the-job training is proposed, the organization providing these services must document within their letters of commitment the level and nature of those services. Save this information in a single file named "Commitments.pdf," and click on "Add Optional Other Attachment" to attach file.

If cost share is proposed, the Tribal Council Resolution(s), declaration(s) and letters of commitment should include a detailed estimate of the cash value (basis of and the nature of; e.g., equipment, labor, facilities, cash, etc.) of all contributions to the project by project participants. Note that "Cost Sharing" is not limited to cash investment. In-kind contributions (e.g., contribution of services or property; donated equipment, buildings, or land; donated supplies; or unrecovered indirect costs) incurred as part of the project may be considered as all or part of the Cost Share. The "Cost Sharing" definition is contained in 10 CFR 600.30, 600.202, 600.224, and OMB Circular A-110. Foregone fee or profit by the applicant shall not be considered Cost Sharing under any resulting Award. Reimbursement of actual costs will only include those costs that are reasonable, allowable and allocable to the project as determined in accordance with the applicable cost principles prescribed in 10 CFR 600.222.

Agreements File

Supply evidence of any business agreements between the applicant and participants, including suppliers, consultants or subcontractors. Save this information in a single file named "Agreements.pdf," and click on "Add Optional Other Attachment" to attach file.

Subcontract Plan File

A subcontract plan is required if project participants (i.e., subcontractors or consultants) are proposed, but have not been identified. Include a description of the selection process to be employed, statement of work, and criteria for selection. Save this information in a single file named "SubcontractPlan.pdf," and click on "Add Optional Other Attachment" to attach file.

Resumes File

Provide a resume for each key person (Tribal representative or staff, consultant, or contractor) proposed as part of the project. A key person is any individual who

contributes in a substantive, measurable way to the execution of the project. This file should be included as an attachment file rather than with the narrative file (these resumes will not count against the page limit). Save all resumes in a single file named "Resumes.pdf" and click on "Add Optional Other Attachment" to attach file.

Each resume must not exceed **2 pages** when printed on 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right) with font not smaller than 11 point.

Form SF 424A Excel, Budget Information – Non-Construction Programs File

Use Form SF 424A Excel, "Budget Information – Non Construction Programs" on the Applicant and Recipient Page at

http://management.energy.gov/business_doe/business_forms.htm. You may request funds under any of the Object Class Categories as long as the item and amount are necessary to perform the proposed work, meet all the criteria for allowability under the applicable Federal cost principles, and are not prohibited by the funding restrictions in this Announcement (See PART IV, G). The budget must reflect the total project budget including both the requested DOE funds and the proposed cost share contributions. Save the information in a single file named "SF424A.xls," and click on "Add Optional Other Attachment" to attach file.

Budget Justification for SF 424A Budget

Justify the total project costs (both the requested DOE funds and the proposed cost share contributions proposed) by Object Class Category/Cost Classification category (e.g., identify key persons and personnel categories and the estimated costs for each person or category; provide a list of equipment and cost of each item; identify proposed subaward/consultant work and cost of each subaward/consultant; describe purpose of proposed travel, number of travelers and number of travel days; list general categories of supplies and amount for each category; and provide any other information you wish to support your budget). The justification should also include the basis for the proposed costs (e.g., estimated based previous actual expenses, quotes, published prices). Also, provide the name of your cognizant/oversight agency, if you have one, and the name and phone number of the individual responsible for negotiating your indirect rates as part of the budget justification or under the comments under the Indirect tab of the Budget Justification form (PMC 123.1).

The Excel format provided as PMC 123.1, Budget Justification for SF 424A, under "Application Forms" at <https://www.eere-pmc.energy.gov/Forms.aspx> is recommended but not required for use in providing this budget justification.

If cost sharing is proposed, you must have a letter from each third party contributing cost sharing (i.e., a party other than the organization submitting the application) stating that the third party is committed to providing a specific minimum dollar amount of cost sharing. Supply the cost sharing letters of commitment as part of the Statement of Commitment and Cost Sharing File. In the budget justification, identify the following information for each third party contributing cost sharing: (1) the name of the organization; (2) the proposed dollar amount to be provided; (3) the amount as a percentage of the total project cost; and (4) the proposed cost sharing – cash, services, or property.

Save the budget justification or PMC123.1-Budget_Justification as a single file named "Budget_Justification.xls," and click on "Add Optional Other Attachment" to attach file.

Subaward Budget File(s)

You must provide a separate budget for each subawardee that is expected to perform work estimated to be more than \$100,000 or 50 percent of the total work effort (which ever is less). Use the SF 424 A Excel for Non Construction Programs or the SF 424 C Excel for Construction Programs. These forms are found on the Applicant and Recipient Page at http://management.energy.gov/business_doe/business_forms.htm. Save each Subaward budget in a separate file. Use up to 10 letters of the subawardee's name (plus .xls) as the file name (e.g., ucla.xls or energyres.xls), and click on "Add Optional Other Attachment" to attach file.

Form PMC 121.1, Financial Assistance Pre-Award Information Sheet

Complete the Financial Assistance Pre-Award Information Sheet (Form PMC 121.1). The form PMC 121.1 may be obtained under "Application Forms" at <https://www.eere-pmc.energy.gov/Forms.aspx>. Save the Financial Assistance Pre-Award Information Sheet as a single file named "PreAwardInfo.pdf," and click on "Add Optional Other Attachment" to attach file.

SF-LLL Disclosure of Lobbying Activities

If applicable, complete Standard Form (SF- LLL), "Disclosure Form to Report Lobbying." If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the grant/cooperative agreement, you must complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying." The Standard Form SF-LLL may be obtained under "Application Forms" at <https://www.eere-pmc.energy.gov/Forms.aspx>. Save as a single file named "SF-LLL.pdf", and click on "Add Optional Other Attachment" to attach file.

Your application must include the attachments specified in the following table on the next page.

SUMMARY OF REQUIRED FORMS/FILES

Name of Document	Format	File Name
SF 424 - Application for Federal Assistance	On-line Form	N/A
Project/Performance Site Location(s)	On-line Form	N/A
Other Attachments Form: Attach the following files to this form. Click on "Add Mandatory Other Attachment" to attach the Project Narrative File. Click on "Add Optional Other Attachment," to attach the other files		N/A
Project Summary/Abstract File	PDF	Summary.pdf
Project Narrative File	PDF	ProjectNarrative.pdf
Data and Maps File	PDF	DataandMaps.pdf
Statements of Commitment and Cost Sharing File	PDF	Commitments.pdf
Agreements File	PDF	Agreements.pdf
Subcontract Plan File	PDF	SubcontractPlan.pdf
Resumes File	PDF	Resumes.pdf
Form SF 424A Excel - Budget Information for Non-Construction Programs File	Excel	SF424A.xls
Budget Justification for SF 424A Budget (Form PMC 123.1 recommended)	Excel	Budget_Justification.xls
Subaward Budget File(s), if applicable	Excel	See Instructions
Form PMC 121.1, Financial Assistance Pre-Award Information Sheet	PDF	"PreAwardInfo.pdf
SF-LLL Disclosure of Lobbying Activities, if applicable	PDF	SF-LLL.pdf

D. SUBMISSIONS FROM SUCCESSFUL APPLICANTS.

If selected for award, DOE reserves the right to request additional or clarifying information for any reason deemed necessary, including, but not limited to:

- Indirect cost information
- Other budget information
- Name and phone number of the Designated Responsible Employee for complying with national policies prohibiting discrimination (See 10 CFR 1040.5)
- Environmental checklist information

E. SUBMISSION DATES AND TIMES.

1. Pre-application Due Date.

Pre-applications are not required.

- ### **2. Application Due Date.**
- Applications must be received by May 7, 2009, 11:59 PM Eastern Time. You are encouraged to transmit your application well before the deadline and to confirm that your application has been received in advance of the deadline. Allow sufficient time to transmit your application and to confirm receipt which may take a number of days. APPLICATIONS RECEIVED AFTER THE DEADLINE WILL NOT BE REVIEWED OR CONSIDERED FOR AWARD.

F. INTERGOVERNMENTAL REVIEW

This program is not subject to Executive Order 12372 – Intergovernmental Review of Federal Programs.

G. FUNDING RESTRICTIONS.

Cost Principles. Costs must be allowable in accordance with the applicable Federal cost principles referenced in 10 CFR Part 600. The cost principles for commercial organization are in FAR Part 31.

Pre-award Costs. Recipients may charge to an award resulting from this Announcement pre-award costs that were incurred within the ninety (90) calendar day period immediately preceding the effective date of the award, if the costs are allowable in accordance with the applicable Federal cost principles referenced in 10 CFR Part 600. Recipients must obtain the prior approval of the Contracting Officer for any pre-award costs that are for periods greater than this 90 day calendar period.

Pre-award costs are incurred at the applicant's risk. DOE is under no obligation to reimburse such costs if for any reason the applicant does not receive an award or if the award is made for a lesser amount than the applicant expected.

H. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS

Applications must be submitted through the DOE Industry Interactive Procurement System (IIPS) at <http://e-center.doe.gov>. Instructions on how to submit an application or application amendment and how to register, submit questions, and view questions and answers are located in Appendix D and on the web site at <http://e-center.doe.gov>: click on the Help button.

Prepare all required files in accordance with the instructions in this announcement prior to starting the transmission process. Submit the entire proposal package in one IIPS session (i.e., do not log off before all the files are submitted).

When you are ready to submit your application, go to <http://e-center.doe.gov> and complete the IIPS cover page. Enter the project title and the name of the principal investigator/project director, in the "Subject" block. Then attach the application package in accordance with the IIPS guidance. Follow the instructions for submitting the application.

If you have any problems accessing information or submitting your application, contact the Help Desk at 1-800-683-0751 and select option 1, or send an email to HelpDesk@pr.doe.gov.

ONLY APPLICATIONS SUBMITTED THROUGH IIPS WILL BE CONSIDERED FOR AWARD.

Applications submitted through IIPS constitute submission of electronically signed proposals. The name of the authorized organizational representative (i.e., the administrative official, who, on behalf of the proposing organization, is authorized to make certifications and assurances or to commit the applicant to the conduct of a project) must be typed in the signature block on the form to be accepted as an electronic signature. Do not submit a scanned copy of the signed document.

In order to submit an application, you must be authorized by the applicant (i.e., institution or business entity) to submit a proposal on its behalf and you must register in IIPS. You are encouraged to register as soon as possible. You only have to register once to apply for any DOE award.

To register go to <http://e-center.doe.gov> and follow the registration instructions.

Amendments to this announcement will be posted on the DOE Industry Interactive Procurement System (IIPS).

If you register in IIPS, you may join this announcement mailing list to receive an email when an amendment or an announcement message is posted. To view amendments and announcement messages, locate the announcement on IIPS and click on the folder next to the announcement number or follow the directions for "Locate Solicitation."

PART V - APPLICATION REVIEW INFORMATION

A. CRITERIA

1. Initial Review Criteria. Prior to a comprehensive merit evaluation, DOE will perform an initial review to determine that (1) the applicant is eligible for an award; (2) the information required by the announcement has been submitted; (3) all mandatory requirements are satisfied; and (4) the proposed project is responsive to the objectives of the funding opportunity announcement.

2. Merit Review Criteria.

The following factors will be used to evaluate applications. The sub-criterion under each criterion are of equal weight.

Criterion 1: Goals and Objectives

Weight: 10%

- Clarity of the description of the Tribe(s)
- Clarity and completeness of the discussion of the project goals and objectives.
- Soundness of the discussion of the Tribal vision for a future weatherization program beyond the requested DOE funding.

