



# USAID | INDONESIA

FROM THE AMERICAN PEOPLE

**AMENDMENT NO. 3  
ANNUAL PROGRAM STATEMENT (APS)  
NO. INDONESIA 09-014  
“SUPPORTING UNIVERSITIES TO PARTNER ACROSS THE  
PACIFIC”**

<b>Issuance Date of Original APS:</b>	<b>August 10, 2009</b>
<b>Issuance Date of this Amendment No. 3:</b>	<b>March 17, 2010</b>
<b>Deadline for Receipt of Questions for Concept Papers for Second Phase:</b>	<b>March 4, 2010</b>
<b>Deadline for Receipt of Questions for Concept Papers for Third Phase:</b>	<b>June 28, 2010</b>
<b>Closing Dates for Concept Papers:</b>	
<b>First Phase</b>	<b>September 8, 2009</b>
<b>Second Phase</b>	<b>April 30, 2010</b>
<b>Third Phase</b>	<b>August 27, 2010</b>
<b>Closing Time for Submission of Concept Papers:</b>	<b>4:00 PM, local Jakarta time</b>
<b>Submit Concept Papers to:</b>	<b><a href="mailto:aps09-014@usaid.gov">aps09-014@usaid.gov</a></b>

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The purpose of this Amendment No. 3 to APS No. Indonesia 09-014 “Supporting Universities to Partner Across the Pacific” is to respond to questions received through March 4, 2010.

**Attached is the USAID Response to Questions Received under the Second Phase of the APS which dated for questions closed March 4, 2010.**

Issuance of this Amendment No. 3 to APS No. Indonesia 09-014 does not constitute a commitment on the part of the U.S. Government to make an award nor does it commit the Government to pay for costs incurred in the preparation and submission of a concept paper or application. Further, the U.S. Government reserves the right to reject any or all concept papers or applications received. In addition, final award of any resultant Agreement cannot be made until funds have been fully appropriated, allocated, and committed through internal USAID procedures the condition on which this APS is issued. All terms and conditions of the APS-Indonesia-09-014 issued on August 10, 2009, as amended per Amendment No. 2 dated February 3, 2010, remains unchanged and in full force and effect.

Sincerely,

Dale Lewis  
Agreement Officer  
USAID/Indonesia

**USAID RESPONSE TO QUESTIONS RECEIVED UNDER THE SECOND PHASE OF THE APS WHICH DATE FOR QUESTIONS CLOSED MARCH 4, 2010**

***GENERAL***

**QUESTION NO. 1:** We would like to confirm that the date for the second round submission has been changed to April 30, 2010.

**USAID RESPONSE:** This opportunity for university partnerships is being procured through an Annual Program Statement (APS) which dates for the receipt of Applications was amended on February 3, 2010. The deadlines have been adjusted, with the deadline for submitting Concept Papers for the Second Phase of competition on April 30, 2010, and for the Third Phase of competition on August 27, 2010. Interested applicants should download the most recent announcement and instructions about submitting Concept Papers, and also watch the internet posting for any additional announcements, by following these steps:

- go to <http://www07.grants.gov/search/basic.do>
- under keyword search, enter: INDONESIA-09-014

Questions about this solicitation should be addressed to [aps09-014@usaid.gov](mailto:aps09-014@usaid.gov).

**QUESTION NO. 2:** Can you point us to where we can find the development challenges prioritized in the USAID/Indonesia Country Strategy 2010-2014 as mentioned in Section I Part E point 1 in page 6? ...A website is given but a "Page not found" message appears on the screen.

**USAID RESPONSE:** Please see the USAID Country Strategic Plan on the USAID public intranet website:  
[http://indonesia.usaid.gov/documents/document/Document/309/USAID\\_Country\\_Program\\_Strategy\\_20092014](http://indonesia.usaid.gov/documents/document/Document/309/USAID_Country_Program_Strategy_20092014)

***FINANCIAL***

**QUESTION NO. 3:** Is the total program funding of \$650,000 for a period of performance of three years or it is for each year?

