NACA Program FY2011 Application

PART I: ORGANIZATIONAL INFORMATION

1. ORGANIZATION:

a. Organization Name (Legal Name):

b. Street1:

c. Street2:

d. City:

e. State:

f. Zip / Postal Code:

g. EIN/TIN: h. DUNS:

2. AUTHORIZED REPRESENTATIVE:

a. Prefix:

b. First Name:

c. Last Name:

d. Title:

e. Email:

f. Phone:

g. Fax:

h. Street1:

i. Street2:

j. City:

k. State:

l. Zip / Postal Code:

3. APPLICATION POINT OF CONTACT:
(If different from Authorized Representative)

a. Prefix:

b. First Name:

c. Last Name:

d. Title:

e. Email:

f. Phone:

g. Fax:

h. Street1:

i. Street2:

j. City:

k. State:

l. Zip / Postal Code:

4. ORGANIZATIONAL PROFILE (1500 CHARACTERS)

5. REQUESTED AWARD TYPE & AMOUNT

a. Requested Type of Assistance: ☐ FA ☐ TA

6. OTHER FY2011 CDFI FUND APPLICATIONS

a. Is the Applicant or any of its affiliates applying for other FY2011 funds from the CDFI Fund? ☐ Yes ☐ No

7. PRIOR CDFI FUND AWARDS (INCLUSIVE OF ALL AFFILIATES)

a. Complete the following Table for Applicant's (and its affiliate's) 10 most recent CDFI Fund awards in chronological order (newest award on top).

Prior Awards

Add/Delete Row	Awardee / Affiliate Organization Name	Awardee / Affiliate EIN	Award Control Number	Total Award/ Allocation Amount	Award Type

PART II: ELIGIBILITY

1. ORGANIZATIONAL TYPE

a. Financial Institution Type   ☐ Loan Fund   ☐ Credit Union   ☐ Bank Holding Company   ☐ Bank or Thrift   ☐ Venture Capital   ☐ NA

b. Organization Structure   ☐ For-Profit   ☐ 501(c)(4)   ☐ Other Non-Profit   ☐ Tribal or Village Government

c. Faith Based?   ☐ Yes   ☐ No

d. Date of Incorporation

e. Activities Start Date

f. Congressional District

g. Fiscal Year End

h. Total Assets as of Fiscal Year End Date (dollar amount)

2. CDFI CERTIFICATION

a. CDFI Certification Status   ☐ CDFI Certified   ☐ Not Certified (Emerging CDFI)   ☐ Sponsoring Entity

3. GEOGRAPHIC MARKETS AND TARGET AREAS

a. Primary Geographic Market   ☐ Major Urban   ☐ Minor Urban   ☐ Rural

b. Special Targeted Areas (Select all that apply)   ☐ Appalachia   ☐ Colonias   ☐ Native Communities   ☐ Gulf Opportunity (GO) Zone   ☐ Mississippi Delta

c. Geographic Market Served (Select all states that apply)

☐ Alabama	☐ Florida	☐ Michigan	☐ Ohio	☐ Virginia
☐ Alaska	☐ Georgia	☐ Midway Islands	☐ Oklahoma	☐ Washington
☐ American Samoa	☐ Guam	☐ Minnesota	☐ Oregon	☐ West Virginia
☐ Arizona	☐ Hawaii	☐ Mississippi	☐ Pennsylvania	☐ Wisconsin
☐ Arkansas	☐ Idaho	☐ Missouri	☐ Puerto Rico	☐ Wyoming
☐ California	☐ Illinois	☐ Montana	☐ Rhode Island	
☐ Colorado	☐ Indiana	☐ Nebraska	☐ South Carolina	
☐ Connecticut	☐ Iowa	☐ Nevada	☐ South Dakota	
☐ Delaware	☐ Kansas	☐ New Hampshire	☐ Tennessee	
☐ District of Columbia	☐ Kentucky	☐ New Jersey	☐ Texas	
☐ Federated States of Micronesia	☐ Louisiana	☐ New Mexico	☐ U.S. Virgin Islands	
	☐ Maine	☐ New York	☐ Utah	
	☐ Maryland	☐ North Carolina	☐ Vermont	
	☐ Massachusetts	☐ North Dakota		

4. TARGET MARKET PROFILE (1,000 CHARACTER LIMIT)

a. Provide a concise narrative on Applicant's target market profile.