Criterion 2: Project Description and Implementation Plan **Weight: 40%**

- Clarity and completeness of the discussion of the existing building stock.
- Quality of the description of current energy use.
- Completeness of the description of the Tribal organization that has assumed the responsibility for establishing a Tribal weatherization program.
- Quality and completeness of the discussion of the skills and competencies of existing staff and those skills and competencies needed to implement a successful Tribal weatherization program.
- Soundness of the plan for obtaining those needed skills and competencies.
- Clarity and completeness of the description of how those skills will be used within the current Tribal organization.
- Completeness of the discussion of possible funding sources beyond those currently being requested and the reasonableness of the plan to obtain funding to implement a Tribal weatherization program once those skills are obtained.

Criterion 3: Roles, Responsibilities, Resources, and Capabilities **Weight: 30%**

- Soundness of the project management concept with respect to proposed tasks and organizational structure to achieve project objectives.
- Capabilities of the applicant and participants to comprehensively address all aspects of the proposed project or reasonableness of the plan to obtain qualified suppliers, subcontractors, or consultants.
- Ability of the applicant to identify existing staff capabilities or hire new staff to receive weatherization training.
- Level of commitment of the applicant and each participating organization as evidenced by the level of involvement, contributions, and cost sharing (if proposed) identified in the Tribal Council Resolutions, declarations, and letters of commitment.
- Level of commitment of the Tribe to provide continued employment to those to be trained under the proposed project.
- Reasonableness of any business agreements between each of the parties to implement the project.

Criterion 4: Project Activities and Schedule **Weight: 20%**

- Clarity of the project objectives.
- Adequacy of the approach to implement the project.
- Clarity and completeness of the description of each activity necessary to complete the project and reasonableness of the milestones.
- Likelihood of achieving project objectives through realistic milestones and logical task structure.

2. Other Selection Factors

The selection official may consider the following program policy factor in the selection process:

- Geographic diversity of selected projects

B. REVIEW AND SELECTION PROCESS.

- 1. Merit Review.** Applications that pass the initial review will be subjected to a merit review in accordance with the guidance provided in the "Department of Energy Merit Review Guide for Financial Assistance and Unsolicited Proposals." This guide is at <http://www.management.energy.gov/documents/meritrev.pdf>.
- 2. Selection.**
The Selection Official will consider the merit review recommendations, program policy factors, and the amount of funds available in making selections for negotiation of award.
- 3. Discussions and Award.** The Government may enter into discussions with a selected applicant for any reason deemed necessary, including, but not limited to: (1) the budget is not appropriate or reasonable for the requirement; (2) only a portion of the application is selected for award; (3) the Government needs additional information to determine that the recipient is capable of complying with the requirements in 10 CFR part 600; and/or (4) special terms and conditions are required. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES.

DOE anticipates notifying applicants selected for negotiation of award by July 31, 2008 and making awards by September 30, 2008.

PART VI - AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES.

1. Notice of Selection.

DOE will notify applicants selected for negotiation of award. This notice of selection is not an authorization to begin performance. (See Part IV.G with respect to the allowability of pre-award costs.)

Organizations whose applications have not been selected will be advised, in writing, as promptly as possible why the application was not selected for negotiation of award.

2. Notice of Award.

A Notice of Financial Assistance Award issued by the Contracting Officer is the authorizing award document. It normally includes, either as an attachment or by reference: 1. Special Terms and Conditions; 2. Applicable program regulations, if any; 3. Application as approved by DOE/NNSA.; 4. DOE assistance regulations at 10 CFR Part 600, or, for Federal Demonstration Partnership (FDP) institutions, the FDP terms and conditions; 5. National Policy Assurances To Be Incorporated As Award Terms; 6. Budget Summary; 7. Federal Assistance Reporting Checklist, which identifies the reporting requirements; and 8. Statement of Project Objectives.

B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS.

1. Administrative Requirements.

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR Part 600 (See: <http://ecfr.gpoaccess.gov>).

2. Special Terms and Conditions and National Policy Requirements.

The DOE Special Terms and Conditions for Use in Most Grants and Cooperative Agreements are located at http://management.energy.gov/business_doe/business_forms.htm under Award Terms. The National Policy Assurances to be incorporated as Award Terms are located at http://management.energy.gov/business_doe/business_forms.htm under Award Terms.

3. Intellectual Property Provisions.

The standard DOE financial assistance intellectual property provisions applicable to the various types of recipients are located at http://www.gc.doe.gov/financial_assistance_awards.htm.

- C. REPORTING.** Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, as an attachment to the award agreement. The proposed Checklist for this program is at https://www.eere-pmc.energy.gov/procurenet/FinancialAssistance/Forms/DOE_Forms/DOEF4600_2.doc

PART VII - QUESTIONS

A. QUESTIONS

Questions regarding the content of the Announcement must be submitted through the “Submit Question” feature of the DOE Industry Interactive Procurement System (IIPS) at <http://e-center.doe.gov>. Locate the Announcement on IIPS and then click on the “Submit Question” button. Enter required information. You will receive an electronic notification that your question has been answered. DOE/NNSA will try to respond to a question within 5 business days, unless a similar question and answer have already been posted on the website. Potential applicants are encouraged to read all posted Q & As prior to posting a new question.

PART VIII - OTHER INFORMATION

A. MODIFICATIONS.

Notices of any modifications to this Announcement will be posted on the DOE Industry Interactive Procurement System (IIPS). You can receive an email when a modification or an announcement message is posted by joining the mailing list for this announcement through the link in IIPS.

B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE.

DOE reserves the right, without qualification, to reject any or all applications received in response to this Announcement and to select any application, in whole or in part, as a basis for negotiation and/or award.

C. COMMITMENT OF PUBLIC FUNDS.

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

D. PROPRIETARY APPLICATION INFORMATION.

Patentable ideas, trade secrets, proprietary or confidential commercial or financial information, disclosure of which may harm the applicant, should be included in an application only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the application which are to be restricted:

“The data contained in pages _____ of this Application have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes, provided that if this applicant receives an award as a result of or in connection with the submission of this Application, DOE shall have the right to use or disclose the data herein to the extent provided in the award. This restriction does not limit the government’s right to use or disclose data obtained without restriction from any source, including the applicant.”

To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

“The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation.”

E. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL.

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its application, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing an application. Non-Federal personnel conducting administrative activities must sign a non-disclosure agreement.

F. INTELLECTUAL PROPERTY DEVELOPED UNDER THIS PROGRAM.

Patent Rights. The Government will have certain statutory rights in an invention that is conceived or first actually reduced to practice under a DOE award. 42 U.S.C. 5908 provides that title to such inventions vests in the United States, except where 35 U.S.C. 202 provides otherwise for nonprofit organizations or small business firms. However, the Secretary of Energy may waive all or any part of the rights of the United States subject to certain conditions. (See “Notice of Right to Request Patent Waiver” in paragraph G below.)

Rights in Technical Data. Normally, the Government has unlimited rights in technical data created under a DOE agreement. Delivery or third party licensing of proprietary software or data developed solely at private expense will not normally be required except as specifically negotiated in a particular agreement to satisfy DOE’s own needs or to insure the commercialization of technology developed under a DOE agreement.

G. NOTICE OF RIGHT TO CONDUCT A REVIEW OF FINANCIAL CAPABILITY

DOE reserves the right to conduct an independent third party review of financial capability for applicants that are selected for negotiation of award (including personal credit information of principal(s) of a small business if there is insufficient information to determine financial capability of the organization).

H. NOTICE OF POTENTIAL DISCLOSURE UNDER FREEDOM OF INFORMATION ACT

Applicants should be advised that identifying information regarding all applicants, including applicant names and/or points of contact, may be subject to public disclosure under the Freedom of Information Act, whether or not such applicants are selected for negotiation of award.

I. EQUIPMENT

DOE will retain a financial interest in any equipment acquired with Federal funds or supplied by the recipient or project participants as cost share. When equipment with a fair market value of \$5,000 or more is no longer needed for the purpose originally authorized for the project, the recipient or project participant may elect to retain title only after compensating the DOE for the percentage of the fair market value that is attributed to the Federal participation in the project.

J. REAL PROPERTY

DOE will retain a financial interest in any real property acquired with Federal funds or supplied by the recipient or project participants as cost share. Real property will be used for the originally authorized purpose as long as needed for that purpose, and the recipient shall not dispose of or encumber its title or other interest. When real property is no longer needed for the originally authorized purpose, the recipient or project participant must request disposition instructions from DOE. The recipient may retain title only after compensating DOE for the percentage of the fair market value that is attributed to the Federal participation in the project. If the recipient does not retain title, DOE will provide instructions on selling the property and compensating DOE or DOE may retain and/or transfer title after compensating the recipient for the percentage of the fair market value that is attributed to its participation in the project.

K. NATIONAL ENVIRONMENTAL POLICY ACT (NEPA) REQUIREMENTS

If selected for award, an applicant will be restricted from taking any irreversible action using Federal or cost shared funds, which would have an adverse affect on the environment or limit the choice of reasonable alternatives prior to DOE reaching a final NEPA decision regarding the project. Irreversible actions include demolition of existing buildings, site clearing, ground breaking, construction, and/or detailed design. In order for DOE to make a NEPA decision, applicants selected for funding will be required to initiate the process by completing an Environmental Checklist electronically through the Golden Field Office NEPA Compliance Form EF-1 Submission website at <https://www.eere-pmc.energy.gov/NEPA.asp>. If your application is selected for negotiation of award, you will be notified on how to proceed.

APPENDIX A - DEFINITIONS

"Amendment" means a revision to a Funding Opportunity Announcement

"Applicant" means the legal entity or individual signing the application. This entity or individual may be one organization or a single entity representing a group of organizations (such as a Consortium) that has chosen to submit a single application in response to a Funding Opportunity Announcement.

"Application" means the documentation submitted in response to a Funding Opportunity Announcement. NOTE: Application is referred to as Proposal in IIPS.

"Authorized Organization Representative (AOR)" is the person with assigned privileges who is authorized to submit grant applications through Grants.gov on behalf of an organization. The privileges are assigned by the organization's E-Business Point of Contact designated in the CCR.

"Award" means the written documentation executed by a DOE Contracting Officer, after an applicant is selected, which contains the negotiated terms and conditions for providing financial assistance to the applicant. A financial assistance award may be either a grant or a cooperative agreement.

"Budget" means the cost expenditure plan submitted in the application, including both the DOE contribution and the applicant cost share.

"Business Contact" means a representative authorized to act on behalf of the applicant to negotiate the agreement, as all DOE official correspondence related to this announcement, or agreement if one was awarded, would be addressed to the business point of contact.

"Contracting Officer" means the DOE official authorized to execute Awards on behalf of DOE and who is responsible for the business management and non-program aspects of the financial assistance process.

"Cooperative Agreement" means a Financial Assistance instrument used by DOE to transfer money or property when the principal purpose of the transaction is to accomplish a public purpose of support or stimulation authorized by Federal statute, and Substantial Involvement (see definition below) is anticipated between DOE and the applicant during the performance of the contemplated activity.

"Cost Sharing" means the respective share of total project costs to be contributed by the applicant and by DOE. The percentage of applicant cost share is to be applied to the total project cost (i.e., the sum of applicant plus DOE cost shares) rather than to the DOE contribution alone.

"Central Contractor Registry (CCR)" is the primary database which collects, validates, stores and disseminates data in support of agency missions. Funding Opportunity Announcements which require application submission through Grants.gov require that the organization first be registered in the CCR at <http://www.grants.gov/CCRRegister>.

"Consortium" (plural consortia), for purposes of this announcement, means a group of organizations that have chosen to submit a single application.