**USAID RESPONSE:** Awards will be made for a length of time and amount of funding that matches the objectives of the proposed program. The total amount awarded may be between \$200,000 and \$650,000, and will not exceed \$650,000 for the period of the awarded project. The period of performance will not exceed three years.

**QUESTION NO. 4:** Is there a mechanism to get a waiver to the 30% match required? The University is well suited to respond to this RFA, but due to extreme economic circumstances in the state, we cannot provide a 30% match.

**USAID RESPONSE:** No waiver will be considered. As explained in Section II.C.4 (Technical Evaluation Criteria for Concept Papers), cost share is part of the resource mobilization and institutional commitment of partners that will be evaluated.

**QUESTION NO. 5:** Will USAID allow US institutions to count an F&A reduction as cost-share? Federally negotiated F&A on this type of grant is currently 53% for instruction-related activities. We may be able to petition for a 29% rate instead. In such a case, would the 24% difference count as cost-share?

**USAID RESPONSE:** Yes, a reduction in F&A may be considered as cost-share (22 CFR 226.23(b)).

**QUESTION NO. 6:** We would like to prepare and submit an application for the USAID university partnership scheme. We have contacted one our US partners regarding this initiative. They informed us however that in their previous experiences it is not possible to cover staff salaries from such a scheme. This seems to be an important issue for our prospective partner. Thus, we would really appreciate it if you could clarify this matter for us beforehand.

**USAID RESPONSE:** The determination as to whether a US partner institution will cover staff salaries is one which the US institution must make. Numerous US higher education institutions have submitted proposals under this APS that included a portion of salary costs.

**QUESTION NO. 7:** What proportion of the total budget is expected to go to subcontracts to our two Indonesian partner universities? We are thinking about 25%.

**USAID RESPONSE:** The determination of the portion of the total budget allocated to the Indonesian partner is to be determined by the partnering institutions. Depending on the nature of the proposed program, such budget determinations may or may not be relevant to the elements of the criteria used to evaluate the concept papers.

### ***PARTNERING***

**QUESTION NO. 8:** I was asked a number of times if the University of XXX, specifically the Department of XXX, could be involved in helping train or retrain a new cadre of English language teachers. At the University of XXX, I was even offered the use of classroom and dormitory space to carry out such training. I am wondering if this

grant opportunity would apply to this kind of a venture, and if so, how to go about pursuing the opportunity to partner with an Indonesian institution to carry out English teacher training in Indonesia. If there is another funding opportunity that would be more appropriate, please provide me with the correct contact information.

**USAID RESPONSE:** (1) This partnership opportunity is designed to receive proposals for work in a variety of sectors. The key for applicants is to develop a program and concept paper that meets the objectives of this APS announcement and effectively addresses the evaluation criteria. (2) Applicants are encouraged to work with their international programs offices, relevant academic and professional associations, foundations, NGOs, businesses and the Indonesian Directorate General for Higher Education to identify prospective partners.

**QUESTION NO. 9:** Is subject XXX combined with XXX a viable direction to pursue for the concept paper?

**USAID RESPONSE:** This partnership opportunity is designed to receive proposals for work in a variety of sectors. The key for applicants is to develop a program and concept paper that meets the objectives of this APS announcement and effectively addresses the evaluation criteria.

**QUESTION NO. 10:** Speaking on behalf of a team of faculty from multiple departments at the University of XXX, we are considering submitting a concept paper which would blend our strengths in XXX with XXX. We think these two areas may intersect with the published sectors: Education and Environment. Before we invest more time in the development of the concept paper and budget, can you please confirm if this seems like a viable direction to pursue?

**USAID RESPONSE:** This partnership opportunity is designed to receive proposals for work in a variety of sectors. The key for applicants is to develop a program and concept paper that meets the objectives of this APS announcement and effectively addresses the evaluation criteria.