5. LINES OF BUSINESS & FINANCIAL PRODUCTS

a. Primary Line of Business

☐ Affordable Housing ☐ Consumer Finance ☐ Microenterprise ☐ Small Business ☐ Commercial ☐ Retail

b. Secondary Lines of Business (Select all that apply)

☐ Affordable Housing ☐ Consumer Finance ☐ Microenterprise ☐ Small Business ☐ Commercial ☐ Retail

c. Products Offered (Select all that apply)

☐ Individual Development Accounts

☐ Loans to refinance existing high cost or unaffordable debt

☐ Short-term unsecured personal loans

☐ Check Cashing for Non-Accountholders

☐ Equity Investments

☐ No-or-Low-Cost Accounts

☐ Loans to build or repair credit

☐ Accept Alternate ID for Opening an Account

☐ Services to Disabled or Homeless persons

PART III: FORMS & CERTIFICATIONS

1. ESTIMATED HOURS TO COMPLETE THE APPLICATION:

2. ASSURANCES AND CERTIFICATIONS FORM:

a. Can the Applicant certify that it will comply with all of the Assurances and Certifications listed in the Application Instructions if an award is made? (Note: Certain assurances and certifications may not be applicable to the Applicant).

☐ Yes ☐ No

5. ENVIRONMENTAL REVIEW FORM

The CDFI Fund's environmental review requirements are set forth in 12 CFR Part 1815. The Applicant should review such regulations carefully before completing this section. In order to assure compliance with those regulations and other requirements related to the environment, the Applicant shall provide the following information:

	YES	NO
a. Are there any actions proposed in the Application that do not constitute a "categorical exclusion" as defined in 12 CFR 1815.110?	☐	☐
b. If YES, would any of these actions normally require an environmental impact statement (see 12 CFR 1815.109)?	☐	☐
c. Are there any activities proposed in the Application that involve:		
i. Historical or archeological sites listed on the National Register of Historic Places or that may be eligible for such listing?	☐	☐
ii. Wilderness areas designated or proposed under the Wilderness Act?	☐	☐
iii. Wild or scenic rivers proposed or listed under the Wild and Scenic Rivers Act?	☐	☐
iv. Critical habitats of endangered or threatened species?	☐	☐
v. Natural landmarks listed on the National Registry of Natural Landmarks?	☐	☐
vi. Coastal barrier resource systems?	☐	☐
vii. Coastal Zone Management Areas?	☐	☐
viii. Sole Source Aquifer Recharge Areas designated by EPA?	☐	☐
ix. Wetlands?	☐	☐
x. Flood plains?	☐	☐
xi. Prime and unique farmland?	☐	☐
xii. Properties listed or under consideration for listing on the Environmental Protection Agency's List of Violating Facilities?	☐	☐

6. ASSURANCES

a. Standard Form 424B: Assurances -- Non-Construction Programs

b. Additional Certifications

c. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions

d. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions

e. Certification Regarding Drug-Free Workplace Requirements

f. Certification Regarding Lobbying

This certification is a material representation of fact upon which reliance is placed when this transaction is made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

7. SIGNATURE:

Signing the certification on SF 424 certifies that the answers in Part IV: Forms and Certifications and the written explanations attached thereto are true, accurate, and complete to the best of its information, knowledge, and belief and that, since January 1, 1996, the Applicant has not engaged in Lobbying Activities as defined in Section 3 (7) of the Lobbying Disclosure Act of 1995, P.L. 104-65, as amended.

PART IV: PRE-SUBMISSION CHECKLIST

1. APPLICATION CHECKLIST:

The following checklist provides an outline of the required documents that make up a complete application package. Incomplete applications may be rejected and deemed ineligible for award consideration. Use the following checklist to indicate that you have completed the required documentation and have included them in your final submission package.

a. SF-424   ☐ Yes ☐ No

b. FA/TA Application Narrative (Word Document)   ☐ Yes ☐ No

c. FA/TA Data Tables (Excel Charts)   ☐ Yes ☐ No

d. EIN Documentation   ☐ Yes ☐ No

e. Financial Statements   ☐ Yes ☐ No

f. Resumes   ☐ Yes ☐ No

g. Organizational Chart   ☐ Yes ☐ No

i. Applicant Type Questionnaire   ☐ Yes ☐ No