“Data Universal Numbering System (DUNS) Number” is a unique nine-character identification number issued by Dun and Bradstreet (D&B). Organizations must have a DUNS number prior to registering in the CCR. Call 1-866-705-5711 to receive one free of charge.

http://www.grants.gov/applicants/request_duns_number.jsp

“E-Find” is a Grants.gov webpage where you can search for Federal Funding Opportunities in FedGrants. <http://www.grants.gov/search/searchHome.do>

“Financial Assistance” means the transfer of money or property to an applicant or participant to accomplish a public purpose of support authorized by Federal statute through grants or cooperative agreements and sub-awards. For DOE, it does not include direct loans, loan guarantees, price guarantees, purchase agreements, Cooperative Research and Development Agreements (CRADAs), or any other type of financial incentive instrument.

“Federally Funded Research and Development Center (FFRDC)” means a research laboratory as defined by Federal Acquisition Regulation 35.017.

“Funding Opportunity Announcement (FOA)” is a publicly available document by which a Federal agency makes known its intentions to award discretionary grants or cooperative agreements, usually as a result of competition for funds. Funding Opportunity Announcements may be known as program announcements, notices of funding availability, solicitations, or other names depending on the agency and type of program.

“Grant” means a financial assistance instrument used by DOE to transfer money or property when the principal purpose of the transaction is to accomplish a public purpose of support or stimulation authorized by Federal statute, and no Substantial Involvement is anticipated between DOE and the applicant during the performance of the contemplated activity.

“Grantee” as defined under the DOE Weatherization Assistance Program means the State or other entity named in the Notification of Grant Award as the recipient.

“Grants.gov” is the “storefront” web portal which allows organizations to electronically find and apply for competitive grant opportunities from all Federal grant-making agencies. Grants.gov is the single access point for over 900 grant programs offered by the 26 Federal grant-making agencies. <http://www.grants.gov>

“Indian Reservation” for purposes of this announcement and as defined under Section 503 of EPOA 2005, includes an Indian reservation in existence in any State or States as of the date of enactment of Title V of EPOA 2005; a public domain Indian allotment; and a dependent Indian community located within the borders of the United States, regardless of whether the community is on original or acquired territory of the community; or within or outside the boundaries of any State or States. Also, see Reservation.

“Indian Tribe” for purposes of this announcement, means any Indian tribe, band, nation or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. §§ 1601 et seq.], which are recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Also, see Tribe.

"Industry Interactive Procurement System (IIPS)" is DOE's Internet-based procurement system which allows access to DOE's business opportunities database, allows user registration and submittal of Applications: <http://e-center.doe.gov/>.

"Key Personnel" means the individuals who will have significant roles in planning and implementing the proposed project on the part of the applicant and participants, including FFRDCs.

"Organization" for purposes of this announcement and as defined in EAct 2005, means a partnership, joint venture, Limited Liability Company (LLC) or other unincorporated association or entity that is established to develop Indian energy resources.

"Participant" for purposes of this Funding Opportunity Announcement only, means any entity, except the applicant substantially involved in a consortium, or other business arrangement (including all parties to the Application at any tier), responding to the Funding Opportunity Announcement.

"Principal Investigator" refers to the technical point of contact/Project Manager for a specific project award.

"Project" means the set of activities described in an Application, State plan, or other document that is approved by DOE for Financial Assistance (whether such Financial Assistance represents all or only a portion of the support necessary to carry out those activities).

"Project Manager" refers to the technical point of contact or principal investigator for a specific project award.

"Project Period" means the total period of time indicated in an Award during which DOE expects to provide support contingent upon satisfactory progress and available funds. A project period may consist of one or more budget periods and may be extended by DOE.

"Proposal" is the term used in IIPS meaning the documentation submitted in response to a Funding Opportunity Announcement. Also see application.

"Recipient" means the organization, individual, or other entity that receives a Financial Assistance Award from DOE, is financially accountable for the use of any DOE funds or property provided for the performance of the Project, and is legally responsible for carrying out the terms and condition of the award.

"Reservation" for purposes of this announcement and as defined under Section 503 of EAct 2005, includes an Indian reservation in existence in any State or States as of the date of enactment of Title V of EAct 2005; a public domain Indian allotment; and a dependent Indian community located within the borders of the United States, regardless of whether the community is on original or acquired territory of the community; or within or outside the boundaries of any State or States. Also, see Indian Reservation.

"Selection" means the determination by the DOE Selection Official that negotiations take place for certain Projects with the intent of awarding a Financial Assistance instrument.

"Selection Official" means the DOE official designated to select applications for negotiation toward award under a subject Funding Opportunity Announcement.

"Subgrantee" as defined under the DOE Weatherization Assistance Program means an entity managing a weatherization project which receives a grant of funds awarded under the DOE Weatherization Assistance Program from a Grantee.

"Substantial Involvement" means involvement on the part of the Government. DOE's involvement may include shared responsibility for the performance of the project; providing technical assistance or guidance which the applicant is to follow; and the right to intervene in the conduct or performance of the project. Such involvement will be negotiated with each applicant prior to signing any agreement.

"Technical Contact" means a representative authorized to act as project manager on behalf of the applicant and would be the prime point of contact for DOE's Project Officer during project performance, if an agreement were to be awarded.

"Total Project Cost" means all the funds to complete the effort proposed by the applicant, including DOE funds (including direct funding of any FFRDC) plus all other funds that will be committed by the applicant as cost sharing.

"Tribe" for purposes of this announcement, means any Indian tribe, band, nation or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. §§ 1601 et seq.], which are recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Also, see Indian Tribe.

"Tribal Consortium" (plural consortia), for purposes of this Funding Opportunity Announcement, means a group of organizations, at least one of which is an Indian Tribe, that have chosen to submit a single application, provided the application is submitted by a single Tribe representing the consortium.

"Tribal Energy Resource Development Organization" for purposes of this Funding Opportunity Announcement only, means an organization of two or more entities, at least one of which is an Indian tribe as defined above, that has the written consent of the governing bodies of all Indian Tribes participating in the organization to apply for a grant or loan, or other assistance under 25 U.S.C. § 3502. See Organization.

"Tribal Land" for purposes of this announcement, is defined as any land located within the boundaries of an Indian Reservation, pueblo, or rancharia; any land not located within boundaries of an Indian reservation, pueblo, or rancharia, the title to which is held in trust by the United States for the benefit of an Indian tribe or an individual Indian; by an Indian tribe or an individual Indian, subject to restriction against alienation under laws of the United States; or by a dependent Indian community; land that is owned by an Indian tribe and was conveyed by the United States to a Native Corporation pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. § 1601 et seq.), or that was conveyed by the United States to a Native Corporation in exchange for such land; and lands held in fee simple (purchased or owned) or under a Federal land lease.

APPENDIX B – PERSONALLY IDENTIFIABLE INFORMATION

In responding to this Announcement, Applicants must ensure that Protected Personally Identifiable Information (PII) is not included in the following documents: Project Abstract, Project Narrative, Biographical Sketches, Budget or Budget Justification. These documents will be used by the Merit Review Committee in the review process to evaluate each application. PII is defined by the Office of Management and Budget (OMB) and DOE as:

Any information about an individual maintained by an agency, including but not limited to, education, financial transactions, medical history, and criminal or employment history and information that can be used to distinguish or trace an individual's identity, such as their name, social security number, date and place of birth, mother's maiden name, biometric records, etc., including any other personal information that is linked or linkable to an individual.

This definition of PII can be further defined as: (1) Public PII and (2) Protected PII.

1. **Public PII:** PII found in public sources such as telephone books, public websites, business cards, university listing, etc. Public PII includes first and last name, address, work telephone number, email address, home telephone number, and general education credentials.
2. **Protected PII:** PII that requires enhanced protection. This information includes data that if compromised could cause harm to an individual such as identity theft.

Listed below are examples of Protected PII that Applicants must not include in the files listed above to be evaluated by the Merit Review Committee.

- Social Security Numbers in any form
- Place of Birth associated with an individual
- Date of Birth associated with an individual
- Mother's maiden name associated with an individual
- Biometric record associated with an individual
- Fingerprint
- Iris scan
- DNA
- Medical history information associated with an individual
- Medical conditions, including history of disease
- Metric information, e.g. weight, height, blood pressure
- Criminal history associated with an individual
- Employment history and other employment information associated with an individual
- Ratings
- Disciplinary actions
- Performance elements and standards (or work expectations) are PII when they are so intertwined with performance appraisals that their disclosure would reveal an individual's performance appraisal
- Financial information associated with an individual
- Credit card numbers
- Bank account numbers
- Security clearance history or related information (not including actual clearances held)

Listed below are examples of Public PII that Applicants may include in the files listed above to be evaluated by the Merit Review Committee:

- Phone numbers (work, home, cell)
- Street addresses (work and personal)
- Email addresses (work and personal)
- Digital pictures
- Employment information that is not PII even when associated with a name
- Resumes, unless they include a Social Security Number
- Present and past position titles and occupational series
- Present and past grades
- Present and past annual salary rates (including performance awards or bonuses, incentive awards, merit pay amount, Meritorious or Distinguished Executive Ranks, and allowances and differentials)
- Present and past duty stations and organization of assignment (includes room and phone numbers, organization designations, work email address, or other identifying information regarding buildings, room numbers, or places of employment)
- Position descriptions, identification of job elements, and those performance standards (but not actual performance appraisals) that the release of which would not interfere with law enforcement programs or severely inhibit agency effectiveness
- Security clearances held
- Written biographies (e.g. to be used in a program describing a speaker)
- Academic credentials
- Schools attended
- Major or area of study
- Personal information stored by individuals about themselves on their assigned workstation or laptop unless it contains a Social Security Number

APPENDIX C – COST SHARE INFORMATION

The requirement for cost sharing included in Funding Opportunity Announcements (FOA) issued competitively by the Department of Energy (DOE) is either statutory, programmatic, or both. Certain federal statutes require a minimum cost share requirement, by either type of activities funded or by Program. This is known as statutory cost share. The Program may also, at its discretion, require a greater level of cost share than the statutory minimum, or require cost share when there is no minimum requirement, as it determines appropriate. This is called programmatic cost share.

Research and development (R&D) activities (other than R&D activities related to basic science) require Recipients (those receiving the financial assistance awards from DOE) to cost share at a minimum of 20% of total project costs. Demonstration and Deployment activities require Recipients to cost share at a minimum of 50% of total project costs. These statutory requirements are prescribed in Section 988 of the Energy Policy Act (EPA) of 2005. Any waiver of this requirement must be approved by the Secretary of Energy.

When responding to a DOE FOA, an Applicant will have the opportunity to ask questions at the DOE IIPS website (<https://e-center.doe.gov/>). Specific questions as to the acceptability and allowability of intended cost share for a proposed project in response to a FOA may be posed at this site during the time period when the FOA is open for questions.

The regulations that govern Federal Financial Assistance for DOE are found at 10 Code of Federal Regulations (CFR) Part 600. Specifically, Sections 600.123, 600.224, and 600.313, entitled, "Cost sharing and matching" provides guidance on acceptable contributions toward cost share requirements, as well as guidance on the valuation and documentation of contributions. Below is a summary of these requirements as contained in the CFR. The full CFR section may be viewed using the following link: (<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>).

Acceptable contributions, including cash contributions and third party contributions, must be accepted as part of the recipient's cost sharing or matching if such contributions meet all of the following criteria:

- They are verifiable from the recipient's records.
- They are not included as contributions for any other federally-assisted project or program.
- They are necessary and reasonable for proper and efficient accomplishment of project or program objectives.
- They are allowable under 10 CFR 600.127, 600.222, or 600.317.
- They are not paid by the Federal Government under another award unless authorized by Federal statute to be used for cost sharing or matching.
- They are provided for in the approved budget.
- They conform to other provisions of this part, as applicable.