**Question No. 11:** We are considering bringing onto the team members from the profession in the US and in Indonesia who are affiliated with such organizations as the XXX Council and Institute of YYY. Is this likely to be viewed as adding strength to a concept paper, or is it preferable to form teams made up of university faculty only?

**USAID RESPONSE:** The APS encourages collaboration with partners that will strengthen the quality and impact of the activities conducted under an award. The key is to develop a program and proposal that meets the objectives of the APS and effectively addresses the evaluation criteria.

**QUESTION NO. 12:** I understand that the concept paper is submitted by an Indonesian Institution, who will also submit the full approval should they be selected. At the concept paper stage, while we have to identify our partner and give information about them, do we need to submit a letter of participation from the partner institution in US or any other kind of endorsement from them?

**USAID RESPONSE:** As a clarification, concept papers may be submitted by institutions of higher education in the United States or in Indonesia, and as described in Section I.E.2, partnerships must include at least one institution of higher education in each country. The Second Phase of the APS provides preference for partnerships in which Indonesian institutions are the lead applicant. Applicants should take actions and submit materials that demonstrate the level of collaboration required to implement an effective program and score well per the criteria used to evaluate the concept papers. As described in Section II.B.b describing the application requirements for Technical narrative, the concept paper must demonstrate “how partners have worked together in formulating the proposed activities and how the proposed partnership will benefit all members.” Furthermore, a profile of the partners is required to clarify the “division of responsibilities in the proposed partnership.” How to demonstrate the arrangements for partnerships is up to the applicant.

**QUESTION NO. 13:** Would USAID be more in favor of partnerships with universities in rural, less developed parts of Indonesia than with larger, well established universities? Or, would a partnership with a university with weak research capabilities in a less developed area, for example, in Ambon, or Sulawesi be looked on more favorably than a partnership with a university that has stronger research capability in a more developed part of the country the University of Indonesia?

**USAID RESPONSE:** Neither. The efforts of this program are directed at helping to increase the capacity and contributions of Indonesian institutions of higher education to effectively address longer term sustainable development priorities in Indonesia. Indonesia is a large country with a significant number of higher education institutions that could benefit from partnering with institutions from the United States, and many development priorities are located beyond the footprint of the Indonesia’s strongest academic institutions. As described in Section I.E on Intended Partnership Characteristics, applicants are encouraged to actively engage at least one “regional” institution, but there is no hard and fast rule. Applicants should identify the right partners for the development impact being proposed. The key for applicants is to develop a program and concept paper that meets the objectives of this APS announcement and effectively addresses the evaluation criteria.

**Question No. 14:** For purposes of this APS, would Universitas Sumatera Utara and Universitas Lampung be considered "regional" institutions?

**USAID RESPONSE:** Yes

***LEGAL EDUCATION***

**QUESTION NO. 15:** Is APS-09-014 separate from any USAID legal education program?

**USAID RESPONSE:** Yes. This APS for university partnerships is designed to create university to university partnerships across a number of sectors. USAID intends to solicit a separate legal education program that will specifically targets law schools and the linkage to the justice sector.

**QUESTION NO. 16:** Does institutional participation in APS-09-014 preclude participation in USAID's legal education program yet to be announced?

**USAID RESPONSE:** No.

**QUESTION NO. 17:** When does USAID anticipate formally publishing its legal education program, for purposes of understanding how this might interact with APS-09-014's announced deadlines of April 30, 2010 (second phase) and August 27, 2010 (third phase)?

**USAID RESPONSE:** At this time we cannot provide the date the legal education program will be published. However, we suggest that you to continue to check the following two websites

- [http://www.grants.gov/applicants/find\\_grant\\_opportunities.jsp](http://www.grants.gov/applicants/find_grant_opportunities.jsp) for grants and cooperative agreements
- <https://www.fbo.gov/> for contracts

----- **End of USAID Response to Questions Received Through March 4, 2010** -----