General examples of allowable cost share are shown below. It is up to the applicant to ensure that the cost share proposed in response to this FOA is allowable under 10 CFR 600.123, 600.224, and 600.313.

- Cash provided directly by the recipient, or a sub-recipient;
- State or local government funds provided to support the proposed project, which were not provided to the State by the federal Government;
- Employees' salaries included in the budget, if paid by the employer (recipient or sub-recipient), and not reimbursed by the federal funding of the project;
- Rental value of buildings or equipment necessary to the success of the proposed project and the value of which is included in the budget for the project;
- Monetary value of project activities documented in the Statement of Project Objectives to be performed by a third party which are included in the project budget and will not be reimbursed by federal funds

APPENDIX D – INDUSTRY INTERACTIVE PROCUREMENT SYSTEM (IIPS)

FOR HELP, CONTACT THE IIPS HELP DESK AT 1-800-683-0751 (SELECT OPTION 1)
OR AT IIPS_HELPDESK@E-CENTER.DOE.GOV

1. Locate Announcement/Amendments

- Go to the IIPS website at <http://e-center.doe.gov>
- Click on “Browse Opportunities” and scroll down to view DOE Financial Assistance Opportunities (Viewing “Opportunities by Contracting Activity” is recommended.) Click on the “Browse Financial Asst.” button **OR** Click on the “Login” button if you are already registered. Click on the radio button that says “IIPS - Financial Assistance” and click on the “Login” button again. Enter User Name and Password. Click on any of the options for viewing the Funding Opportunity Announcement, whichever is easiest for you to locate the Announcement. (Viewing “Opportunities by Contracting Activity” is recommended.)
- Click on folder (or blue arrow depending on your server) next to the “Golden Field Office”
- Locate and click on the Announcement number to view the “Financial Assistance Opportunity.”
- Scroll to the bottom of the page, where you will find the attached announcement, under “Full Announcement & Other Files.”

2. View Announcement Messages/Amendments

- Click on the folder next to the Announcement number to view amendments and announcement messages.

3. IIPS Registration

An applicant only has to register once on IIPS. This registration is permanent and is used for all IIPS submissions. If the applicant has already registered, it is unnecessary to register again. If an applicant has not previously registered, it is encouraged to register in IIPS at least 14 days prior to the Announcement closing date. To register:

- Go to the IIPS website at <http://e-center.doe.gov>.
- Click on the “Register” button.
- Click on the radio button next to, “Check this box for IIPS” and then click on the “Proceed to Form” button.
- Read the “Notice of Disclaimer” and click on “I Accept” if you are in agreement. (Clicking on “I Decline” will return you to the main registration page.)
- Complete the Registration Form. Also print this page, which contains your password, for future reference.
- Click on “Submit Registration.” Applicants will receive a confirmation of receipt of registration.
- Applicants will also receive an email confirming successful registration. If an applicant does not receive this email confirmation within one business day, contact the IIPS Help Desk.

4. Join Mailing List

It is highly recommended that applicants join the mailing list, to receive announcement messages.

- To do so, follow the direction in item 1. Locate Announcement, and then click on the “Join Mailing List” button, enter the required information, and submit.

- After an applicant has joined the mailing list, the applicant will receive an email each time an announcement message is posted.
- However, the applicant should visit the announcement page periodically to ensure receipt of the latest information.

5. Electronic Submission

Applications must be submitted in accordance with the instructions in the announcement.

6. Electronic Signature

Applications submitted through IIPS constitute submission of electronically signed applications. The name of the authorized organizational representative (i.e., the administrative official, who, on behalf of the proposing organization, is authorized to commit the applicant to the conduct of a project) must be typed in the signature block on the form to be accepted as an electronic signature. A scanned copy of the signed documents is not required.

7. Submit Application

Applicants are strongly encouraged to submit applications at least 48 hours prior to the deadline for submissions to ensure timely submission and allow time to resolve any possible transmission problems. To submit an application, follow these steps:

Step 1 – Prepare Application

All required files necessary for a complete application package should be prepared in accordance with the instructions in the announcement prior to starting the transmission process. Files should be completed, organized and named as instructed in Announcement part entitled “Application Content” before proceeding to submit an application. Applicants should submit the entire package in one IIPS session (do not logoff before all the files are attached).

Step 2 – Create Application

- Enter the IIPS website at <http://e-center.doe.gov>.
- Click on the “Login” button.
- Click on the radio button that says, “IIPS - Financial Assistance” and click on “Login” button again.
- Enter your user name (as shown on your registration email confirmation) and password. Note: These are case sensitive.
- Click on any of the options for viewing the Announcement (Opportunity), whichever is easiest for you to locate the announcement. (Viewing “Opportunities by Contracting Activity” is recommended.)
- Click on the folder (or blue arrow) next to the Golden Field Office.
- Locate the Announcement for which you are applying and click on it.
- Click on the “Create Application” button and complete the information on the application Cover Page. In order for DOE to accurately identify each application, applicants must enter a unique project title in the “Subject” line.
- Click on “Continue”.

Step 3 – Attach Application

- Click on “Attach Application”.
- Scroll to the bottom of the page and attach each file in the corresponding block on the page, as outlined in the announcement, and then click on “Submit.” Up to 10 files may

be attached. Keep file sizes to a minimum to ensure a shorter transmission time. Be patient while your files upload.

- IIPS will provide a "Submission Confirmation" with a tracking number, please print this page for your records

Once the applicant begins the "Create Application" process, there will be a record created in IIPS. Therefore, applicants must verify that duplicate applications were not inadvertently created in IIPS. If a duplicate was created, follow the steps outlined in Appendix B, Item 9.a. **In the event that two or more applications are received from the same applicant with the same unique project title, only the application with the LATEST transmission start time will be considered for review. The application must be received on time.**

8. Multiple Applications for Unique Projects

An applicant may submit more than one application under the same announcement; however, each application must be uniquely titled. For each application, the applicant is required to follow the instructions in "Submit Application." Each application must be complete and shall not rely upon another application as submission of the required documents.

9. Deletion of Applications

I. To delete an application (including all files) from IIPS:

To delete or withdraw an application or an application file, contact the IIPS Help Desk requesting the application to be removed. The following information is required when requesting to have an application deleted:

- A. Registered User's Name as well as User Name of requestor (if different)
- B. Email address of the registered user as well as requester (if different)
- C. Company/University Name
- D. Complete Announcement Number
- E. Complete Proposal Tracking Number
- F. Date Submitted (optional)

In addition, if an application is deleted after the closing date, inform the Contract Specialist shown on the announcement, via email.

II. To submit a revised application:

After the Help Desk has removed the requested application, follow the steps in "Submit Application" to submit a revised application (i.e. cover page and all required files).

III. To submit a revised file:

After the Help Desk has removed the requested file from your application:

- Locate the announcement.
- Click on the yellow folder next to the announcement number.
- Click on the cover page of your submission, click on the "Attach Application" link, and attach the revised file. Files received past the due date will not be reviewed.

10. IIPS Questions

View the "IIPS Frequently Asked Questions" by clicking on the "Help" button and scrolling to the bottom of the page. You may also contact the IIPS Help Desk at 1-800-683-0751 (select Option 1) or at IIPS_HelpDesk@e-center.doe.gov for questions regarding the operation of IIPS.

11. Submit a Question on the Content of the Announcement

"Locate Announcement", then click on the "Submit Question" button and enter required information. You will receive an electronic notification when your question has been answered. DOE EERE will respond to a question within 5 business days, unless a similar question and answer have already been posted.

12. View Questions and Answers

"Locate Announcement", then click on the "View Questions" button. If no questions have been submitted and answered, a statement to that effect will appear. Potential applicants should periodically check the IIPS website for new questions and answers.

IIPS Resources A-Z IIPS User Guide—anything and everything the applicant would ever want to know about IIPS. This user guide can be found at: <http://e-center.doe.gov/doebiz.nsf/Help?OpenForm> by scrolling to the bottom of the page.

APPENDIX E – TRIBAL WEATHERIZATION HANDBOOK



TRIBAL WEATHERIZATION HANDBOOK



Tribal Weatherization Handbook 2009

Preface

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List of Resources

U.S. Department of Energy Weatherization Assistance Program Fact Sheet

Note: Please contact Carole Gates, DOE Golden Field Office, with any updates or corrections to this handbook. carole.gates@go.doe.gov



PREFACE

The concept for the Weatherization Tribal Handbook was initially developed by Energy Out West (EOW), a consortium of state and local weatherization providers in the western United States. DOE has since added information from a number of other States who have working relationships with Tribes for weatherization services. The purpose of the Handbook is not only to provide examples of successful Tribal weatherization projects, but to provide the resources, skills, and encouragement to build bridges and form partnerships between Tribes, state and local WAP providers, and other housing resources to increase energy efficient housing services to low-income Native Americans.

EOW and the Department of Energy has organized a number of Tribal Roundtables at regional conferences and provided weatherization training to Tribes interested in pursuing weatherization services.

This project is funded by the U.S. Department of Energy, Weatherization Assistance Program.

For more information on Energy Out West, please contact:

Mimi Burbage, President
Energy Out West
c/o Alaska Housing Finance Corp.
P.O. Box 101020
Anchorage, AK 99504-1020
Phone: (907) 330-8192
Email: mburbage@ahfc.state.ak.us

For information on projects included in this handbook, please contact individuals listed under each project.



RESOURCES

Weatherization Assistance Program Technical Assistance Center (WAPTAC)

www.waptac.org

U.S. Department of Energy, Energy Efficiency and Renewable Energy Programs

www.eere.energy.gov

U.S. Department of Energy, Tribal Energy Programs

www.eere.energy/wip/tribal.html



ALASKA
TRIBAL WEATHERIZATION PROJECTS #1

Tribe: See attached list (villages in Western, Northern Alaska)

Primary Tribal Contact: IRA Council primarily

State and/or Agency Providing Assistance: Alaska Housing Finance Corporation (AHFC), Office of Native American Programs (ONAP), USDA Rural Development (RD), Housing and Urban Development (HUD)

Funding (direct, indirect, leverage):

DOE: (approx. annual average)	\$ <u>300,000</u>
LIHEAP: (approx annual average)	\$ <u>175,000</u>
STATE: (approx annual average)	\$ <u>750,000</u>
UTILITIES:	\$ <u>-0-</u>
TRIBAL LEVERAGE:	\$ <u>see narrative</u>
OTHER	\$ <u>see narrative</u>
TOTAL (approximate annual average)	\$ <u>1,225,000</u>

Number of Homes Weatherized/ People Served: annual average 77 homes, 287 people

Date of Project: 1999 to 2005

Ongoing YES

Project Profile:

RurAL CAP coordinates its effort with the local Tribal Council in every rural village as it provides weatherization services. In some places we have been able to achieve an active partnership between the local housing improvement program and weatherization project. Since weatherization guidelines restrict the type of measures that can be addressed on a house, working with tribal programs can help to get the overall house fixed.

Weatherization deals primarily with improving energy efficiency—this includes additional insulation as needed to floors, walls and attics. The program replaces substandard windows and doors with energy efficient models. It also improves indoor air quality with a combination of stopping unwanted air infiltration, but at the same time providing for adequate ventilation to avoid moisture problems. Incidental repairs to protect the energy efficiency measures as well as installation of smoke detectors and CO monitors are also included when necessary.

In a village with an active housing improvement program, RurAL CAP will at the very least share the scope of work showing the measures that will be taken care of with weatherization so the local project can address other issues—avoiding wasted effort of both programs planning the same work. We also try to point out any problems noted with a house during our assessment that won't be address with weatherization. Among the major problems the local project can address through the NAHASDA funding are electrical upgrades, deteriorated floor coverings, painting (interior as well as exterior to preserve and increase the longevity of the materials).



We also try to provide technical support to the villages, particularly when working there. Some of this is accomplished by the on-the job training of the local crews in specialized weatherization techniques—knowledge that will stay in the village after we move. We also try to make our field supervisors available as time permits to help the local programs with assessments, training, explanations—whatever will help them increase productivity and performance.

We also participate in trainings put on by HUD, USDA and AHFC that are aimed primarily at the village leaders and workers. We are more than willing to help with technical advice regarding materials, techniques, possible funding, etc. when requested by a village.

RurAL CAP has been able to combine weatherization with these local programs in some joint ventures:

Aniak: RurAL CAP worked in Aniak in 2000-2 in the early years of tribes getting NAHASDA funding. Because of inefficiencies between the requirements of the programs, we basically ran the weatherization project and the Kuskokwim Native Association followed with additional improvements to some of the houses. Our project supervisors coordinated the work with KNA and helped them with suggestions and some assessment work. Because of limited funding and more costly measures, the local program had to spread its limited resources over several years to get all the homes fixed. The main obstacle for a joint program was that by combining the two, the NAHASDA project would have to pay Davis-Bacon wages for all work performed (and make up the difference between RurAL CAP wages and Davis-Bacon). It would have cost about \$3-5,000 per house for the additional labor burden.

Nome: This project in 2001-2 was similar to Aniak in that the programs had to be kept separate. But, RurAL CAP oversaw both portions, with our supervisors and local crew members performing the work. First, RurAL CAP went through the homes and did weatherization. Then, we followed up with the same crews on some of the houses (again limited funding) at the higher wage rates doing additional rehab work with a Sub Recipient Agreement with the Nome Eskimo Community (NEC). NEC has since hired its own supervisors and crews to continue the program.

Kwethluk (see separate project description): We have been working with the Native Village of Kwethluk since 2003, using a combination of Enhanced Weatherization, USDA 504 Senior Homeowner grants, USDA Housing Preservation Grants and AHFC Match funds for a successful joint project that has rehabilitated 24 homes. We are in the planning stages for another project in 2006. Average combined funding each year has been approximately \$200,000 between the various funding. RurAL CAP assessed each house; coordinated ordering and shipping of the materials (tracking the costs to the

various funding sources) then, provided on-site supervision for the local crews. The village provided NAHASDA funding for some of the materials and freight as well as all of the wages for local carpenter, helpers, laborers, electricians, etc.



Diomedes (see separate project description): RurAL CAP took the lead role back in about 2002) for a combined venture between the Native Village of Diomedes, Bering Straights Regional Housing Authority (NAHASDA TDHE for Diomedes), and Kawerak Inc. (the regional social service agency). AHFC and HUD were instrumental in helping to get this project on track. The village is located in the middle of the Bering Straights (between mainland Alaska and mainland Russia). Transportation to the island during the summer is by helicopter (there is no space for even a short airstrip) on the weekly mail flight—weather permitting, which is some of the worst in the world. All the programs had avoided tackling a project because of the logistical problems of getting materials as well as people to the site. It became apparent that only by combing the various programs into a single project would everyone be able to accomplish what was needed. It was the only way--address all the needs of the houses at the same time rather than piecemeal one project after another. In addition to the NAHASDA funding from the Housing Authority and Enhanced Weatherization from AHFC (RurAL CAP), the Native Village of Diomedes obtained an ICDBG to help cover most of the local crew labor costs.

Juneau: RurAL CAP has been operating the Weatherization Assistance Program in Juneau since 1991. During that time we have coordinated work on several homes owned by members of the local tribe with the Tlingit-Haida Regional Housing Authority. In some cases we have contacted them and in other cases they have contacted us regarding a house that is beyond the other's capabilities.

Other: RurAL CAP has been in discussion with other villages for joint venture type participation, but at this time none have developed to implementation (primarily because of lack of funding both for weatherization as well as NAHASDA).

Villages that have received weatherization in the past several years:

<u>Western Region</u>					
Village	Homes	People	Wx Funding	Other Funding	Source
1999	67	262	\$ 769,431	\$ 130,226	USDA HPG, 504, AHFC
Match					
Tuntutuliak	44	194			
Sleetmute	16	47			
Emergency	7	21			
2000	27	88	\$ 622,657	\$ 87,500	USDA HPG, 504
Aniak	8	35			
Lime Village	6	20			
Stoney River	13	33			
2001	33	94	\$ 712,172	\$ 156,803	USDA, YKHC, Aniak
IRA					
Aniak	22	61		\$ 12,697	Aniak NAHASDA
Chuathbaluk	11	33			
2002	29	76	\$ 550,627		



Aniak	22	61			
Emergency	5	12			
2003	29	152	\$ 626,621	\$ 134,953	Healthy Homes
Hooper Bay	19	128		\$ 134,953	Healthy Homes
Crooked Crk	10	24			
2004	48	222	\$ 423,403	\$ 334,937	USDA HPG, 504, AHFC
Match					
Hooper Bay	31	166			
Kwethluk	12	34		\$ 159,937	Kwethluk IRA Council
Emergency	5	22			
2005	44	213	\$ 585,686	\$ 254,696	USDA HPG, 504, AHFC
Match					
Hooper Bay	34	177		\$ 30,000	USDA 504
Kwethluk	7	19		\$ 109,696	Kwethluk IRA Council
Emergency	3	17			

Northern Region

Village	Homes	People	Wx Funding	Other Funding	Source
1999	38	121	\$ 768,410	\$ 58,024	USDA 504
Golovin	7	16			
Nome	1	1		\$ 6,884	Nome Eskimo
Community					
Solomon	1	1			
Elim	24	78			
Emergency	6	25			
2000	29	108	\$ 611,879	\$ 94,798	USDA 504
Nome	8	19		\$ 89,127	Nome Eskimo
Community					
Koyuk	18	77			
Emergency	3	12			
2001	37	99	\$ 675,579	\$ 30,000	USDA 504
Nome	14	35			
Brevig Mission	19	58			
Emergency	4	6			
2002	46	142	\$ 555,567	\$ 15,000	USDA 504
Teller	17	42			
Nome	26	92			
Emergency	3	8			
2003	27	95	\$ 752,028	\$ 249,474	NAHASDA, RHED, ICDBG
Teller	13	43			
Diomedes	14	52		\$ 249,474	NAHASDA, RHED, ICDBG
2004	39	141	\$ 698,663	\$ 826,903	NAHASDA, RHED, ICDBG
Diomedes	17	54		\$ 826,903	NAHASDA, RHED, ICDBG
Point Hope	11	35			



Buckland	6	32	
Emergency	5	20	
2005	38	127	\$ 594,862
Noorvik	10	32	
Point Hope	15	54	
Nome	1	3	
Savoonga	3	5	
Emergency	9	33	

Contact Information:

Rural Alaska Community Action Program, Inc.
P.O. Box 200908
Anchorage, AK 99520
(907) 279-2511





ALASKA
TRIBAL WEATHERIZATION PROJECTS #2

Tribe: Native Village of Diomedede

Primary Tribal Contact: Etta Menadelook, Tribal Administrator

State and/or Agency Providing Assistance: Alaska Housing Finance Corporation, Housing and Urban Development, Office of Native American Programs, Denali Commission

Funding (direct, indirect, leverage):

Enhanced Weatherization (DOE, LIHEAP, AHFC):	\$307,378
NAHASDA (ONAP, Bering Straights Regional Housing)	\$500,000
HUD (Rural Housing Economic Development Grant)	\$400,000
ICDBG (ONAP, Native Village of Diomedede)	\$500,000
OTHER (Denali Comm. Apprenticeship training, Kawerak, Inc.)	\$ 60,000
TOTAL	\$1,590,855

Number of Homes Weatherized/ People Served: 31 homes; 54 people

Date of Project: 2003 and 2004

Project Profile:

RurAL CAP coordinated the multi-funded project. In addition to the Enhanced Weatherization funding (a combination of DOE, LIHEAP and state AHFC), RurAL CAP was able to get a HUD RHED grant, helped the Native Village of Diomedede write an ICDBG grant and coordinated rehab grant agreements between BSRHA and the individual homeowners that enabled use of NAHASDA .

The NAHASDA grants provided money to purchase and ship materials. The ICDBG provided money to pay the local work crews. Kawerak provided more than 250 hours of basic safety, carpentry and electrical training in the village to about 10 potential workers before the project started. EWX allowed RurAL CAP to oversee the project from start to finish, including logistical support, management, travel, as well as on-site supervision. The RHED filled in the gaps and also allowed four families that didn't meet the income requirements of the other programs to receive some minimal benefit.

There are no roads on Little Diomedede Island, only foot paths up and along the hillside. All materials had to be packed by hand from the beach where the barge landed to the individual houses. This was a labor intensive project that injected more than a half million dollars into the cash-poor local economy during the two year project. At least 10 village people were employed throughout the project.

In addition to regular weatherization measures—additional insulation, replacing doors and windows as needed, upgrading/repairing heating devices, air sealing and ventilation—the joint project provided major rehab to the homes. This included upgraded electrical systems, new floor tile, exterior steel siding and roofing, kitchen cabinets and painting.

Contact Information:

Rural Alaska Community Action Program, Inc.
P.O. Box 200908
Anchorage, AK 99520
(907) 279-2511



ALASKA
TRIBAL WEATHERIZATION PROJECTS #3

Tribe: Native Village of Kwethluk

Primary Tribal Contact: Max Angellan, Kwethluk Tribal Resident Council, Inc.

State and/or Agency Providing Assistance: Alaska Housing Finance Corporation,
Office of Native American Programs, USDA Rural Development

Funding (direct, indirect, leverage): (Total for 3 years of project)

Enhanced Weatherization (DOE, LIHEAP, AHFC):	\$ 80,000
NAHASDA (ONAP/KTRC, Inc.)	\$300,000+
USDA HPG and 504 Grants	\$190,000
AHFC (HPG Match Grant)	\$100,000
TOTAL	\$670,000

Number of Homes Weatherized/ People Served: 26 homes; 73 people

Date of Project: 2003, 2004 and 2005 (and ongoing)

Project Profile:

RurAL CAP coordinated the multi-funded project. In addition to the Enhanced Weatherization funding (a combination of DOE, LIHEAP and state AHFC), RurAL CAP was able to get a USDA Housing Preservation Grant and helped several elderly homeowners get USDA 504 Home Improvement Grants. The Native Village of Kwethluk through its housing entity KTRC, Inc. provided NAHASDA funds.

The NAHASDA grant provided money to purchase and ship some of the materials as well as most of the local crew labor. The HPG and 504 funds were used mostly for materials and shipping with some management and travel. EWX allowed RurAL CAP to oversee the project from start to finish, including logistical support, management, travel, as well as on-site supervision.

This project injected about \$100,000 into the cash-poor local economy during each year of the project in addition to the local administration wages. At least 10 village people were employed during each annual phase of the project.

In addition to regular weatherization measures—additional insulation, replacing doors and windows as needed, upgrading/repairing heating devices, air sealing and ventilation—the joint project provided major rehab to the homes. This included upgraded electrical systems, new floor tile, roofing, kitchen cabinets and painting as needed.

Contact Information:

Rural Alaska Community Action Program, Inc.
P.O. Box 200908
Anchorage, AK 99520
(907) 279-2511



ALASKA
TRIBAL WEATHERIZATION PROJECTS #4

Tribe: Enhanced Weatherization in Bristol Bay and Kodiak Area

Funding (direct, indirect, leverage):

DOE:	\$ <u>124,184</u>
LIHEAP:	\$ <u>77,346</u>
STATE:	\$ <u>32,442</u>
UTILITIES:	\$ <u>0</u>
TRIBAL LEVERAGE:	\$ <u>0</u>
OTHER (ORP)	\$ <u>111,522</u>
TOTAL	\$ <u>345,494</u>

Number of Homes Weatherized & People Served: 30 homes / 65 people

Start & End Dates of Project: This is ongoing. Every year weatherization services are offered to one village in the Bristol Bay / Aleutian Pribilof / Kodiak area. Often communities take more than one year to complete. Work for 2005 was done in Ouzinkie, with additional weatherization work done in Kodiak.

Project Profile:

Work coordination involved assessing for weatherization measures as well total house rehab needs including accessibility. We directed NAHASDA funds towards measures that Wx program couldn't approve or fund. We coordinated work whenever possible to be least disruption for occupants and most beneficial to house. We provide weatherization technical training for crews in regard to goals and approaches of weatherization program.

We have worked with Bristol Bay Housing Authority coordinating weatherization work with NAHASDA funds in Dillingham, Koliganek, and Ekwok. We worked with NAHASDA funds in collaboration with a local TDHE in Egegik and Igiugig. We coordinated with Kodiak Island Housing Authority using NAHASDA funds in Old Harbor and Ouzinkie. For 2006 we are coordinating with the Tribal Government of St. Paul Island to provide Enhanced Weatherization and USDA Housing Preservation funding to work with both NAHASDA and HUD block grant funding to provide a comprehensive rehab program for St. Paul Island.

Partners in the Project: See above.

Other Communities Weatherized in the Region Since 1990: Togiak, False Pass, Nondalton, New Stuyahok, Kokhanok, Aleknagik, Iliamna, Newhalen, Dillingham, Twin Hills, Manokotak, South Naknek, Levelock, Egegik, Old Harbor, and Igiugig.

Contact Information:

Pat Shiflea and Dan Berube
Alaska Community Development Corporation
907-746-5680



ALASKA
TRIBAL WEATHERIZATION PROJECTS #5

Tribe: Tlingit Haida Regional Housing Corporation

Primary Tribal Contact: Craig Moore

Funding (direct, indirect, leverage):

DOE:	\$ 39,000
LIHEAP:	\$ 7,500
STATE:	\$ 28,500
UTILITIES:	\$ 0
TRIBAL LEVERAGE:	\$ 107,000
OTHER (ORP)	\$ 207,219
TOTAL	\$ 389,219

Number of Homes Weatherized & People Served: 15 homes / 38 people

Start & End Dates of Project: 2004 to current Wrangell and Petersburg

Ongoing: This will be ongoing projects rotating through Southeast Communities
In partnership with Tlingit Haida Regional Housing Corp.

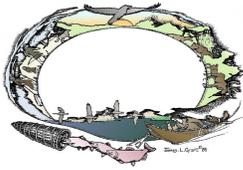
Project Profile: Alaska Community Development Corp is currently working with Tlingit Haida Regional Housing (THRHA) in partnership. THRHA is acting as a subcontractor to AkCDC, a subgrantee of AHFC. Through training and capacity building THRHA is implementing weatherization measures in the community of Wrangell, AK. This is often in conjunction with NAHASDA, Senior Access and ORP funds. They are working on both native and non native houses. So far this year they have completed approx. 15 weatherization jobs. They are learning basic building science, blower door testing, airsealing and ductsealing as well as health and safety issues that are cornerstones of the weatherization program. There has been both classroom and hands on training. This is the first time a partnership like this has been established with a wx subgrantee and a housing authority in Alaska. It has been a win-win situation with AkCDC extending their capacity to serve local communities in the Southeast region of Alaska, as well as build skills and create more opportunities for the local workers.

Partners in the Project:

Alaska Community Development Corporation
Tlingit Haida Regional housing Corporation
Department of Housing and Urban Development
Alaska Housing Finance Corporation
USDA-Rural Development

Contact Information:

Pat Shiflea Dan Berube, AkCDC 907-746-5680



ALASKA TRIBAL WEATHERIZATION PROJECTS #6

Contact: Wes Alexander, Housing Field Coordinator, ext. 3483
Kimberly Carlo, Housing Technician, ext. 3419
Tanana Chiefs Conference
122 1st Ave. Suite 600,
Fairbanks, AK 99701
Ph: (907) 452-8251, Fax: (907) 459-3944

Background Information: Tanana Chiefs Conference (TCC), is the traditional tribal consortium of the 42 villages of Interior Alaska, is based on a belief in tribal self-determination and the need for regional Native unity.

Tanana Chiefs Conference Weatherization program is funded by the State of Alaska Housing Finance Corporation. TCC's Interior Housing mission is making and providing healthier, safer and more energy efficient homes in the villages throughout the TCC region. We want people's homes to be a place for family, fun and bright futures. Our services are available to all villages in the TCC Region.

Our Weatherization Project services one Village at a time. We usually stay in the community for about 2 years. We started working in Tanana, April of 2005. Tanana is located 138 air miles south of Fairbanks, the population of Tanana is a little over 300 residents. Although most of the community is Tanana Athabascan, about 17% of the population is non-native. There are about 120 households in Tanana, like most Native Communities housing is limited.

This year in Tanana we are required to weatherize at least 19 homes. A few of the homes had extensive work to the floors. We hire a local crew of 3 or 4 people who do all the weatherization work. Wes Alexander also makes regular trips to the village and works with the crew about one week out of each month.

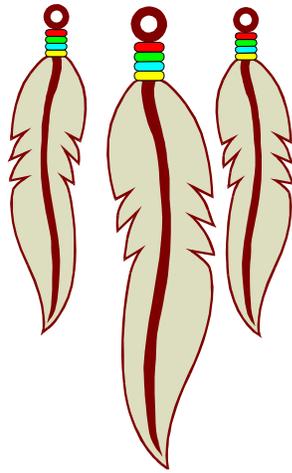
Partnerships and Resources: We work closely with the Tanana Tribal Council with their NAHASDA Housing Program along with their Tribal Member Services Department. We keep them informed on any major changes and regular updates on the progress of the work being done.

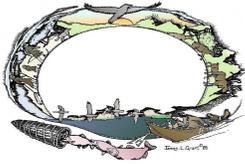
We also worked with the Interior Regional Housing Authority (IRHA) who receives their funding from HUD and NAHASDA.

The Energy Assistance Weatherization Program is another program we work closely with. They get LIHEAP funding and we're able to help out people with weatherization building materials or new heating systems, either an oil stove or wood stoves.



Challenges and Barriers: Since Tanana is not located on a road system the only way to get there is by airplane, boat, or snow machine. So it's expensive and timely to get our materials to the village. The weather is also a challenge; we have a limited constructions season. Although our crew works all year long, work slows tremendously in the winter months. Temperatures can get as cold as -60 degrees and stay that cold for days at a time. Our crews typically work in temperatures as cold as 40 – 50 below, with winds blowing up 40 mph and snow storms, accumulating about a foot snow.





ALASKA
TRIBAL WEATHERIZATION PROJECTS #7

Tribe: Galena – Louden Tribal Council
Primary Tribal Contact: Wes Alexander
Funding (direct, indirect, leverage):

DOE:	\$ <u>103,767</u>
LIHEAP:	\$ <u>52,722.44</u>
STATE:	\$ <u>197,707</u>
SEP:	\$ <u>2,966</u>
TOTAL	\$ <u>357,162.44</u>

Number of Homes Weatherized & People Served: 18
Start & End Dates of Project: April 2004 – March 2005 Ongoing ___

Project Profile:

We were able to install additional insulation, ventilation, triple pane windows, and new energy efficient heating system in virtually all of the homes that we worked on. We also installed door knobs for the handicap and energy efficient lighting.

One project that stuck out in particular was an A-frame home occupied by a single mother with three children. Before we had done any work the utility bills were outrageous, the home felt cold and drafty, the heating system was unsafe and it was difficult for one of the children who is handicapped to get in and out of the house by himself.

Our three-man crew went in and air sealed the entire house, added insulation, fire resistant sheet rock, smoke alarms, an energy efficient heating system that was safe and lever type door knobs to provide easier access. During the construction process, the single mom became so inspired she decided to participate and began working along side the crew painting her walls.

After we were done I returned a few months later to find that her utility bills were reduced by about 50%, her home was no longer cold and drafty and her disabled child could get in and out of the house with no problems whatsoever. It was a good feeling for the crew and I to see her so happy and appreciative for the work that was done to her home.

Contact Information:

Wes Alexander, Housing Field Coordinator, ext. 3483
Kimberly Carlo, Housing Technician, ext. 3419
Tanana Chiefs Conference
122 1st Ave. Suite 600,
Fairbanks, AK 99701
Ph: (907) 452-8251, Fax: (907) 459-3944

ALASKA



**TRIBAL WEATHERIZATION PROJECTS
STATEWIDE NATIVE HOUSING AUTHORITIES**

Tribe: 15 Regional Housing Authority Entities

Primary Tribal Contact:

Funding (direct, indirect, leverage):

DOE:	\$ <u>0</u>
LIHEAP:	\$ <u>0</u>
STATE:	\$ <u>75,000,000</u>
SEP:	
TOTAL	\$ <u>75,000,000</u>

Number of Homes Weatherized & People To Be Served: 3000

Start & End Dates of Project: July 2008 – ??

Ongoing Yes

Project Profile:

Using the same Operations Manual as the LIWAP, with some exception, the Native Housing Authorities are primarily applying funds to their own housing stock or complexes that are in their portfolios (wither under management or ownership). When working in remote communities, the Housing Authorities also try to serve homes within the community that are owned by other residents. Since territories overlap with the five DOE weatherization agencies, Memorandums of Agreements were signed between two entities to define which agency would be working where. Often the Housing Authorities are using their own NAHASDA funds to combine rehab with weatherization.

Contact Information:

Mimi Burbage
AHFC Program Managers
907-330-8192
mburbage@ahfc.state.ak.us

CALIFORNIA



TRIBAL WEATHERIZATION PROJECTS #1

Tribe: American Indian Weatherization

Primary Tribal Contact:

Funding (direct, indirect, leverage):

DOE:	\$ _____
LIHEAP:	\$ \$100,000 _____
STATE:	\$ _____
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ _____

Number of Homes Weatherized & People Served: 61

Start & End Dates of Project: 1999-2000

Project Profile:

The Northern California Indian Development Council (NCIDC) received a \$100,000 **CAL LIHEAP** grant from the State General Fund to weatherize homes of American Indian homes in Humboldt and Del Norte counties. The California State Department of Community Services and Development (CSD) administered the grant. Redwood Community Action Agency was hired by NCIDC to provide LIHEAP/DOE protocols' based weatherization, safety testing and home repairs services for American Indian households. Priority for service was given to elders, disabled households and families with small children.

RCAA successfully weatherized homes in Humboldt and Del Norte counties.

Contact Information:

Val Martinez (707) 444-3831, x-206

Jeannette Nelson, CSD Acting Chief Deputy Director (916) 341-4256



CALIFORNIA
TRIBAL WEATHERIZATION PROJECTS #2

Tribe: Yurok Indian Tribe Weatherization

Primary Tribal Contact:

Funding (direct, indirect, leverage):

DOE:	\$ _____
LIHEAP:	\$ _____
STATE:	\$ <u> \$75,000 </u>
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ _____

Number of Homes Weatherized & People Served: 52

Start & End Dates of Project: 1986

Project Profile: Partners in the Project:

The Yurok Indian Tribe secured a \$75,000 State General Fund grant to weatherize homes on the newly formed Yurok Indian reservation that bridges Humboldt and Del Norte counties. The California State Department of Community Services and Development (CSD) administered the grant. Redwood Community Action Agency was hired by the tribe to provide LIHEAP/DOE protocols' based weatherization, safety testing and home repairs services for tribal member households. Priority for service was given to elders, disabled households and families with small children.

RCAA successfully weatherized 52 homes in Humboldt and Del Norte counties.

Contact Information:

Val Martinez (707) 444-3831, x-206

Jeannette Nelson, CSD Acting Chief Deputy Director (916) 341-4256



IDAHO
TRIBAL WEATHERIZATION PROJECTS

Tribe: Coeur D’Alene & Nez Perce, Shoshone-Paiute, and Shoshone-Bannock

Primary Tribal Contact: Tribal Housing Authorities in each area

State and/or Agency Providing Assistance: Lewiston Community Action Partnership (serving Asotin, Benewah, and Nez Perce Counties), El Ada Community Action Partnership (serving Owyhee County), and SouthEastern Idaho Community Action Agency (serving Bingham County)

Funding (direct, indirect, leverage):

DOE:	\$ _____
LIHEAP:	\$ _____
STATE:	\$ _____
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER – Bonneville Power Admin.	\$ <u>\$229,554</u>
TOTAL	\$ _____

Number of Homes Weatherized/ People Served:

- Coeur D’Alene and Nez Perce Tribes – 21 units
- Shoshone-Paiute Tribe – 14 units
- Shoshone-Bannock Tribe – 7 units

Date of Project: FY 2006-2008

Ongoing Yes, as funding allows

Project Profile: The Bonneville Power Administration (BPA) provides funding to be used on Tribal housing. Community Action Partnership has been maintaining and renewing relationships with the Coeur D’Alene and Nez Perce Tribes. This agency has committed to provide job shadowing opportunities for Coeur D’Alene Tribal Housing Authority staff as they move toward Auditor/Inspector Certification. This Tribe is interested in having a weatherization program within the reservation and BPA has been instrumental in providing financial support of training and equipment needs. The Duck Valley Project is in its third phase. El Ada Community Action Partnership works in conjunction with the Rural Nevada Development Corporation (RNDC) in order to make this project successful. The Duck Valley Housing Authority provides applicant information to both agencies that then provide energy efficient measures delivered by a contractor. This project’s success can also be attributed to the fact the contractor who installs weatherization measures is from Duck Valley. SouthEastern Community Action Agency (SEICAA) provides weatherization services to Shoshone-Bannock Tribal Members on the Fort Hall Reservation. This agency utilizes federal funding to provide this service and works with a Tribal Social Service agency on referrals.



Contact Information:

Larry Stamper
Community Action Partnership
124 New 6th Street
Lewiston, ID 83501
208-746-2602 x501

Kevin Viggers
El Ada Community Action Partnership
701 E. 4th #1
Boise, ID 83713
208-377-0700

Rick Burgin
SouthEastern Idaho Community Action Agency
641 N. 8th
Pocatello, ID 83201-5787
208-237-0991



**INTER TRIBAL COUNCIL OF ARIZONA, INC.
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Ak-Chin Indian Community, Cocopah Tribe, Havasupai Tribe, Yavapai-Apache Nation, Fort Mojave Indian Tribe

Primary Tribal Contact: Tribal Housing or Tribal Utility Personnel

State and/or Agency Providing Assistance: Inter Tribal Council of Arizona, Inc., Tribal Housing or Other Departments, and state sub-grantees as contract labor and technical assistance

Funding (direct, indirect, leverage):

DOE:	\$ <u>88,741 FY 08</u>
LIHEAP:	\$ _____
STATE:	\$ <u>Technical Assistance</u>
UTILITIES:	\$ <u>54,000</u>
TRIBAL LEVERAGE:	\$ <u>NAHASDA/ICDBG/LIHTC</u>
OTHER	\$ <u>State Funded Agency for Contracting & TA</u>
TOTAL	\$ _____

Number of Homes Weatherized/ People Served (since 1997):

- Ak-Chin – 27 units
- Cocopah – 65 units
- Havasupai – 12 units
- Yavapai-Apache – 30 units
- Fort Mojave Indian Tribe – 6 units

Date of Project: Effort Initiated in 1997 Ongoing

Project Profile:

With annual direct funding through the Department of Energy (DOE), over the last few years the Inter Tribal Council of Arizona, Inc. (ITCA) has worked to increase use of Weatherization funds by Tribes in Arizona. Due to the progressive efforts of several Tribal housing and energy programs a modest number of homes on Tribal lands have been weatherized.

Partnership development has been key to the successful implementation of tribal weatherization programs in Arizona. Administrative and technical support has been available through local and state weatherization program offices. Given the rich history of the weatherization program nationwide much information is available to help new programs have a strong start. In October 2006 ITCA and member Tribes were successful in securing utility funding specifically for Tribes. Although the utility has had a weatherization program serving non-Indians in Arizona for over ten years the effort to secure funding required demonstrating Tribal needs to the state commission that regulates utilities. The commission ultimately required the utility to provide funding to Tribes as a condition of the final decision in a rate case involving the utility. There are at least four other energy service providers with



similar type weatherization programs in the state and an emerging fuel fund. ITCA is active in advocating for equal access to these resources for all Arizona citizens including those residing on Tribal lands.

Due to minimal funding currently available, other funding partners are of paramount importance. Coordinating with Tribal program efforts has resulted in leveraging the following resources - Native American Housing Assistance and Self-Determination Act, Indian Community Development Block Grant, Low-Income Housing Tax Credits, State HOME funds, BIA Housing Improvement Program, State Home Adaptation and other funds.

Tribal monitoring visits in February 2007 and August 2007 have resulted in several beneficial changes to the ITCA process. The ITCA Memorandum of Agreement- which is a document outlining the responsibilities of ITCA and the lead Tribal entity coordinating the Weatherization program at the Tribe has been modified to make the document more transparent and straightforward to adopt for Tribes. In general, the Tribes' responsibilities have been reduced and ITCA's responsibilities have been increased. Additionally, outreach to more Tribes has been performed, in order to increase the opportunity for success. Other existing ITCA programs which share a focus on low-income residents living on Tribal lands, such as the Area Agency on Aging and the Human and Social Services programs, have been involved to assist in the outreach process and qualification of clients.

Contact Information:

Paul Dearhouse
Inter Tribal Council of Arizona, Inc.
2214 N. Central Avenue, Suite 100
Phoenix AZ 85282
(602) 258-ITCA

www.itcaonline.com/program_weather.html





**MINNESOTA
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Six Tribes (see below)

Primary Contact: State of Minnesota, Dept. of Commerce, Energy & Telecommunications, Janet Streff, Program Manager (651) 297-2545

State and/or Agency Providing Assistance: DOE funds are distributed by the State office to Tribal sub-grantees. Tribes each receive average of \$15K to \$60K per year from a total state allocation of 9-10 million dollars.

Funding (direct, indirect, leverage):

DOE:	\$ <u>225,000/year</u> (average)
LIHEAP:	\$ _____
STATE:	\$ _____
TRIBAL:	\$ _____
UTILITIES:	\$ _____
OTHER:	\$ _____
TOTAL:	\$ <u>225,000/year</u> +

Number of Homes Weatherized/People Served:

120-130 homes per year

Date of Project: On-going

Project Profile: The State of Minnesota sub-grants DOE funds to six tribes. Most of these tribes take client application and contract with local weatherization agencies to complete the work on the homes. It is unknown if these funds are combined with other housing funds to complete other work at the same time. This relationship has been on-going for several years.

Contact Information:

Bois Forte Reservation Tribal Council
Neet Lake, MN
Eileen Barney
(218) 757-3261
ebarney@ragenet.com

Fond Du Lac Reservation Bus. Comm
Cloquet, MN
Norma Graves
(218) 879-4593
normagraves@fondulacreservation.com



Leech Lake Reservation Tribal Council
Cass Lake, MN
Lois White
(218) 335-3704
loisw@llbo.org

Mille Lacs Band of Ojibwe Indians
Onamia, MN
Monte Frank
(320) 532-4181
montef@millelacsojibwe.nsn.us

Red Lake Tribal Government
Red Lake, MN
Ramona Desjarlait
(218) 678-3336
(no e-mail listed)

White Earth Reservation Tribal Council
Wauban, MN
Russ LaDuke
(218) 473-4663
russl@arvig.net



MONTANA
TRIBAL WEATHERIZATION PROJECTS

Tribe: Confederated Salish and Kootnai Tribes

Primary Contact: State of Montana, Public Health and Human Services, Human and Community Services, Kane Quenemoen, Program Manager, (406) 447-4267

State and/or Agency Providing Assistance: State of Montana provides training and technical assistance.

Funding (direct, indirect, leverage):

DOE:	\$ <u>yes</u>
LIHEAP:	\$ <u>yes</u>
STATE:	\$ _____
TRIBAL:	\$ _____
UTILITIES:	\$ <u>yes</u>
OTHER:	\$ <u>yes</u>

TOTAL: \$ 180,000/year + average

Number of Homes Weatherized/People Served:

25-50 + homes per year
(35 additional homes received low/no-cost, health and safety and client education services each year through Warm Hearts in Warm Homes)

Date of Project: On-going

Project Profile: The State of Montana provides a sub-grant to the Salish and Kootnai who provide all weatherization services for the reservation area. The state combines DOE and LIHEAP with a considerable amount of BPA funds. The weatherization program also receives support from Mission Valley Power.

State LIHEAP funds are used to support the Governor’s Warm Hearts to Warm Homes Program which utilizes a network of volunteers to install low/no cost measures and provide client education in approximately 35 homes that will not receive regular weatherization assistance in the upcoming year.

The Salish Kootenai Weatherization Assistance Program has also implemented a special project which shall result in insulation measures and heating upgrades in 112 multi-family units and ground source heat pumps in six multi-family units. This special project, funded at approximately \$388,000 is due to be completed September 30, 2006.



Contact Information:

Jason Adams
Executive Director
or
Michelle Morigeau
Weatherization Coordinator
Salish and Kootnai Housing Authority
P.O. Box 38
Pablo, MT 59855-0038

(406) 675-4491



NAVAJO NATION
TRIBAL WEATHERIZATION PROJECTS

Tribe: Navajo Nation

Primary Contact: Navajo Housing Services Department

State and/or Agency Providing Assistance: Some training from the Southwest Weatherization Training Center in Phoenix and on-site training from Northern Area Council of Governments (NACOG) sub-grantee in Flagstaff.

Funding (direct, indirect, leverage):

DOE:	\$ <u>325,000/year</u> (average)
LIHEAP:	\$ _____
STATE:	\$ _____
TRIBAL:	\$ <u>Tribal labor</u>
UTILITIES:	\$ <u>Yes</u>
OTHER:	\$ <u>Volunteer labor</u>
TOTAL:	\$ <u>325,000/year</u> +

Number of Homes Weatherized/People Served:

150-200 homes per year
400-600 people assisted per year

Date of Project: On-going (20+ years of experience)

Project Profile: The Navajo Nation has been a direct grantee of the Department of Energy Weatherization Assistance Program since the early 80's. Funds from the States of New Mexico and Arizona allocations are combined into one grant to the Navajo Housing Services Department, Division of Community Development. The Navajo Weatherization Assistance Program (NWAP) provides low-income weatherization to the five agencies and over 100 chapters within the reservation area. Applications for service are available at the main office, each chapter house, and the utility district offices. NWAP reviews all applications for completeness and eligibility. Eligible applicants are then ranked for priority service (i.e. elderly, handicapped, etc.). NWAP staff make appointments and perform an energy audit and cost estimate on each home. NWAP orders materials and coordinates with chapter labor to install measures. A final inspection of jobs is done by NWAP staff.

In the past few years, NWAP has taken advantage of the new Southwest Weatherization Training Center in Phoenix to provide basic and advanced WX training to NWAP staff



who work with both skilled and unskilled volunteers at the chapter houses. NWAP has also worked closely with NACOG, an Arizona local agency in Flagstaff, to provide on-site training and technical assistance.

NWAP expects to receive additional leveraged funds from the Arizona Public Service Company (APS), a utility serving a portion of the reservation in FY2007. Additional leveraging opportunities are being explored with the HHS/LIHEAP program and the Navajo Tribal Utility Authority (NTUA).

Contact Information:

Elfin Wauneka
Weatherization Program Manager
Navajo Housing Services Department
P.O. Box 2389
Window Rock, AZ 86515

(928) 729-4290
elfinawauneka@yahoo.com



NEVADA
TRIBAL WEATHERIZATION PROJECTS

Primary Tribal Contact:

Funding (direct, indirect, leverage):

DOE:	\$ 111,873.10
LIHEAP:	\$ 5,438.17
LIHTF:	\$ 20,232.27
UTILITIES:	\$ 150,176.15
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ 113,455.01

Number of Homes Weatherized & People Served: 43 homes, 116 people.

Start & End Dates of Project:

- First Project- Ft. McDermitt Paiute-Shoshone Reservation in Ft. McDermitt, NV started fall of 2002 ended Spring of 2003 served 31 homes and 88 people.
- Second project-Te-Moak Housing Authority in Wells, NV started August 2004, complete in November of 2004 served 12 homes and 28 individuals.
- Phase One of the Duck Valley Reservation – Started in 2006 and served 11 homes and 34 individuals.
- Phase Two of the Duck Valley Reservation – Started in 2007 and served 15 homes and 30 individuals.

Ongoing:

Receiving Bonneville Power Administration (BPA) funds, \$100,000 to weatherize as many homes as possible on the Duck Valley Reservation. This is currently our third phase of the project and will most likely end with phase four in October 2010. Phase Three will serve around 14 homes and the next phase will serve around 6 homes. We are currently in talks with BPA to start our first phase of weatherization in October 2009 on the Fort McDermitt Reservation.

Project Profile:

In the past we have worked with the housing authorities to get the applications, but have always done the qualifying, etc. in house. The BPA project mentioned above has been working excellent with the housing authority employee being paid by the funding source, directly from their own grant, a per project fee for intake/outreach.

Partners in the Project:

- First project- we had the cooperation of the Fort. McDermitt Tribe using DOE only.



- Second project - we had the cooperation of the Wells Band (Te-Moak) Tribe and a lot of financial support from Wells Rural Electric Co.
- Third project - we have the cooperation of all members of the Tribes Housing Authority, especially the paid liaison, BPA, and the support of NHD.

Contact Information:

Dru Simerson
Rural Nevada Development Corporation
1320 East Aultman Street
Ely, NV 89301
775-289-8519
www.rndcnv.org



**NORTHERN ARAPAHO
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Northern Arapaho

Primary Contact: Northern Arapaho Utilities

State and/or Agency Providing Assistance: Training and technical assistance has been received through the states of Wyoming and New Mexico as well as the U.S. Department of Energy.

Funding (direct, indirect, leverage):

DOE:	\$ <u>118,425 / year</u> (average)
LIHEAP:	\$ _____
STATE:	\$ _____
TRIBAL:	\$ <u>Indirect costs</u>
UTILITIES:	\$ _____
OTHER:	\$ _____
TOTAL:	\$ <u>118,425 / year</u>

Number of Homes Weatherized/People Served:

45-55 homes per year
200-250 people assisted per year

Date of Project: Initial Start Date 07/01/2007 **Ongoing**

Project Profile: The Northern Arapahoe Tribe has been a direct grantee of the Department of Energy’s Weatherization Assistance Program since July 1, 2007. The grant is administered by the Northern Arapaho Utilities. The Tribe provides in-kind indirect cost supports, work vehicles and other necessary equipment for project performance. Tribal members have received training as installers, auditors and inspectors and perform most weatherization work on tribal homes. The tribe has experienced the usual headaches of a start-up grantee but have been building capacity and learning the state-of-the-art techniques of Weatherization.

Contact Information:

Gerald Redmond, Director
Northern Arapaho Utilities
P.O. Box 8583
Ethete, WY 82520
(307) 856-7751
geraldredman@yahoo.com



**OREGON
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Umatilla, Warm Springs, Siletz, Klamath and Burns-Paiute

Primary Tribal Contact: TBD

State and/or Agency Providing Assistance: Oregon Housing & Community Services have invited the nine federally recognized tribes in Oregon to become direct sub-grantees on par with agencies.

Funding (direct, indirect, leverage):

DOE:	\$ <u>105,838</u>
LIHEAP:	\$ <u>180,795</u>
STATE:	\$ <u>32,522</u>
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ <u>\$108,000</u>
TOTAL	\$ <u>427,155</u>

Number of Homes Weatherized/ People Served: 200+ statewide

Date of Project: FY 2009

Ongoing ✓

Project Profile:

All trainings, support, and funding are provided to the tribes from OHCS as sub-grantees. Many tribes will still continue their partnership with local WAP agencies but will be “learning the ropes” and directly servicing their own tribes at a predictable “twice the rate” as what agencies can. The tribes will be able to provide immediate service to elders and tribal members versus waiting members being on a waiting list at other agencies. Tribes will be able to receive training to build infrastructure for crews, equipment, tools, vehicles and fiscal management needs. Bonneville Power is providing additional funds and more training funds directly to the tribes that are becoming sub-grantees with the state. OHCS has advised the tribes that when they are prepared the state will assist tribes in sponsorship, petitioning and application efforts to become directly funded by the federal government for weatherization dollars.

Contact Information:

Dan Elliot, Weatherization Program Coordinator
Oregon Housing and Community Services
725 Summer Street, NE, Suite B
Salem, Oregon 97306-0409
503.986.2016



**WASHINGTON
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Spokane Tribe and Colville Confederated Tribes

Primary Tribal Contacts:

Rose Anderson
Spokane Tribe LIHEAP Energy Assistance Program
Wellpinit, WA
(509) 258-7502 ext 20

Dorothy Palmer
Colville Tribe LIHEAP Energy Assistance Program
Nespelem, WA
(509) 634-2770

Funding (direct, indirect, leverage):

DOE:	\$ _____
LIHEAP:	\$ _____
STATE:	\$ _____
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ 107,100

Number of Homes Weatherized & People Served: 140 households received home-based energy conservation education and low/cost no cost measures. 60 households had their inefficient refrigerators replaced.

Start & End Dates of Project: 1/07-1/08

Ongoing

Project Profile:

The purpose of this contract with Northwest Sustainable Efficient Energy Development (NW SEED) was to distribute home-based energy conservation educational materials, to replace inefficient, high energy use refrigerators with energy efficient units, and to perform preliminary screening for eligible low income families on the Colville Reservation and Spokane Reservation.

NW SEED coordinated with the tribes to train tribal staff to administer the program, provide the energy conservation education, and to provide the refrigerator replacement.

Partners in the Project:

Contact Information:

Eunice Herren (360) 725-2949



**WASHINGTON
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Makah Tribe

Primary Tribal Contacts:

Wendy Lawrence
Makah Tribal Housing Department
PO Box 88
Neah Bay WA
(360) 645-2864

Funding (direct, indirect, leverage):

DOE:	\$ _____
LIHEAP:	\$ _____
STATE:	\$ _____
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ 150,000

Number of Homes Weatherized & People Served: 20 households

Start & End Dates of Project: 5/08-12/09 **Ongoing** _

Project Profile:

The purpose of this project is to provide weatherization services to 20 tribal households within the Makah Tribe service delivery area. The Makah Tribal Weatherization crew has participated in Building Performance weatherization training and is preparing for two staff to become BPI certified Building Analysts. They have experienced the usual issues and challenges of a start up program.

Makah Tribe has established a crew based program for their relatively small reservation. Their goal is to become a weatherization contractor to provide contracted installation of measures for the local agencies on the large Olympic Peninsula, where there is a shortage of weatherization contractors. They are creating new green jobs in an area of high unemployment.

Partners in the Project:

Contact Information:

Eunice Herren (360) 725-2949



**WASHINGTON
TRIBAL WEATHERIZATION PROJECTS**

**Tribe: Nooksack and Lummi
Primary Tribal Contacts:**

Sebnem Pura, Director
Housing, Development and Policy
Nooksack Indian Tribe
Office (360) 592-5163 ext. 111

Diana Phair
Housing Director
Lummi Tribal Housing
dianap@lummi-nsn.gov
384-2295 ext. 2295

Funding (direct, indirect, leverage):

DOE: :	\$ _____
LIHEAP:	\$ _____
STATE:	\$ _____
UTILITIES:	\$ _____
TRIBAL LEVERAGE:	\$ _____
OTHER	\$ _____
TOTAL	\$ 103,000

Number of Homes Weatherized & People Served: 15 households total for both tribes

Start & End Dates of Project: 1/08-12/09 **Ongoing** ___

Project Profile:

The purpose of this project is to provide weatherization services to tribal households within the Lummi Nation and Nooksack Tribe service delivery areas. The Opportunity Council has established partnerships with the tribal weatherization and repair programs to deliver services.

This project includes a component for providing training for Nooksack Tribal Youth Build USA. At risk youth are provided classroom and hands on experience in the weatherization program.

Opportunity Council is developing a client energy conservation education program and providing it to the tribes.

Partners in the Project:



Contact Information:

John Davies
Deputy Director, Opportunity Council
1111 Cornwall
Bellingham WA 98225
360-734-5121 ext. 346



**WISCONSIN
TRIBAL WEATHERIZATION PROJECTS**

Tribe: Eight Tribes in Wisconsin

Primary Contact: State of Wisconsin, Michael Foth, Budget Analyst, (608) 266-2445, bev.tucker@wisconsin.gov

State and/or Agency Providing Assistance: State of Wisconsin provides direct grants to Tribes listed below for the services indicated. Tribes contract will local weatherization providers to perform work on homes. State provides training and technical assistance.

Funding (direct, indirect, leverage):

DOE:	\$ <u>30,000/year</u> + average
LIHEAP:	\$ _____
STATE:	\$ _____
TRIBAL:	\$ _____
UTILITIES:	\$ _____
OTHER:	\$ _____
TOTAL:	\$ <u>30,000/year</u> + average

Number of Homes Weatherized/People Served:

106 + homes per year

Date of Project: On-going

Bad River Chippewa Band, \$5,000 (15); Forest Co Potawatomi Tribe, \$2,000 (10); Lac du Flambeau Band, \$3,100 (12); Menominee Tribe, \$5,000 (15); Mole Lake Sokaogon Chippewa Community, \$8,000 (20); Oneida Tribe, \$3,100 (12); Red Cliff Band Chippewa, \$2,000 (10); Stockbridge Munsee Community, \$3,000 (12).

Total for Wisconsin Tribes: \$31,200, estimate 106 homes weatherized for FY 2006.

Project Profile: The State of Wisconsin provides grants to the eight Tribes indicated. Under these agreements, the Grantee (Tribe) provides the following services:

- a. Outreach to potential weatherization households.
- b. Perform intake services.
- c. Refer eligible applications to the appropriate weatherization provider (local agency)



- d. Receive and retain information on work performed on referred applications.
- e. Report quarterly to the State on the status of referrals.

The State provides the following:

- i. Reimbursement of allowable Grantee expenditures up to the maximum allowed under the Agreement for the services listed above.
- ii. Weatherization services shall be provided on a priority basis to the eligible referred households.
- iii. Service providers (local agencies) shall report to the Grantee (Tribe) the weatherization services (completed units) provided to referred applicants.

Contact Information:

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Department of Administration
State of Wisconsin
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